

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Alec RADFORD	01/17/2024
Jong Wook KIM	01/16/2024
Tao XU	01/16/2024
Greg BROCKMAN	01/23/2024
Christine MCLEAVEY-PAYNE	01/16/2024
Ilya SUTSKEVER	03/02/2024
RECEIVING PARTY DATA	
Company Name:	OpenAI OpCo LLC
Street Address:	3180 18th Street
City:	San Francisco
State/Country:	CALIFORNIA
Postal Code:	94110
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	18302289
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	16273.0013-00000
NAME OF SUBMITTER:	John Kraus
SIGNATURE:	John Kraus
DATE SIGNED:	03/18/2024

Total Attachments: 3

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COMBINED ASSIGNMENT/DECLARATION (37 CFR 1.63)

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) name below (referred to as “INVENTOR” whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to OpenAI OpCo LLC, a Delaware limited liability company, having a place of business at 3180 18th Street, San Francisco, California 94110, USA (“ASSIGNEE”), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements (“SUBJECT MATTER”) that are disclosed in the following provisional application filed under 35 U.S.C. § 111(b), non-provisional application filed under 35 U.S.C. § 111(a), international application filed according to the Patent Cooperation Treaty (PCT), or U.S. national phase application filed under 35 U.S.C. § 371 (“APPLICATION”):
 - Application No. 18/302,289 filed on April 18, 2023 and entitled “**MULTI-TASK AUTOMATIC SPEECH RECOGNITION SYSTEM**”.
2. The entire worldwide right, title, and interest in and to: (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto which have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) which may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE’s own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, title, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

By signing below, INVENTOR further attests to the following:

- The APPLICATION was made or authorized to be made by INVENTOR.
- INVENTOR believes that INVENTOR is the original inventor or an original joint inventor of a claimed invention in the APPLICATION.
- INVENTOR acknowledges the duty to disclose to the United States Patent and Trademark Office all information known to INVENTOR to be material to patentability as defined in 37 CFR § 1.56, which for a continuation-in-part includes information known to INVENTOR to be material to patentability as defined in 37 CFR § 1.56 that became available between the filing date of the prior patent application and the National or PCT filing date of the continuation-in-part application.
- INVENTOR has reviewed and understands the contents of the APPLICATION, including the claims.

INVENTOR hereby acknowledges that any willful false statement made in this document is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

DocuSigned by:
/ *Alec Radford* /
40085B159FE74F1...
Alec Radford

1/17/2024 | 12:53:42 AM EST
Date

DocuSigned by:
/ *Jong Wook Kim* /
D8A9BF58A29241D...
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1/16/2024 | 4:39:36 PM EST
Date

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1/16/2024 | 4:09:03 PM EST

Date

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1/23/2024 | 8:50:56 AM PST

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1/16/2024 | 6:52:34 PM EST

Date

/ Ilya /
Ilya Sutskever

03 / 02 / 2024

Date