

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: PATI364343

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| Jeremy PHILLIPS | 01/15/2019 |
| Pablo Javier BALLESTEROS GOMEZ | 01/15/2019 |
| RECEIVING PARTY DATA | |
| Company Name: | NICOVENTURES TRADING LIMITED |
| Street Address: | Globe House |
| Internal Address: | 1 Water Street |
| City: | London |
| State/Country: | UNITED KINGDOM |
| Postal Code: | WC2R 3LA |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 15733414 |
| CORRESPONDENCE DATA | |
| Fax Number: | 6128522701 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 6128522700 |
| Email: | mollie.kufner@Huschblackwell.com,IP-BAT@huschblackwell.com |
| Correspondent Name: | Mollie Kufner |
| Address Line 1: | 80 S 8th Street |
| Address Line 2: | Suite 4800 |
| Address Line 4: | Minneapolis, MINNESOTA 55402 |
| ATTORNEY DOCKET NUMBER: | 40068-215 |
| NAME OF SUBMITTER: | Mollie Kufner |
| SIGNATURE: | Mollie Kufner |
| DATE SIGNED: | 07/16/2024 |
| Total Attachments: 6 | |
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P10015826 / 81766669

THIS ASSIGNMENT effective 15 January 2019 is made **BETWEEN**

JEREMY PHILLIPS, of c/o BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

PABLO JAVIER BALLESTEROS GOMEZ, of c/o BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

(hereinafter "the Inventor(s)")

and

BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Employer")

(the Inventor(s) and Employer jointly referred to as "the Assignors")

and

NICOVENTURES TRADING LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

(hereinafter "the Assignee")

(the Assignors and the Assignee being jointly referred to as "the Parties")

CONFIRMATION OF ASSIGNMENT

(A) The Parties hereby confirm that:

(i) The Inventor(s) have created an invention entitled "Apparatus for Heating Aerosol Generating Material", identified by Assignee case number P10015826 / 81766669 and now encompassed in UK patent application No. GB1801257.5 and hereinafter referred to as 'the Invention';

(ii) That by virtue of employment of the Inventor(s), the Employer, was entitled to and held all rights in the Invention, including the right to file one or more patent applications for the Invention;

(iii) That by virtue of a pre-existing agreement between the Employer and the Assignee, the rights in the invention, including the right to file one or more patent applications for the Invention were transferred to the Assignee; and

(iv) The Assignee owns all rights in the invention, including the right to file one or more patent applications for the invention.

AND NOW THIS ASSIGNMENT WITNESSES:

(B) If and to the extent that any of (i) to (iv) listed in clause (A) above is deemed

to be ineffective, then:

In consideration of the sum of one-pound sterling (£1.00) and other good and valuable consideration paid by the Assignee to the Assignors (the receipt of which is hereby acknowledged);

(a) The inventors hereby assign absolutely with full title guarantee all legal and equitable interests in the Invention in all territories of the world, including any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to make any new application or applications in respect of any part of the subject matter of any application or specification filed in connection with the Invention, including all rights to claim priority of any such applications and any and all rights to extend any such application, patent or other form of protection to any other country of the world, and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Employer absolutely; and

(b) The Employer hereby assigns absolutely with full title guarantee all legal and equitable interests in the Invention in all territories of the world, including any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to make any new application or applications in respect of any part of the subject matter of any application or specification filed in connection with the Invention, including all rights to claim priority of any such applications and any and all rights to extend any such application, patent or other form of protection to any other country of the world, and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Assignee absolutely;

The Assignors undertake to execute documents and perform other acts reasonably required to enable the Assignee to enjoy the full benefit of the property and rights and to apply for and effectively vest in patents and other rights in respect of the assigned rights throughout the world.

This Assignment shall be governed by and construed in accordance with English law and each party agrees to submit to the exclusive jurisdiction of the courts of England and Wales.

IN WITNESS of which the Parties have executed this Assignment

EXECUTED by


Jeremy Phillips:

Signature: _____

Name: _____

Date: _____

Pablo Javier Ballesteros Gomez:

Signature: 

Name: PABLO JAVIER BALLESTEROS GOMEZ

Date: 15. 01. 2019

**EXECUTED for and on behalf of
BRITISH-AMERICAN TOBACCO
(INVESTMENTS) LIMITED**

Signature: 

Name: JAMES CUNNINGTON

Capacity: ASSISTANT COMPANY SECRETARY

Date: 15 JANUARY 2019

**EXECUTED for and on behalf of
NICOVENTURES TRADING LIMITED**

Signature: 

Name: AUTHORISED ATTORNEY

Capacity: DAVID MARSH

Date: 16/01/2019

THIS ASSIGNMENT effective 15 JANUARY ²⁰¹⁹ is made **BETWEEN**

JEREMY PHILLIPS, of c/o BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

PABLO JAVIER BALLESTEROS GOMEZ, of c/o BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

(hereinafter "the Inventor(s)")

and

BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom (hereinafter "the Employer")

(the Inventor(s) and Employer jointly referred to as "the Assignors")

and

NICOVENTURES TRADING LIMITED of Globe House, 1 Water Street, London WC2R 3LA, United Kingdom

(hereinafter "the Assignee")

(the Assignors and the Assignee being jointly referred to as "the Parties")

CONFIRMATION OF ASSIGNMENT

(A) The Parties hereby confirm that:

(i) The Inventor(s) have created an invention entitled "Apparatus for Heating Aerosol Generating Material", identified by Assignee case number P10015826 / 81766669 and now encompassed in UK patent application No. GB1801257.5 and hereinafter referred to as 'the Invention';

(ii) That by virtue of employment of the Inventor(s), the Employer, was entitled to and held all rights in the Invention, including the right to file one or more patent applications for the Invention;

(iii) That by virtue of a pre-existing agreement between the Employer and the Assignee, the rights in the invention, including the right to file one or more patent applications for the Invention were transferred to the Assignee; and

(iv) The Assignee owns all rights in the invention, including the right to file one or more patent applications for the invention.

AND NOW THIS ASSIGNMENT WITNESSES:

(B) If and to the extent that any of (i) to (iv) listed in clause (A) above is deemed

to be ineffective, then:

In consideration of the sum of one-pound sterling (£1.00) and other good and valuable consideration paid by the Assignee to the Assignors (the receipt of which is hereby acknowledged);

(a) The inventors hereby assign absolutely with full title guarantee all legal and equitable interests in the Invention in all territories of the world, including any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to make any new application or applications in respect of any part of the subject matter of any application or specification filed in connection with the Invention, including all rights to claim priority of any such applications and any and all rights to extend any such application, patent or other form of protection to any other country of the world, and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Employer absolutely; and

(b) The Employer hereby assigns absolutely with full title guarantee all legal and equitable interests in the Invention in all territories of the world, including any and all rights to apply for and obtain patents or other forms of protection in respect of the Invention in all countries of the world, including any and all rights to make any new application or applications in respect of any part of the subject matter of any application or specification filed in connection with the Invention, including all rights to claim priority of any such applications and any and all rights to extend any such application, patent or other form of protection to any other country of the world, and including the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any patent or patents granted in respect of the Invention whether such infringements take place before or after the effective date of this assignment TO HOLD the same unto the Assignee absolutely;

The Assignors undertake to execute documents and perform other acts reasonably required to enable the Assignee to enjoy the full benefit of the property and rights and to apply for and effectively vest in patents and other rights in respect of the assigned rights throughout the world.

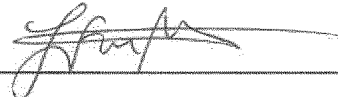
This Assignment shall be governed by and construed in accordance with English law and each party agrees to submit to the exclusive jurisdiction of the courts of England and Wales.

IN WITNESS of which the Parties have executed this Assignment

EXECUTED by

Jeremy Phillips:

Signature: _____



Name: _____

JEREMY PHILLIPS

Date: 15/01/19

Pablo Javier Ballesteros Gomez:

Signature: _____

Name: _____

Date: _____

EXECUTED for and on behalf of
**BRITISH-AMERICAN TOBACCO
(INVESTMENTS) LIMITED**

Signature: 

Name: JAMES CUNNINGTON

Capacity: ASSISTANT COMPANY SECRETARY

Date: 21 JANUARY 2019

EXECUTED for and on behalf of
NICOVENTURES TRADING LIMITED

Signature: 

Name: David Marsh

Capacity: Authorised Attorney

Date: 21 January 2019