

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT8293893

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CONTRACT	
CONVEYING PARTY DATA		
	Name	Execution Date
	FENG PAN	01/22/2017
RECEIVING PARTY DATA		
Name:	YANGTZE MEMORY TECHNOLOGIES CO., LTD.	
Street Address:	NO. 88 WEILAI 3RD RD, EAST LAKE HIGH-TECH DEVELOPMENT ZONE	
City:	WUHAN, HUBEI	
State/Country:	CHINA	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Patent Number:	10886291
CORRESPONDENCE DATA		
Fax Number:	(202)371-2540	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	2023712600	
Email:	mbennett@sternekessler.com	
Correspondent Name:	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.	
Address Line 1:	1100 NEW YORK AVENUE, NW	
Address Line 4:	WASHINGTON, D.C. 20005	
ATTORNEY DOCKET NUMBER:	4123.0110002	
NAME OF SUBMITTER:	DONALD J. FEATHERSTONE, REG. NO. 33,876	
SIGNATURE:	/Donald J. Featherstone/	
DATE SIGNED:	11/27/2023	
Total Attachments: 5		
source=4123.0110002 Consulting Agreement for Feng PAN#page1.tif		
source=4123.0110002 Consulting Agreement for Feng PAN#page2.tif		
source=4123.0110002 Consulting Agreement for Feng PAN#page3.tif		
source=4123.0110002 Consulting Agreement for Feng PAN#page4.tif		
source=4123.0110002 Consulting Agreement for Feng PAN#page5.tif		

顾问协议

Consulting Agreement

甲方：长江存储科技有限责任公司

Party A: Yangtze Memory Technologies Co., Ltd

(以下简称“甲方”)

(Hereinafter referred to as "YMTc")

乙方

Party B:

地址

Address:

(以下简称“乙方”)

(Hereinafter referred to as "the Consulting Company")

(YMTc and the Consulting Company shall be hereinafter individually referred to as a "Party" and collectively referred to as the "Parties".)

鉴于，甲方因生产、经营管理需要，有意委托乙方提供本协议中约定的专业顾问服务；乙方愿意并接受甲方这一委托，并委派 潘锋 (以下简称“乙方顾问”) 担任专业顾问，代表乙方向甲方提供本协议约定的服务。

WHEREAS, due to the need of production and operational management, YMTc wishes to engage the Consulting company to provide professional consulting services in accordance with the terms and conditions stipulated in this Consulting Agreement (hereinafter referred to as the "Agreement"); The Consulting Company is willing to accept this engagement, and delegate Feng Pan (Hereinafter referred to as the "Consultant") as the professional consultant to provide professional consulting service herein, on behalf of the Consulting Company.

甲、乙双方经过平等、友好协商，就乙方为甲方提供顾问服务相关事宜，于 2017 年 2 月 1 日 (即本协议生效日期) 达成以下条款，以资双方共同遵守。

THEREFOR, in witness whereof, after equal and friendly negotiations, the Parties have reached an agreement on the consulting services to be provided by the Consulting Company on Feb 1, 2017, in order to be mutually bound as follows:

乙方签署: Initial Signature by the Consulting Company: FP

乙方签署: Initial Signature by the Consulting Company: J.P.

- 3.3 本协议有效期内所产生的工作成果(含职务作品)及所有知识产权/智力财产,应被认定为机密和甲方的专属资产,包括但不限于专利权、商标权、著作权、集成电路布图设计、商业秘密等。乙方及乙方顾问对此无权主张任何权利,且在此放弃可能在现在/将来对所有权利、补偿或奖金主张的权利。

All the work products (including commissioned work products) and intellectual property rights generated during the term of the Agreement shall be deemed confidential and shall be owned exclusively by YMTC, which include but not limited to, patent rights, trademarks, copyrights, integrated circuit designs and trade secrets. The Consulting Company and the Consultant shall no right to assert any right and claim hereof, and agree to waive now and/or in the future any potential claim to any right, compensation and/or reward in connection therewith.

- 3.4 本条规定的上述义务于本协议解除或终止之后继续有效。乙方同意:对第3条约定的保密及知识产权的义务的任何违反将对甲方造成不可挽救及实质性的损害,对此甲方有权要求乙方及乙方顾问承担相应违约责任。

The provisions of this Section 3 shall survive any expiration or termination of the Agreement. The Consulting Company agrees that any breach of the confidentiality obligation and the obligations on such intellectual property rights pursuant to this Section 3 will cause YMTC irreplaceable and substantive damages, for which YMTC shall be entitled to hold the Consulting Company and the Consultant fully liable for the breach of the Agreement.

四、 服务期限及解除 Term and Termination

- 4.1 乙方及乙方顾问为甲方提供服务的期限为 6个月,自本协议生效之日起计算。前述服务期限届满前,经双方书面同意后,双方可对本协议进行续签或延长前述服务期限。

The term of the consulting services shall be six months, commencing from the date which the Agreement is executed by both Parties ("the Term"). The Agreement may be renewed or extended by written consent of both Parties.

乙方签署: Initial Signature by the Consulting Company: J.P.

乙方签署: Initial Signature by the Consulting Company: 7-8

【以下无正文】

[No Text Below]

甲 方: 长江存储科技有限责任公司 (盖章)
Party A: Yangtze Memory Technologies Co., Ltd. (Stamp)

授权代表 Authorized representative

职 务 Title:

日 期 Date:

乙 方:

Party B:

FENG PAN

授权代表 Authorized representative:

职 务 Title:

日 期 Date:

Feng Pan
President & CEO
1/22/2017

乙方签署: Initial Signature by the Consulting Company:

F.P.