

PATENT ASSIGNMENT COVER SHEET

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Assignment ID: PATI224806

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Clifford Weber	07/23/2014
Thomas Champion	07/25/2014
Laura V. Morrison	07/23/2014
RECEIVING PARTY DATA	
Company Name:	NYSE Group, Inc.
Street Address:	11 Wall Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10005
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	18660917
CORRESPONDENCE DATA	
Fax Number:	2156563301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2156563385
Email:	pto.phil@us.dlapiper.com
Correspondent Name:	Paul A. Taufer
Address Line 1:	DLA Piper LLP (US)
Address Line 2:	1650 Market Street, Suite 5000
Address Line 4:	Philadelphia, PENNSYLVANIA 19103
ATTORNEY DOCKET NUMBER:	NGI-14-2061CC4C-CON
NAME OF SUBMITTER:	Nancy Nunez
SIGNATURE:	Nancy Nunez
DATE SIGNED:	05/10/2024
Total Attachments: 2	
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ASSIGNMENT

WHEREAS, we, Clifford Weber, Thomas Champion and Laura V. Morrison, citizens of the United States of America, residing at 22 Emerald Valley Lane, Basking Ridge, NJ 07920; 72 Henning Drive, Montrose, NY 10548 and 377 Rector Place, #26G, New York, NY 10280, respectively (hereinafter referred to as "the undersigned"), having made an invention entitled SYSTEMS AND METHODS FOR USING SECONDARY MARKET FOR PRIMARY CREATION AND REDEMPTION ACTIVITY IN SECURITIES, identified in US Application No. 62/028,447 (DLA Piper is authorized to insert the Serial No. when known),

WHEREAS, NYSE Group, Inc., with offices located at 11 Wall Street, New York, NY 10005 (hereinafter referred to as "the assignee"), is desirous of acquiring the entire right, title and interest in said invention, said US application and all letters patent issuing for said invention,

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and of other good and valuable consideration, receipt of which is hereby acknowledged, the undersigned, intending to be legally bound, do hereby sell, assign and transfer to the assignee the entire right, title and interest, for the United States of America, its territories and possessions, and for all foreign countries, in said invention, including said US application, all divisions and continuations thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention, and all letters patent and reissues thereof, issuing for said invention in the United States of America and in any and all foreign countries.

It is agreed that the undersigned shall be legally bound, upon request of the assignee, or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the undersigned has knowledge or possession, relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention in the United States of America and foreign countries in the name of the assignee, and to execute all instruments proper to carry out the intent of this instrument. If the undersigned includes more than one individual, these obligations shall apply to all of the undersigned both individually and collectively.

The rights and property herein conveyed by the undersigned are free and clear of any encumbrance.

EXECUTED on the date(s) set forth below.

7/23/2014
Date

7/23/2014
Date

Clifford Weber
Clifford Weber

Thomas Champion
Laura V. Morrison
Thomas Champion
Laura V. Morrison

EAST7869 K118.1

ASSIGNMENT

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EXECUTED on the date(s) set forth below.

Date

7/25/2014
Date

Date

Clifford Weber
Thomas Champion
Thomas Champion

Laura V. Morrison

EAST 07/26/2014 10:14:11