

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

Assignment ID: PATI26355

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
H2O Research GmbH	05/30/2023
<b>RECEIVING PARTY DATA</b>	
<b>Company Name:</b>	3P Technik Filtersysteme GmbH
<b>Street Address:</b>	ÖSCHSTRASSE 14
<b>City:</b>	DONZDORF
<b>State/Country:</b>	GERMANY
<b>Postal Code:</b>	73072
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	9970188
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	3017624056
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	2704.0001C
<b>NAME OF SUBMITTER:</b>	Stephanie Jeppi
<b>SIGNATURE:</b>	Stephanie Jeppi
<b>DATE SIGNED:</b>	02/15/2024
<b>Total Attachments: 4</b>	
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**Patent purchase agreement  
and  
Cancellation of Cooperation agreement**

between

**H<sub>2</sub>O Research GmbH**, represented by the CEO Dr.-Ing. Carsten Dierkes, Kopernikusweg 27a,  
48155 Münster

(hereinafter: "vendor")

and

**3P Technik Filtersysteme GmbH**, represented by the CEO Jorge Torras-Pique, Robert-Bosch-  
Str. 16-18, 73337 Bad Überkingen

(hereinafter: "purchaser")

(1) The parties are joint owners and authorized to dispose of patents and patent applications for filter systems etc. according to the attached patent list (Annex 1) - hereinafter referred to as the "patents".

(2) On January 16, 2018 and December 22, 2017 respectively, the parties signed an agreement entitled titled "Cooperation Agreement" for the use of the joint patents solely by the purchaser.

(3) With this agreement, the cooperation agreement with all rights and obligations is cancelled and the (co-)ownership of the patent is fully transferred from the vendor to the purchaser.

**§1 Subject matter of the agreement**

(1) The vendor sells and transfers all patents in question (as per Annex 1) to the purchaser.

(2) The purchaser accepts the sale and transfer of the patents.

(3) The vendor agrees to the transfer of the rights to the patents and undertakes to hand over the necessary documents to the purchaser and to provide all necessary signatures.

(4) Consent to the transfer of the rights to the patents is given after payment of the 1st installment of the purchase price.

## **§2 Purchase price**

- (1) The purchase price for the sale and transfer of the rights pursuant to § 1 (1), for the transfer of ownership pursuant to § 1 (4) amounts to a total of [REDACTED] plus VAT at the statutory rate.
- (2) The purchase price is paid in 5 equal annual installments. Payment of the first installment of [REDACTED] is due on 01.07.2023. Payment of the remaining amount in the amount of € [REDACTED] will be made in 4 further equal annual installments, for the first time on 01.07.2024, for the last time on 01.07.2027. Payment is subject to VAT at the statutory rate.
- (3) Payment of the purchase price shall be made to the account IBAN no. [REDACTED] of the vendor at Sparkasse Münsterland Ost (Bank).

## **§3 Obligations of the vendor**

- (1) The vendor undertakes to support the purchaser in connection with the patent transfers and any patent grant proceedings concerning the patents in question.
- (2) For the avoidance of doubt, all obligations arising from this agreement also apply to the CEO of the vendor as a co-inventor in his person.

## **§4 Cancellation Cooperation Agreement and remaining obligations arising from it**

- (1) The parties agree that the cooperation agreement concluded between them shall be terminated upon purchase of the patents and payment of the first installment on 01.07.2023.
- (2) Until the cooperation agreement is terminated, the purchaser continues to pay the vendor the remuneration and will also receive the agreed benefits until the cooperation agreement is terminated.

## **§5 Obligation not to attack**

The vendor undertakes neither to attack the patents nor to support third parties in attacks.

## **§6 Expenses**

- (1) The purchaser is obliged to bear the costs for the transfer of the patents.

(2) Furthermore, the purchaser is obliged to bear all fees and costs for the granting and maintenance of the patents.

**§7 Applicable law, place of performance and jurisdiction**

- (1) The license agreement is subject to German law.
- (2) The place of performance is the registered office of the licensee (currently: Ulm Regional Court).
- (3) The exclusive place of jurisdiction for all disputes arising from this contract and its execution is the District Court of Ulm (The Licensor is also entitled to bring disputes arising from this contract before the locally competent court in the licensee's home country).

**§8 Written form**

- (1) The parties agree that no ancillary agreements have been made outside of this contract.
- (2) Amendments, supplements and additions to this contract must be made in writing with express reference to this contract if the parties have agreed them in writing.
- (3) The written form requirement itself can only be waived in writing.

**§9 Severability clause**

Should individual provisions of this contract be or become ineffective, this shall not affect the validity of the remainder of the contract. The parties undertake to replace the invalid provision with a valid provision that comes closest to the economic purpose of the invalid provision. The same shall apply in the event of a gap in the contract.

Bad Überkingen, May 30, 2023



Jorge Torras-Piqué

CEO

3P Technik Filtersysteme GmbH

Münster, May 30, 2023



Dr.-Ing. Carsten Dierkes

CEO

H<sub>2</sub>O Research GmbH

Title: Filter device for cleaning of water polluted with solid particles and/or dissolved pollutants

- National German part No. 50 2010 011 339.8 of European Patent No. 2 438 017

Title: Drainage device

- National Austrian part No. E 1.198.904 of European Patent No. 2 769 029
- National Swiss part of European Patent No. 2 769 029
- National German part No. 50 2012 015 508.8 of European Patent No. 2 769 029
- National British part of European Patent No. 2 769 029
- National Irish part of European Patent No. 2 769 029
- Australian Patent No. 2012367136
- US-Patent No. 9,970,188

Title: Sedimentation device

- German Patent Application No. 10 2019 203 116.1
- Australian Patent Application No. 2020230787
- Brazilian Patent Application No. BR 11 2020 025554 8
- European Patent Application No. 20 703 744.1 (3 787 767)
- US-Patent No. 11,167,224

Title: Sedimentation device

- German Patent Application No. 10 2022 113 532.2
- International Patent Application No. PCT/EP2023/064234