

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT8274376

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
INCOZEN THERAPEUTICS PVT. LTD.	03/20/2012
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	RHIZEN PHARMACEUTICALS AG
<b>Street Address:</b>	GROSSPETER TOWER GROSSPETERANLAGE 29
<b>City:</b>	BASEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4052
<b>PROPERTY NUMBERS Total: 6</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	8642607
Patent Number:	9018375
Patent Number:	9421209
Patent Number:	10538501
Application Number:	16557553
Application Number:	18508085
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(917)332-3733
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2128855000
<b>Email:</b>	patents@blankrome.com, judy.yeddo@blankrome.com
<b>Correspondent Name:</b>	BLANK ROME LLP
<b>Address Line 1:</b>	1271 AVENUE OF THE AMERICAS, 15TH FLOOR
<b>Address Line 4:</b>	NEW YORK, NEW YORK 10020
<b>ATTORNEY DOCKET NUMBER:</b>	139095-00250
<b>NAME OF SUBMITTER:</b>	JUDY YEDDO
<b>SIGNATURE:</b>	/Judy Yeddo/
<b>DATE SIGNED:</b>	11/13/2023
<b>Total Attachments: 19</b>	

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ASSIGNMENT

THIS ASSIGNMENT by Incozen Therapeutics Pvt. Ltd., a company organized under and pursuant to the laws of India having its principal place of business at "Spectrum" Discovery Zone, Alexandria Knowledge Park, Phase I, Hyderabad-500 078, INDIA (hereinafter referred to as Assignor);

WHEREAS, Assignor owns an interest to the patent applications (hereafter the "Incozen Patent Applications") set forth on Schedule A (attached hereto); and

WHEREAS, Rhizen Pharmaceuticals SA, a company organized under and pursuant to the laws of Switzerland having its principal place of business at Fritz Courvoisier 40, 2300 La Chaux-de-Fonds, Switzerland (hereinafter referred to as Assignee), is desirous of acquiring the right, title and interest in and to the Incozen Patent Applications in the U.S. and all countries throughout the world, and in and to any Letters Patent to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, Assignor's entire right, title and interest in and to the Incozen Patent Applications, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of the Incozen Patent Applications, and any and all Letters Patent in the U.S. and all countries throughout the world which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignor, had this sale and assignment not been made.

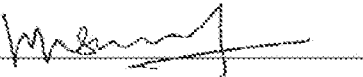
The Assignor hereby covenants and agrees to and with said Assignee, its successors and assigns, that whenever Assignee, its counselor representative, or the counselor representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or

action in connection with the Incozen Patent Applications, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing therefrom is lawful and desirable, Assignor will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Incozen Patent Applications, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said Assignee, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignee or its successors or assigns, but at its expense; and Assignor hereby appoints every present or future officer of said Assignee as Assignor's agent to sign all such papers and to do all such necessary acts on its behalf, to the fullest extent permitted by law;

The Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from the Incozen Patent Applications to the Assignee.

INCOZEN THERAPEUTICS PVT. LTD.

Date: March 20<sup>th</sup>, 2012

By: 

Name: Swaroop Kumar V.S. Vakkalanka

Title: MD & CEO

Schedule A

International Patent Applications

International Application No.	Blank Rome Reference No.
PCT/IB2010/002804	134388.00202
PCT/IB2010/002535	134388.00402
PCT/IB2010/002539	134388.00500

Indian Patent Applications

Indian Patent Application No.
2690/CHE/2009
1429/CHE/2010
2439/CHE/2009
2636/CHE/2009
158/CHE/2010
1513/CHE/2010
1514/CHE/2010
2385/CHE/2010

U.S. Patent Applications

U.S. Patent Application No.	Blank Rome Reference Nos.
61/364,661	134388.00200
12/938609	134388.00201
13/292746	134388.00203
61/265540	134388.00400
12/899416	134388.00403
12/899410	134388.00501

ACCEPTANCE BY ASSIGNEE

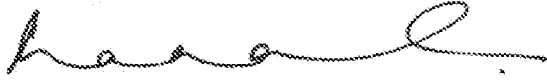
In connection with an assignment in any jurisdiction in which an acceptance by Assignee is required, I hereby accept this assignment on behalf of Rhizen Pharmaceuticals SA, I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

- I am an officer of the above-identified Assignee,
  
- I have signed this document on behalf of Assignee with the full authority of its board of directors,

and that all the foregoing is true and correct.

Rhizen Pharmaceuticals SA

Date: March 20<sup>th</sup>, 2012

By:   
.....

Print Name: Pranav Chirayu Amin

Title: Chairman

### RECORDATION FORM COVER SHEET PATENTS ONLY

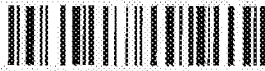
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<b>1. Name of conveying party(ies):</b> Incozen Therapeutics Pvt. Ltd.		<b>2. Name and address of receiving party(ies)</b> Name: <u>Rhizen Pharmaceuticals SA</u> Internal Address: _____ Street Address: _____  Fritz Courvoisier 40  City: <u>La Chaux-de-Fonds</u> State: _____ Country: <u>Switzerland</u> Zip: <u>2300</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s): <u>March 20, 2012</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____			
<b>4. Application or patent number(s):</b> A. Patent Application No.(s) 12/899,410 12/899,416 12/938,609 PCTIB2010002535 PCTIB2010002539 PCTIB2010002804 Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<input type="checkbox"/> This document is being filed together with a new application. B. Patent No.(s)  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Jay P. Lessler</u> <u>BLANK ROME LLP</u> Internal Address: <u>Atty. Dkt.: 134388.00200</u> Street Address: <u>The Chrysler Building</u> <u>405 Lexington Ave.</u>  City: <u>New York</u> State: <u>NY</u> Zip: <u>10174-0208</u> Phone Number: <u>(212) 885-5000</u> Fax Number: <u>(212) 885-5001</u> Email Address: <u>patents@blankrome.com</u>		<b>6. Total number of applications and patents involved:</b> <input type="text" value="6"/> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41)</b> \$ <u>240.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)	
<b>9. Signature:</b> <u>/Jay P. Lessler/</u> Signature <u>Jay P. Lessler - 41,151</u> Name of Person Signing		<b>8. Payment Information</b> Deposit Account Number <u>02-2555</u> Authorized User Name <u>Jay P. Lessler</u>  Total number of pages including cover sheet, attachments, and documents: <input type="text" value="5"/>	



# Handelsregisteramt des Kantons Basel-Stadt

Firmennummer <b>CHE-114.577.507</b>	Rechtsnatur <b>Aktiengesellschaft</b>	Eintragung 06.11.2008	Löschung	Übertrag CH-645.4.103.326-3 von: auf:	<b>1</b>
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Alle Eintragungen

Ei	Lö	Firma	Ref.	Sitz
0	1	Rhizen Pharmaceuticals SA	0	bisher: La Chaux-de-Fonds
0	1	(Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd)	1	Basel
1		<b>Rhizen Pharmaceuticals AG</b>		
1		(Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd)		

Ei	Lö	Aktienkapital (CHF)	Liberierung (CHF)	Aktien-Stückelung	Ei	Lö	Domiziladresse
0		124'000.00	124'000.00	124'000 Namenaktien zu CHF 1.00	0	1	rue Fritz-Courvoisier 40 2300 La Chaux-de-Fonds Steinentorstr. 23 4051 Basel

Ei	Lö	Zweck	Ei	Lö	weitere Adressen
0	1	<p>But: toutes activités de recherche et développement dans le domaine des produits pharmaceutiques et biotechnologiques; leur fabrication; leur commercialisation ainsi que toutes opérations s'y rapportant - y compris dans le domaine des brevets et des autres droits de la propriété intellectuelle et industrielle;</p> <p>Der Zweck der Gesellschaft umfasst: alle Forschungs- und Entwicklungstätigkeiten auf dem Gebiet der pharmazeutischen und biotechnologischen Produkte, deren Herstellung, deren Vermarktung und alle damit zusammenhängenden Tätigkeiten, einschliesslich jener im Bereich der Patente und anderer geistiger und gewerblicher Eigentumsrechte. Die Gesellschaft kann sich daneben an anderen Unternehmen irgendwelcher Art im In- und Ausland beteiligen, solche gründen, übernehmen und mit ihnen fusionieren. Sie kann Liegenschaften und Immaterialgüterrechte im In- und Ausland erwerben, belasten und veräussern sowie durch Beschluss der Geschäftsführung Zweigniederlassungen und Agenturen im In- oder Ausland errichten. Die Gesellschaft kann alle kommerziellen, finanziellen und andere Tätigkeiten ausüben, die mit dem Zweck der Gesellschaft im Zusammenhang stehen. Die Gesellschaft kann ihrer direkten oder indirekten Muttergesellschaft sowie allen weiteren Konzerngesellschaften Darlehen oder andere Finanzierungen gewähren und für Verbindlichkeiten von solchen anderen Gesellschaften Sicherheiten aller Art stellen, einschliesslich mittels Pfandrechten an oder fuziuarischen Übereignungen von Aktiven der Gesellschaft oder Garantien jedwelcher Art, ob gegen Entgelt oder nicht.</p>			

Ei	Lö	Bemerkungen	Ref.	Statutendatum
0	1	Convocations des actionnaires: F.O.S.G. ou lettre recommandée, téléfax, courrier électronique	0	04.11.2008
1		Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.	0	15.08.2012
1		Die Mitteilungen der Gesellschaft an die Aktionäre erfolgen durch Brief, Telefax oder E-Mail.	0	21.07.2015
			1	02.12.2020

Ei	Lö	Besondere Tatbestände	Ref.	Publikationsorgan
			0	SHAB

Ref.	TR-Nr	TR-Datum	SHAB	SHAB-Dat.	Seite / Id	Ref.	TR-Nr	TR-Datum	SHAB	SHAB-Dat.	Seite / Id
0	(Sitzverlegung)			(Sitzverlegung)							
1	7090	07.12.2020		(Genehmigung SHRA)							

Ei	Ae	Lö	Personalangaben	Funktion	Zeichnungsart
0			Amin, Pranav Chirayu, indischer Staatsangehöriger, in Vadodara (IN)	Präsident des Verwaltungsrates	Einzelunterschrift
0			Kelemen, Ladislaus Szilard, von Villeneuve (VD), in La Chaux-de-Fonds	Mitglied des Verwaltungsrates	Einzelunterschrift
0			Vakkalanka, Swaroop Kumar Venkata Satya, indischer Staatsangehöriger, in Hyderabad (IN)	Mitglied des Verwaltungsrates + Generaldirektor	Einzelunterschrift
0			D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchâtel	Revisionsstelle	





# Handelsregisteramt des Kantons Basel-Stadt

CHE-114.577.507	Rhizen Pharmaceuticals AG	Basel	2
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Alle Eintragungen

Basel, 08.12.2020 13:37 DI

Dieser Auszug aus dem kantonalen Handelsregister hat ohne die nebenstehende Originalbeglaubigung keine Gültigkeit. Er enthält alle gegenwärtig für diese Firma aktuellen Eintragungen sowie allfällig gestrichene Eintragungen. Auf besonderes Verlangen kann auch ein Auszug erstellt werden, der lediglich alle gegenwärtig aktuellen Eintragungen enthält. Bezüglich der letzten, noch nicht publizierten Eintragung liegt die Ermächtigung des Eidgenössischen Amtes für das Handelsregister im Sinne von Art. 11 Abs. 2 HRegV vor.



Handelsregisteramt Basel-Stadt



July 3, 2021

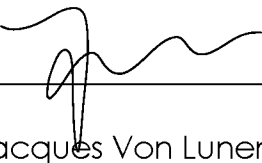
**Certification**

**Welocalize Translations**

**TRANSLATOR'S DECLARATION:**

I, Jacques Von Lunen, hereby declare:

That I possess advanced knowledge of the German and English languages. The attached German into English translation has been translated by me and to the best of my knowledge and belief, it is a true and accurate translation of: Extract from the commercial register dated 2020 12 08 (0783502).



---

Jacques Von Lunen

Project Number: [Project # BRLLP\_2106\_P0020]

15 W. 37th Street 4th Floor  
New York, NY 10018  
212.581.8870

**PATENT**  
**REEL: 065546 FRAME: 0591**



July 6, 2021

**Certification**

**Welocalize Translations**

**TRANSLATOR'S DECLARATION:**

I, Laura Hastings-Brownstein, hereby declare:

That I possess advanced knowledge of the French and English languages. The attached French into English translation has been translated by me and to the best of my knowledge and belief, it is a true and accurate translation of: Extract from the commercial register dated 2020 12 08 (0783502).

A handwritten signature in cursive script, reading "Laura H. Hastings-Brownstein", written over a horizontal line.

Laura H. Hastings-Brownstein

Project Number: [Project # BRLLP\_2106\_P0020]

15 W. 37th Street 4th Floor  
New York, NY 10018  
212.581.8870

**PATENT**  
**REEL: 065546 FRAME: 0592**

## Commercial Register Office of the Canton of Basel-City

Company number		Legal form		Entry	Deletion	Transfer CH-645.4.103.326-3 from:		1
CHE-114.577.507		Stock corporation		11/06/2008		to:		
[bar code] All entries								
Entr.	Del.	Company				Ref.	Company seat	
0	1	Rhizen Pharmaceuticals SA				0	prev.: La Chaux-de-Fonds	
0	1	<del>(Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd)</del>				1	Basel	
1		<b>Rhizen Pharmaceuticals AG</b>						
1		(Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd)						
Entr.	Del.	Share capital (CHF)	Payment (CHF)	Denomination	Entr.	Del.	Reg. office address	
0		124,000.00	124,000.00	124,000 reg. shares at CHF 1.00 each	0	1	<del> rue Fritz Courvoisier 40</del> 2300 La Chaux-de-Fonds Steinentorstr. 23 4051 Basel	
1					1			
Entr.	Del.	Object			Entr.	Del.	Other addresses	
0	1	<del>Purpose: All research and development activities in the field of pharmaceutical and biotechnological products, including in the field of patents and other intellectual and industrial property rights.</del>						
1		The object of the company includes: all research and development activities in the area of pharmaceutical and biotechnological products, their production, marketing and all associated activities, including those in the area of patents and other intellectual and commercial property rights. The company can furthermore acquire shares in other enterprises of any kind, both foreign and domestic, or found, acquire or merge with such enterprises. It can purchase, encumber and sell real property and intellectual property rights, both foreign and domestic, as well as establish foreign or domestic branch offices or agencies by decision of the company management. The company may exercise all commercial, financial and other activities which are associated with the object of the company. The company may grant loans or other financing means to its direct or indirect parent company as well as to all other group companies of the corporation, and it may provide collateral of any kind for liabilities of such other companies, including via liens against or fiduciary assignments of assets of the company or via guarantees of any kind, either for consideration or not.						
Entr.	Del.	Comments			Ref.	Date of bylaw		
0	1	<del>Shareholders Meetings: F.O.S.C. or registered letter, fax, e-mail</del>			0	11/04/2008		
1		The transferability of the registered shares is limited in accordance with the bylaws.			0	08/15/2012		
					0	07/21/2015		

1		Notifications by the company to the shareholders are issued via mail, telefax or e-mail.				1	12/02/2020				
[TR = <i>Tagesregister</i> (daily register)]											
Ref.	Daily Register no.	Daily Register date	SHAB	SHAB date	Page / margin no.	Ref.	TR no.	Daily Register date	SHAB	SHAB date	Page / margin no.
0	(Company seat moved)										
1	7090	12/07/2020									
			(Company seat moved) (Approval EHRA [ <i>Eidgenössisches Amt für das Handelsregister</i> (Federal Commercial Register Office)])								
Entr.	Mod.	Del.	Personal information				Position			Type of signature	
0			Amin, Pranav Chirayu, Indian citizen, in Vadodara (India)				President of the Board of Directors			Sole signatory	
0			Kelemen, Ladislaus Szilard, of Villeneuve (Canton Vaud), in La Chaux-de-Fonds				Member of the Board of Directors			Sole signatory	
0			Vakkalanka, Swaroop Kumar Venkata Satya, Indian citizen, in Hyderabad (India)				Member of the Board of Directors			Sole signatory	
0			D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchâtel				Auditing body				

Basel, dated 12/08/2020 1:37 p.m.

Continued on next page

[Crest of Basel-City]

Commercial Register Office of the Canton of Basel-City

CHE-114.577.507	Rhizen Pharmaceuticals AG	Basel	2
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All entries

Basel, dated 12/08/2020 1:37 p.m. DI

This excerpt of the cantonal commercial register is only valid if it is certified in the original next to this text. It contains all entries currently effective for this company, as well as any deleted entries, for this company. Upon special request, it is also possible to create an excerpt which only contains all currently effective entries. With regards to the most recent, not yet published, entry, the authorization by the Swiss Federal Commercial Register Office as set out in Art. 11(2) HRegV [Handelsregisterverordnung (Commercial Register Ordinance)] is on file.

[Seal of the  
Commercial  
Register Office of  
Basel-City]

Commercial Register Basel-City  
[Signature]

## Commercial Register Office of the Canton of Basel-City

Company number	Legal form	Entry	Deletion	Transfer CH-645.4.103.326-3 from:	1		
<b>CHE-114.577.507</b>	<b>Stock corporation</b>	11/06/2008		to:			
[bar code] All entries							
Entr.	Del.	Company			Ref.	Company seat	
0	1	<del>Rhizen Pharmaceuticals SA</del>			0	prev.: La Chaux-de-Fonds	
0	1	<del>(Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd)</del>			1	Basel	
1		<b>Rhizen Pharmaceuticals AG</b>					
1		(Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd)					
Entr.	Del.	Share capital (CHF)	Payment (CHF)	Denomination	Entr.	Del.	Reg. office address
0		124,000.00	124,000.00	124,000 reg. shares at CHF 1.00 each	0	1	<del>rue Fritz Courvoisier 40</del>
					1		2300 La Chaux-de-Fonds Steinentorstr. 23 4051 Basel
Entr.	Del.	Object			Entr.	Del.	Other addresses
0	1	<del>Purpose: All research and development activities in the field of pharmaceutical and biotechnological products, including in the field of patents and other intellectual and industrial property rights.</del>					
1		The object of the company includes: all research and development activities in the area of pharmaceutical and biotechnological products, their production, marketing and all associated activities, including those in the area of patents and other intellectual and commercial property rights. The company can furthermore acquire shares in other enterprises of any kind, both foreign and domestic, or found, acquire or merge with such enterprises. It can purchase, encumber and sell real property and intellectual property rights, both foreign and domestic, as well as establish foreign or domestic branch offices or agencies by decision of the company management. The company may exercise all commercial, financial and other activities which are associated with the object of the company. The company may grant loans or other financing means to its direct or indirect parent company as well as to all other group companies of the corporation, and it may provide collateral of any kind for liabilities of such other companies, including via liens against or fiduciary assignments of assets of the company or via guarantees of any kind, either for consideration or not.					
Entr.	Del.	Comments			Ref.	Date of bylaw	
0	1	<del>Shareholders Meetings: F.O.S.C. or registered letter, fax, e-mail</del>			0	11/04/2008	
1		The transferability of the registered shares is limited in accordance with the bylaws.			0	08/15/2012	
					0	07/21/2015	

1		Notifications by the company to the shareholders are issued via mail, telefax or e-mail.					1	12/02/2020				
[TR = Tagesregister (daily register)]												
Ref.	Daily Register no.	Daily Register date	SHAB	SHAB date	Page / margin no.	Ref.	TR no.	Daily Register date	SHAB	SHAB date	Page / margin no.	
0	(Company seat moved)			(Company seat moved)								
1	7090	12/07/2020		[Eidgenössisches Amt für das Handelsregister (Federal Commercial Register Office)]								
[TR = Tagesregister (daily register)]												
Entr.	Mod.	Del.	Personal information				Position			Type of signature		
0			Amin, Pranav Chirayu, Indian citizen, in Vadodara (India)				President of the Board of Directors			Sole signatory		
0			Kelemen, Ladislaus Szilard, of Villeneuve (Canton Vaud), in La Chaux-de-Fonds				Member of the Board of Directors			Sole signatory		
0			Vakkalanka, Swaroop Kumar Venkata Satya, Indian citizen, in Hyderabad (India)				Member of the Board of Directors			Sole signatory		
0			D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchâtel				Auditing body					

Basel, dated 12/08/2020 1:37 p.m.

Continued on next page



[Crest of Basel-City]

Commercial Register Office of the Canton of Basel-City

<b>CHE-114.577.507</b>	<b>Rhizen Pharmaceuticals AG</b>	<b>Basel</b>	<b>2</b>
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All entries

Basel, dated 12/08/2020 1:37 p.m. DI

This excerpt of the cantonal commercial register is only valid if it is certified in the original next to this text. It contains all entries currently effective for this company, as well as any deleted entries, for this company. Upon special request, it is also possible to create an excerpt which only contains all currently effective entries. With regards to the most recent, not yet published, entry, the authorization by the Swiss Federal Commercial Register Office as set out in Art. 11(2) HRegV [Handelsregisterverordnung (Commercial Register Ordinance)] is on file.

[Seal of the  
Commercial  
Register Office of  
Basel-City]

Commercial Register Basel-City  
[Signature]



# Commercial Register Office of the Canton of Basel-Stadt

Company number: CHE-114.577.507      Legal entity: Corporation      Registration: Nov. 06, 2008      Cancellation:      Transfer CH-645.4.103.326-3 from: to:



[Bar code]

All entries

En	Ca	Company	Ref	Main office
0	1	Rhizen Pharmaceuticals SA	0	previously: La Chaux-de-Fonds
0	1	(Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd)	1	Basel
1		Rhizen Pharmaceuticals AG		
1		(Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd)		

En	Ca	Share capital (CHF)	Payment (CHF)	Share denominations	En	Ca	Business address
0		124,000.00	124,000.00	124,000 registered shares at CHF 1.00	0	1	rue Fritz-Courvoisier 40 2300 La Chaux-de-Fonds
					1	2	Steinentorstr. 23 4051 Basel
					2		Grosspeter tower Grosspeteranlage 29 4052 Basel

En	Ca	Purpose	En	Ca	other addresses
0	1	<del>Purpose: all research and development activities in the field of pharmaceutical and biotechnological products, their manufacture, marketing and all related operations, including in the field of patents and other intellectual and industrial property rights.</del>			
1		The purpose of the company includes: all research and development activities in the field of pharmaceutical and biotechnological products, the manufacture thereof, the marketing thereof and all related activities, including those in the field of patents and other intellectual and industrial property rights. The company may also participate in other companies of any kind at home or abroad, establish such companies, take over and merge with them. It can acquire, encumber and sell real estate and intellectual property rights at home and abroad and, by resolution of the management, establish branches and agencies at home or abroad. The company may carry out all commercial, financial and other activities that are related to the purpose of the company. The company can grant loans or other financing to its direct or indirect parent company and all other group companies and provide securities of all kinds for liabilities of such other companies, including by means of liens on or fiduciary transfer of assets of the company or guarantees of any kind, whether for consideration or not.			

En	Ca	Notes	Ref	Date of Articles of Association
0	1	Notifications to shareholders via: Swiss government's Official Gazette of Commerce (F.O.S.C.) or registered mail, fax or email.	0	Nov. 04, 2008
1		The transferability of the registered shares is limited in accordance with the Articles of Association	0	Aug. 15, 2012
1		Company notifications to shareholders are sent by letter, fax or email.	0	July 21, 2015
			1	Dec. 02, 2020

En	Ca	Specific facts	Ref	Publication medium
			0	SHAB

Ref	TR no.	TR date	SHAB	SHAB date.	page / id	Ref	TR no.	TR date	SHAB	SHAB date.	page / id
0											
1	7090	Dec. 07, 2020	241	Dec. 10, 2020	1005044313						
2	5937	Sep. 09, 2021	178	Sep. 14, 2021	1005290862						

En	Ch	Ca	Personal details	Function	Signature type
0			Amin, Pranav Chirayu, Indian citizen, in Vadodara (IN)	Chairman of the Board of Directors	Sole signatory
0			Kelemen, Ladislaus Szilard, von Villeneuve (VD), in La Chaux-de-Fonds	Member of the Board of Directors	Sole signatory



# Commercial Register Office of the Canton of Basel-Stadt

CHE-114.577.507	Rhizen Pharmaceuticals AG	Basel	2
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## All entries

En	Ch	Ca	Personal details	Function	Signature type
0			Vakkalanka, Swaroop Kumar Venkata Satya, Indian citizen, in Hyderabad (IN)	Member of the Board of Directors + General Manager	Sole signatory
0			D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchatel	Auditor	

Basel, Sep. 14, 2021 11:14 DI

This excerpt from the cantonal commercial register is not valid without the accompanying original certification. It contains all current entries for this company as well as any entries that have been deleted. Upon special request, an excerpt can also be created that only contains all current entries.



Commercial Register Office Basel-Stadt

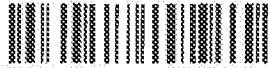
[signature]

[Seal]: Commercial  
Register Office  
Basel-Stadt



# Handelsregisteramt des Kantons Basel-Stadt

Firmennummer CHE-114.577.507	Rechtsnatur Aktiengesellschaft	Eintragung 06.11.2008	Löschung	Übertrag CH-645.4.103.326-3 von: auf:	1
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Alle Eintragungen

Ei	Lö	Firma	Ref	Sitz
0	1	Rhizen Pharmaceuticals SA	0	bisher: La Chaux-de-Fonds
0	1	(Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd)	1	Basel
1		<b>Rhizen Pharmaceuticals AG</b>		
1		(Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd)		

Ei	Lö	Aktienkapital (CHF)	Liberierung (CHF)	Aktien-Stückelung	Ei	Lö	Domiziladresse
0		124'000.00	124'000.00	124'000 Namenaktien zu CHF 1.00	0	1	rus-Fritz-Courvoisier-40 2300 La-Chaux-de-Fonds
					1	2	Steinentorstr-23 4051 Basel
					2		Grosspeter tower Grosspeteranlage 29 4052 Basel

Ei	Lö	Zweck	Ei	Lö	weitere Adressen
0	1	Bur toutes activités de recherche et développement dans le domaine des produits pharmaceutiques et biotechnologiques; leur fabrication; leur commercialisation ainsi que toutes opérations s'y rapportant, y compris dans le domaine des brevets et des autres droits de la propriété intellectuelle et industrielle.			
1		Der Zweck der Gesellschaft umfasst: alle Forschungs- und Entwicklungstätigkeiten auf dem Gebiet der pharmazeutischen und biotechnologischen Produkte, deren Herstellung, deren Vermarktung und alle damit zusammenhängenden Tätigkeiten, einschliesslich jener im Bereich der Patente und anderer geistiger und gewerblicher Eigentumsrechte. Die Gesellschaft kann sich daneben an anderen Unternehmen irgendwelcher Art im In- und Ausland beteiligen, solche gründen, übernehmen und mit ihnen fusionieren. Sie kann Liegenschaften und Immaterialgüterrechte im In- und Ausland erwerben, belasten und veräussern sowie durch Beschluss der Geschäftsführung Zweigniederlassungen und Agenturen im In- oder Ausland errichten. Die Gesellschaft kann alle kommerziellen, finanziellen und andere Tätigkeiten ausüben, die mit dem Zweck der Gesellschaft im Zusammenhang stehen. Die Gesellschaft kann ihrer direkten oder indirekten Muttergesellschaft sowie allen weiteren Konzerngesellschaften Darlehen oder andere Finanzierungen gewähren und für Verbindlichkeiten von solchen anderen Gesellschaften Sicherheiten aller Art stellen, einschliesslich mittels Pfandrechten an oder fiduziarischen Übereignungen von Aktiven der Gesellschaft oder Garantien jedweicher Art, ob gegen Entgelt oder nicht.			

Ei	Lö	Bemerkungen	Ref	Statutendatum
0	1	Convocations des actionnaires: F.O.S.C. ou lettre recommandée, téléfax, courrier électronique	0	04.11.2008
1		Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.	0	15.08.2012
1		Die Mitteilungen der Gesellschaft an die Aktionäre erfolgen durch Brief, Telefax oder E-Mail.	0	21.07.2015
			1	02.12.2020

Ei	Lö	Besondere Tatbestände	Ref	Publikationsorgan
			0	SHAB

Ref	TR-Nr	TR-Datum	SHAB	SHAB-Dat.	Seite / Id	Ref	TR-Nr	TR-Datum	SHAB	SHAB-Dat.	Seite / Id
0		(Sitzverlegung)		(Sitzverlegung)							
1	7090	07.12.2020	241	10.12.2020	1005044313						
2	5937	09.09.2021	178	14.09.2021	1005290862						

Ei	Ae	Lö	Personalangaben	Funktion	Zeichnungsart
0			Amin, Pranav Chirayu, indischer Staatsangehöriger, in Vadodara (IN)	Präsident des Verwaltungsrates	Einzelunterschrift
0			Kelemen, Ladislaus Szilard, von Villeneuve (VD), in La Chaux-de-Fonds	Mitglied des Verwaltungsrates	Einzelunterschrift



# Handelsregisteramt des Kantons Basel-Stadt

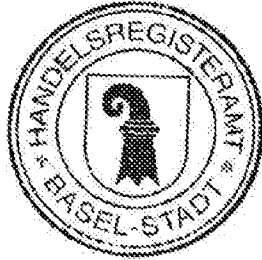
CHE-114.577.507	Rhizen Pharmaceuticals AG	Basel	2
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## Alle Eintragungen

Ei	Ae	Lö	Personalangaben	Funktion	Zeichnungsart
0			Vakkalanka, Swaroop Kumar Venkata Saiya, Indischer Staatsangehöriger, in Hyderabad (IN)	Mitglied des Verwaltungsrates + Generaldirektor	Einzelunterschrift
0			D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchâtel	Revisionsstelle	

Basel, 14.09.2021 11:14 DI

Dieser Auszug aus dem kantonalen Handelsregister hat ohne die nebenstehende Originalbeglaubigung keine Gültigkeit. Er enthält alle gegenwärtig für diese Firma aktuellen Eintragungen sowie allfällig gestrichene Eintragungen. Auf besonderes Verlangen kann auch ein Auszug erstellt werden, der lediglich alle gegenwärtig aktuellen Eintragungen enthält.



Handelsregisteramt Basel-Stadt