

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT8316983

|                                                                                                                                                                                                 |                                 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT                  |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | ASSIGNMENT                      |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                                 |
| <b>Name</b>                                                                                                                                                                                     | <b>Execution Date</b>           |
| PETER MACLELLAN                                                                                                                                                                                 | 09/22/2020                      |
| MATTHEW PENNOCK                                                                                                                                                                                 | 10/27/2020                      |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                                 |
| <b>Name:</b>                                                                                                                                                                                    | LUTRON KETRA, LLC               |
| <b>Street Address:</b>                                                                                                                                                                          | 7200 SUTER RD.                  |
| <b>Internal Address:</b>                                                                                                                                                                        | PATENT DEPARTMENT               |
| <b>City:</b>                                                                                                                                                                                    | COOPERSBURG                     |
| <b>State/Country:</b>                                                                                                                                                                           | PENNSYLVANIA                    |
| <b>Postal Code:</b>                                                                                                                                                                             | 18036                           |
| <b>PROPERTY NUMBERS Total: 2</b>                                                                                                                                                                |                                 |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>                   |
| <b>Application Number:</b>                                                                                                                                                                      | 18186497                        |
| <b>Application Number:</b>                                                                                                                                                                      | 17208950                        |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                                 |
| <b>Fax Number:</b>                                                                                                                                                                              | (610)282-6354                   |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                 |
| <b>Phone:</b>                                                                                                                                                                                   | 610-282-3800                    |
| <b>Email:</b>                                                                                                                                                                                   | patents@lutron.com              |
| <b>Correspondent Name:</b>                                                                                                                                                                      | LUTRON ELECTRONICS CO., INC.    |
| <b>Address Line 1:</b>                                                                                                                                                                          | 7200 SUTER RD.                  |
| <b>Address Line 2:</b>                                                                                                                                                                          | PATENT DEPARTMENT               |
| <b>Address Line 4:</b>                                                                                                                                                                          | COOPERSBURG, PENNSYLVANIA 18036 |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | 18-00149-P2 CT1 & CT2           |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | FELICIA GANGI                   |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /Felicia Gangi/                 |
| <b>DATE SIGNED:</b>                                                                                                                                                                             | 12/07/2023                      |
| <b>Total Attachments: 5</b>                                                                                                                                                                     |                                 |
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## ASSIGNMENT

This ASSIGNMENT (the "Assignment") is made and entered into by and among **Peter MacLellan** and **Matthew Pennock** (referred to hereinafter as "ASSIGNOR" whether singular or plural) and **Lutron Ketra, LLC** (hereinafter referred to as "ASSIGNEE"), a Delaware limited liability company, with an address of 2301-B East Riverside Drive, Austin, TX 78741.

WHEREAS, ASSIGNOR is inventor of certain inventions, improvements, and discoveries and ASSIGNEE is desirous of acquiring the entire right, title and interest in and to the said inventions, improvements, and discoveries.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR and ASSIGNEE agree as follows:

1. **Invention Defined.** As used in this Assignment, "Invention" refers to said certain inventions, improvements, and discoveries and the following application(s) for patent for said certain inventions, improvements, and discoveries:

☒ U.S. Patent Application No. 16/696,618, Filed November 26, 2019, and Titled: Load Control Device Configured to Operate in Two-Wire and Three-Wire Modes,

☒ PCT Application No. PCT/US2019/063347, Filed November 26, 2019, and Titled: Load Control Device Configured to Operate in Two-Wire and Three-Wire Modes,

together with all inventions, improvements, and discoveries disclosed or claimed therein and any improvements thereto, any provisional, non-provisional, continuation, divisional, continuation-in-part, or substitute thereof, the right to file foreign applications under the provisions of any treaty or convention and all rights to claim priority from the aforesaid applications, all applications claiming priority therefrom for the United States, or any other country, or any regional or international patent convention, any and all patents, utility models, invention registrations, or any other form of legal protection issuing from any of the aforesaid in the United States or any other country, any reexamination, reissue, renewal, or extension thereof, all rights to sue for past, present, or future infringement under any of the aforesaid and the right to collect and receive any damages, royalties, or settlement for such infringements and any and all causes of action relating to any of the inventions, improvements, or discoveries disclosed in any of the aforesaid.

2. **Assignment.** ASSIGNOR hereby confirms having sold, assigned, transferred, and set over to ASSIGNEE all right, title, and interest in and to the Invention, and hereby sells, assigns, transfers, and sets over to ASSIGNEE, and ASSIGNEE hereby accepts, ASSIGNOR's entire right, title, and interest in and to the Invention.

3. **Acknowledgement.** ASSIGNOR hereby assigns to and authorizes ASSIGNEE to make application for and to receive Letters Patent for the Invention in any country in ASSIGNOR's or ASSIGNEE's name, at ASSIGNEE's election. ASSIGNOR hereby authorizes and requests the competent authorities to record this Assignment, and to grant and issue any and all patents included in the Invention to said ASSIGNEE as assignee of the entire right, title, and interest therein. ASSIGNOR hereby authorizes and requests any attorney or agent associated with customer

number 141304 to insert in the spaces provided above the filing date and application number of said Invention when known.

4. Further Assurances. ASSIGNOR represents and warrants that it has the full right to convey the entire interest herein assigned, and that it is under no obligation and has not executed any agreement in conflict herewith. ASSIGNOR hereby covenants and agrees (i) that it will, each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to establish inventorship or to perfect the title to said Invention to said ASSIGNEE, its successors, assigns, nominees, or legal representatives, (ii) that it shall not enter into any agreement, execute any assignment, or take any other action in conflict with this Assignment, and (iii) to communicate to said ASSIGNEE or to its nominee all known facts respecting the Invention, to testify in any legal proceedings relating to the Invention or this Assignment, to sign all lawful papers relating to the Invention, to make all rightful oaths, and generally to do everything possible to aid said ASSIGNEE, its successors, assigns, nominees, and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said Invention in any and all countries.

5. Counterparts. This Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original and where the executed signature pages with a single copy of this instrument together shall constitute an original of this Assignment.

[Remainder of Page Intentionally Left Blank]

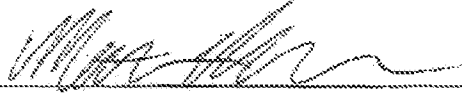
IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be executed as of the date written below.

9/22/2020      Peter MacLellan  
Date      Peter MacLellan

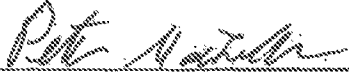
Witnessed by: Arjun K. V.      Date: 22<sup>nd</sup> Sept 2020  
Signature  
ARJUN KALYA VYASARAS  
Printed Name of Witness

Witnessed by: Alcides Dias      Date: 9/22/2020  
Signature  
ALCIDES DIAS  
Printed Name of Witness

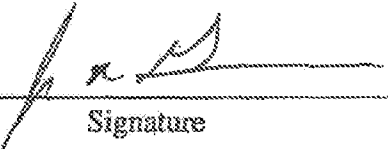
IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be executed as of the date written below.

27 October 2020      

Date      Matthew Pennock

Witnessed by:       Date: 10-27-2020  
Signature

Peter MacLellan  
Printed Name of Witness

Witnessed by:       Date: 10/27/2020  
Signature

Jacob Everm  
Printed Name of Witness

The ASSIGNEE hereby accepts this Assignment from the ASSIGNOR.

**Lutron Ketra, LLC.**

12/8/2020

Date

[Signature]

Name: Luu T. Tran

Title: Treasurer

On behalf of **Lutron Ketra, LLC**

STATE OF Pennsylvania

: SS

COUNTY OF Lehigh:

On this 8 day of December, year of 2020 before me personally came the above named Luu T. Tran to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Erinne R. Schmalzer

Notary Public

