

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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Assignment ID: PAT1158909

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Dale W. TAYLOR	12/18/2023
RECEIVING PARTY DATA	
Company Name:	RIEKE LLC
Street Address:	500 West Seventh Street
City:	Auburn
State/Country:	INDIANA
Postal Code:	46706
PROPERTY NUMBERS Total: 3	
Property Type	Number
PCT Number:	US2048689
Application Number:	17637945
Application Number:	62893271
CORRESPONDENCE DATA	
Fax Number:	2163485474
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2163485400
Email:	IPMAILBOX@MCDONALDHOPKINS.COM
Correspondent Name:	MCDONALD HOPKINS LLC
Address Line 1:	600 SUPERIOR AVE., E.
Address Line 2:	SUITE 2100
Address Line 4:	CLEVELAND, OHIO 44114
ATTORNEY DOCKET NUMBER:	18794-01071
NAME OF SUBMITTER:	Rebecca Shofar
SIGNATURE:	Rebecca Shofar
DATE SIGNED:	04/11/2024
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 5	
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Title: "CHILD RESISTANT CLOSURE AND SPOUT COMBINATION"
MH Ref No.: 18794-01071

**DECLARATION AND ASSIGNMENT
FOR U.S. UTILITY OR DESIGN PATENT APPLICATION**

Attorney Docket No.: 18794-01071

As a below-named inventor, I hereby declare that:

This declaration is directed to the application entitled:

CHILD RESISTANT CLOSURE AND SPOUT COMBINATION
(TITLE)

(check only one item below)

attached hereto,

OR

For which U.S. Patent Application No. 17/637,945 filed on February 24, 2022; which is a National Stage Entry of International Patent Application No. PCT/US2020/048689 filed on August 31, 2020; which claims the benefit of U.S. Provisional Application No. 62/893,271 filed on August 29, 2019; and any subsequent applications filed in connection with the above invention (the "Patent").

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, RIEKE LLC, having a place of business at 500 West Seventh Street, Auburn, Indiana 46706, hereinafter referred to as "Assignee," is desirous of acquiring the entire right, title and interest in and to the Patent;

NOW, THEREFORE, be it known that, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, all right, title, and interest, in the United States and throughout the world, in, to and under the Patent, improvements and inventions and all patents, patent applications, patent rights, and inventors' certificates thereof, therefor, and therein, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all right to

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SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	CHILD RESISTANT CLOSURE AND SPOUT COMBINATION		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>17/637,945</u> filed on <u>February 24, 2022</u> .			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Anthony ANGELOZZI			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Fort Wayne	IN	US	
Mailing Address (except for a deceased or legally incapacitated inventor):			
6220 Headwaters Trl.			
City	State	Zip	Country
Fort Wayne	IN	46845	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input type="checkbox"/> Assignee,			
<input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

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SUBSTITUTE STATEMENT**Circumstances permitting execution of this substitute statement:**

- Inventor is deceased,
 Inventor is under legal incapacity,
 Inventor cannot be found or reached after diligent effort, or
 Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
OR
 An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: Howard Manning

Date (Optional):

Signature:

Howard Manning
Howard Manning (Apr 10, 2024 13:26 GMT+1)**APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

RIEKE LLC

Applicant Name:

Title of Person Executing
This Substitute Statement: VP and General Manager Closures

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):City **Auburn**State **IN**Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

500 West Seventh Street

City **Auburn**State **IN**Zip **46706**Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013). <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>

Routine uses of the information in this record may include disclosure to: 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law; 2) a Federal, state, local, or international agency, in response to its request; 3) a contractor of the USPTO having need for the information in order to perform a contract; 4) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record; 5) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record; 6) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations; 7) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals; 8) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)); 9) the Office of Personnel Management (OPM) for personnel research purposes; and 9) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.

Additional Uses

Additional USPTO uses of the information in this record may include disclosure to: 1) the International Bureau of the World Intellectual Property Organization, if the record is related to an international application filed under the Patent Cooperation Treaty; 2) the public i) after publication of the application pursuant to 35 U.S.C. 122(b), ii) after issuance of a patent pursuant to 35 U.S.C. 151, iii) if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections, or an issued patent, or iv) without publication of the application or patent under the specific circumstances provided for by 37 CFR 1.14(a)(1)(v)-(vii); and/or 3) the National Archives and Records Administration, for inspection of records.

Title: "CHILD RESISTANT CLOSURE AND SPOUT COMBINATION"
MH Ref No.: 18794-01071

THIS DECLARATION AND ASSIGNMENT, has been executed below by the undersigned:

Legal Name of Inventor: Dale W. Taylor

Signature of Inventor: Dale W. Taylor

Date: 12/18/2023

[] Signatures of additional inventors on attached sheet(s)

Notice to Inventor(s): You should not execute this Declaration unless you have reviewed and understand the contents of the above-identified application, including the claims.

Notice to Inventor(s): You have a duty disclose to the USPTO all information known to you to be material to patentability as defined in 37 CFR 1.56 during prosecution of the Patent.