

## PATENT ASSIGNMENT COVER SHEET

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Assignment ID: PATI364408

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	NORDIC WATER PRODUCTS AB	10/24/2022
<b>RECEIVING PARTY DATA</b>		
<b>Company Name:</b>	SULZER MANAGEMENT AG	
<b>Street Address:</b>	Neuwiesenstrasse 15	
<b>City:</b>	Winterthur	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	8401	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14238786
<b>CORRESPONDENCE DATA</b>		
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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<b>ATTORNEY DOCKET NUMBER:</b>	IR-US225511	
<b>NAME OF SUBMITTER:</b>	EMILY ENGLISH	
<b>SIGNATURE:</b>	EMILY ENGLISH	
<b>DATE SIGNED:</b>	07/16/2024	
<b>Total Attachments: 6</b>		
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## DEED OF ASSIGNMENT AND TRANSFER

This deed of assignment and transfer is made between

- (1) **Nordic Water Products AB** (Nordic Water Products Ltd), a company organized and existing under the laws of Sweden with its office address at Alfagatan 5, SE-431 49 Mölndal, Sweden ("**Assignor**");

and

- (2) **Sulzer Management AG** (Sulzer Management Ltd), a company organized and existing under the laws of Switzerland with its office address at Neuwiesenstrasse 15, CH-8401 Winterthur, Switzerland ("**Assignee**");

hereinafter jointly referred to as the "**Parties**" and individually as a "**Party**".

### WHEREAS:

- (A) The Assignor wishes to assign and transfer, for good and valuable consideration, and the Assignee wishes to accept and receive, all right, title and interest in the patent applications, design applications, patents, design patents and the inventions disclosed therein, listed in Annex 1 hereto (the "**Patents**").
- (B) The Assignor and the Assignee therefore now wish to enter into this deed of assignment and transfer ("**Deed**").

### IT IS AGREED AS FOLLOWS:

#### 1. ASSIGNMENT

- 1.1 The Assignor hereby, for good and valuable consideration the receipt of which is acknowledged, assigns and transfers to the Assignee, and the Assignee hereby accepts and receives, all right, title and interest in the Patents, including the right to claim priority (the "**Assignment**").
- 1.2 Each of the Parties shall at any time do such further acts and execute and deliver to the other Party such further documents and instruments and render all such further assistance as may be necessary or reasonably required by the other Party for the further assuring and vesting full right, title and interest in the Patents in favour of the Assignee, and for the recording of the Assignee as the owner of the respective Patents in the registers concerned.

## 2. GOVERNING LAW / JURISDICTION

- 2.1 This Deed is governed by the laws of Switzerland with the exclusion of any conflict of law rules under Swiss private international law and with the exclusion of the provisions of the Vienna Sales Convention.
- 2.2 The competent court in Zürich, Switzerland, shall have exclusive jurisdiction to settle any dispute in connection with this Deed without prejudice to the right of appeal, including an appeal to the Supreme Court.

**THUS AGREED AND SIGNED ON 2022-10-24**

**Nordic Water Products AB**



By: Maria Louise Graffner  
Its: Managing Director

**Sulzer Management AG**



By: Stefan Kluthe  
Its: Director Global IP



By: Martin Ufer Villoria  
Its: Head Global Technology

# ANNEX 1 – LIST OF Patent & Design Applications / Patents & Design Patents

IPS docket Nr	C'try	Title	Application Date	Application Nr	Registration Nr
D1033/EM	EM	Module as part of a rotary disc filter	02.06.2017	004030328	004030328-0001
D1033/EM/2	EM	Module as part of a rotary disc filter	02.06.2017	004030328	004030328-0002
D1033/GB	EM	Module as part of a rotary disc filter	02.06.2017	004030328	004030328-0001
D1033/GB/2	GB	Module as part of a rotary disc filter	02.06.2017	004030328	004030328-0002
D1040/EM	EM	Filters for fluids	27.02.2008	000886569-0001/0002	000886569-0001/0002
D1040/EM/GB	GB	Filters for fluids	27.02.2008	000886569	000886569-0001/0002
D1040/JP	JP	Filters for fluids	27.08.2008	2008-22024	1355971
D1040/KR	KR	Filters for fluids	25.08.2008	2008-0036594	0541876
D1040/RU	RU	Filters for fluids	26.08.2008	2008503163	73493
D1040/US	US	Filters for fluids	26.08.2008	29/323,499	0606,621
P1708/PCT/EP	EP	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/DE	DE	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	602019015 983.8
P1708/PCT/EP/ES	ES	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/FI	FI	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/FR	FR	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/GB	GB	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/IE	IE	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/IT	IT	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	502022000046480
P1708/PCT/EP/NL	NL	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/EP/SE	SE	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	19767920.2	3766562
P1708/PCT/US	US	FIBER DISC FILTER HAVING STRUCTURE FOR PREVENTING DAMAGE TO FILTER CLOTH	21.02.2019	16/983051	
P1710/PCT/CN	CN	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	201880040028.4	201880040028.4
P1710/PCT/EG	EG	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	1862/2019	
P1710/PCT/EP/DE	DE	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	602018011668.0
P1710/PCT/EP/ES	ES	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	3630330
P1710/PCT/EP/FI	FI	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	3630330
P1710/PCT/EP/FR	FR	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	3630330
P1710/PCT/EP/GB	GB	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	3630330
P1710/PCT/EP/SE	SE	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	18731919.9	3630330
P1710/PCT/IN	IN	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	201917052607	
P1710/PCT/RU	RU	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	01.06.2018	2019142474	2757284
P1710/SE	SE	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	02.06.2017	1750701-3	540721
P1710/US	US	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	12.09.2017	15/701471	10220335
P1710/US/2	US	FILTERELEMENT FÖR ANVÄNDNING I EN FILTERSKIVA	12.09.2017	16/218565	10913016
P1711/RU	RU	System for sludge scraping, carrying and collecting, and settler at water treatment station	29.11.2002	2007134598	2443454
P1711/RU/2	RU	System for sludge scraping, carrying and collecting, and settler at water treatment station	29.11.2002	2002132252	2318576
P1712/PCT/EP	EP	FILTER ELEMENT FOR FILTER DISC COMPRISING ELEVATED RESTRICTION EDGES	17.08.2020	20855763.7	
P1712/PCT/US	US	FILTER ELEMENT FOR FILTER DISC COMPRISING ELEVATED RESTRICTION EDGES	17.08.2020	17/629249	
P1712/SE	SE	FILTER ELEMENT FOR FILTER DISC COMPRISING ELEVATED RESTRICTION EDGES	19.08.2019	1950945-4	543498
P1713/PCT/AE	AE	A method for the treatment of water and wastewater	11.11.2009	4932012	2903

P1713/PCT/AU	AU	A method for the treatment of water and wastewater	11.11.2009	2009355271	2009355271
P1713/PCT/EP/DE	DE	A method for the treatment of water and wastewater	11.11.2009	09752806.1	602009051725.2
P1713/PCT/EP/NO	NO	A method for the treatment of water and wastewater	11.11.2009	09752806.1	2498891
P1713/PCT/EP/PL	PL	A method for the treatment of water and wastewater	11.11.2009	09752806.1	2498891
P1713/PCT/EP/SE	SE	A method for the treatment of water and wastewater	11.11.2009	09752806.1	2498891
P1713/PCT/US/2	US	A method for the treatment of water and wastewater	11.11.2009	14/274256	10807023
P1714/PCT/BR	BR	Sedimenteringsanordning	13.08.2003	P0313390-7	P0313390-7
P1714/PCT/CA	CA	Sedimenteringsanordning	13.08.2003	2495381	2495381
P1714/PCT/EP/DK	DK	Sedimenteringsanordning	13.08.2003	03788206.5	60329690.4
P1714/PCT/EP/GB	GB	Sedimenteringsanordning	13.08.2003	03788206.5	1539323
P1714/PCT/RU	RU	Sedimenteringsanordning	13.08.2003	2005106859	2290980
P1714/US	US	Sedimenteringsanordning	22.11.2002	10/301810	6899231
P1715/PCT/US	US	Apparatus for the transport of sludge	21.02.2011	13/579,988	9259672
P1723/PCT/JP	JP	An apparatus for filtering liquids	10.07.1997	505161/98	
P1724/PCT	WO	Apparatus and method for treating sludge	13.10.2004	PCT/SE2004/001463	
P1724/PCT/EP/DE	DE	Apparatus and method for treating sludge	13.10.2004	04775542.6	602004041797.1
P1724/PCT/EP/DK	DK	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/ES	ES	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/FI	FI	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/FR	FR	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/HU	HU	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/IT	IT	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/PL	PL	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/EP/SE	SE	Apparatus and method for treating sludge	13.10.2004	04775542.6	1673214
P1724/PCT/JP	JP	Apparatus and method for treating sludge	13.10.2004	2006-535303	5213331
P1724/PCT/NO	NO	Apparatus and method for treating sludge	13.10.2004	20061893	338634
P1724/PCT/RU	RU	Apparatus and method for treating sludge	13.10.2004	2006116490	2355578
P1724/PCT/SE	SE	Apparatus and method for treating sludge	13.10.2004	0402684-5	527689
P1724/PCT/UA	UA	Apparatus and method for treating sludge	13.10.2004	200605195/M	90096
P1724/US/2	US	Apparatus and method for treating sludge	13.10.2004	10/962605	7335311
P1726/AR	AR	Filtrelement för skivfilter	20.02.2009	P090100591	070451
P1726/CL	CL	Filtrelement för skivfilter	19.02.2009	3822009	49365
P1726/PCT/BR	BR	Filtrelement för skivfilter	17.02.2009	P0907946-7	P0907946-7
P1726/PCT/CA	CA	Filtrelement för skivfilter	17.02.2009	2713990	2713990
P1726/PCT/CN	CN	Filtrelement för skivfilter	17.02.2009	200980105709.5	200980105709.5
P1726/PCT/EP/DK	DK	Filtrelement för skivfilter	17.02.2009	09712171.9	602009051600.0
P1726/PCT/EP/ES	ES	Filtrelement för skivfilter	17.02.2009	09712171.9	2244802
P1726/PCT/EP/FI	FI	Filtrelement för skivfilter	17.02.2009	09712171.9	2244802
P1726/PCT/EP/FR	FR	Filtrelement för skivfilter	17.02.2009	09712171.9	2244802
P1726/PCT/EP/GB	GB	Filtrelement för skivfilter	17.02.2009	09712171.9	2244802
P1726/PCT/IN	IN	Filtrelement för skivfilter	17.02.2009	2863/KOLNP/2010	277953
P1726/PCT/KR	KR	Filtrelement för skivfilter	17.02.2009	2010-7018538	1721266

P1726/PCT/MX	MX	Filterelement för skivfilter	17.02.2009	MX/A/2010/009177	322451
P1726/PCT/RU	RU	Filterelement för skivfilter	17.02.2009	2010134564	2467785
P1726/PCT/US	US	Filterelement för skivfilter	17.02.2009	12/867951	8852434
P1726/SE	SE	Filterelement för skivfilter	22.02.2008	0800419-4	533036
P1727/PCT	WO	Sätt och system för tillförsel av tryckluft	11.02.2011	PCT/SE2011/000024	
P1727/PCT/CN	CN	Sätt och system för tillförsel av tryckluft	11.02.2011	2011800092417	
P1727/PCT/EP	EP	Sätt och system för tillförsel av tryckluft	11.02.2011	11742549.6	
P1727/PCT/US	US	Sätt och system för tillförsel av tryckluft	11.02.2011	13/578670	
P1727/SE	SE	Sätt och system för tillförsel av tryckluft	12.02.2010	1050143-5	534558
P1727/US	US	Sätt och system för tillförsel av tryckluft	12.02.2010	61/303832	
P1728/CN	CN	Device for supplying a fluid to a filter bed	07.06.2012	201220275106.9	201220275106.9
P1728/PCT/IN	IN	Device for supplying a fluid to a filter bed	22.05.2013	2597/MUMNP/2014	
P1728/SE	SE	Device for supplying a fluid to a filter bed	23.05.2012	1250518-6	
P1729/PCT/BR	BR	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	1120140039708	
P1729/PCT/CN	CN	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	201280040001.8	201280040001.8
P1729/PCT/EA	EA	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	201490280	028129
P1729/PCT/EP/DE	DE	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	12825857.1	602012042183.5
P1729/PCT/EP/GB	GB	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	12825857.1	2747862
P1729/PCT/EP/PL	PL	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	12825857.1	2747862
P1729/PCT/EP/SE	SE	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	12825857.1	2747862
P1729/PCT/KR	KR	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	2014-7004123	102022129
P1729/PCT/US	US	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	16.08.2012	14/238786	9694305
P1729/SE	SE	System och sätt för avlägsnande av slam i en cirkulär sedimenteringstank	23.08.2011	1150760-5	537514
P1732/PCT/BR	BR	Lamella settler	29.04.2015	BR112016025011	
P1732/PCT/CN	CN	Lamella settler	29.04.2015	201580022392.4	
P1732/PCT/EP	EP	Lamella settler	29.04.2015	15786154.3	
P1732/PCT/IN	IN	Lamella settler	29.04.2015	201617038934	321302
P1732/PCT/RU	RU	Lamella settler	29.04.2015	2016144726	2671734
P1732/SE	SE	Lamella settler	30.04.2014	1450518-4	538116
P1733/SE	SE	DRIVE ARRANGEMENT, SYSTEM, AND METHOD FOR SLUDGE REMOVAL	16.05.2014	1450573-9	
P1735/PCT/EP	EP	Continuous sand filter	16.05.2019	19804517.1	
P1735/SE	SE	Continuous sand filter	18.05.2018	1850587-5	
P1736/HK	HK	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	19.05.2021	62021027762.5	
P1736/PCT/EP	EP	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	08.05.2019	19800064.8	
P1736/PCT/RU	RU	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	08.05.2019	2020136115	2774239
P1736/PCT/SG	SG	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	08.05.2019	1120201091701	
P1736/PCT/US	US	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	08.05.2019	17/052566	
P1736/SE	SE	AUTOMATIC CLEANING OF LAMELLA PLATE SETTLER	09.05.2018	1850551-1	542367
P1737/SE	SE	Method and system for supply of compressed air	12.02.2010	1050143-5	534558
P1738/CN	CN	APPARATUS AND METHOD FOR TREATING SLUDGE	07.06.2012	201220275106.9	202822836
P1738/SE	SE	APPARATUS AND METHOD FOR TREATING SLUDGE	23.05.2012	1250518-6	
P1739/SE	SE	System for sludge removal in a circular settling tank	23.08.2011	1150760-5	537514

P1740/CN	CN	Sludge collection system	12.12.2012	201220686580.0	203212025
P1740/SE	SE	Sludge collection system	25.10.2012	1251204-2	
P1772/SE	SE	Manoscreen - Optimised pulsation drive	19.01.2022	2250043-3	