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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 Assignment ID: PATI384529

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
ATAI Life Sciences AG	03/12/2024

#### **RECEIVING PARTY DATA**

Company Name:	ATAI Therapeutics, Inc.	
Street Address:	c/o Industrious NYC	
Internal Address:	250 West 34th St	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10119	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	18303358

#### CORRESPONDENCE DATA

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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Address Line 2: 1299 Pennsylvania Avenue, NW, Suite 700 Address Line 4: Washington, DISTRICT OF COLUMBIA 20004

ATTORNEY DOCKET NUMBER:	ATAI-013/03US 338067-2338	
NAME OF SUBMITTER:	Penny Jackson	
SIGNATURE:	Penny Jackson	
DATE SIGNED:	07/25/2024	

#### **Total Attachments: 5**

source=ATAI-013 Family - Assignment (ATAI Life Sciences AG to ATAI Therapeutics Inc.)-executed#page1.tiff source=ATAI-013 Family - Assignment (ATAI Life Sciences AG to ATAI Therapeutics Inc.)-executed#page2.tiff source=ATAI-013 Family - Assignment (ATAI Life Sciences AG to ATAI Therapeutics Inc.)-executed#page3.tiff source=ATAI-013 Family - Assignment (ATAI Life Sciences AG to ATAI Therapeutics Inc.)-executed#page4.tiff

source=ATAI-013 Family - Assignment (ATAI Life Sciences AG to ATAI Therapeutics Inc.)-executed#page5.tiff

## **Attorney Docket No.** ATAI-013 Family

# ASSIGNMENT OF PATENT RIGHTS (Company to Company)

ATAI Life Sciences AG, a, having its principal place of business at Wallstraße 16, 10179 Berlin, GERMANY (herein referred to as "Assignor") owns the entire right, title and interest in any Letters Patent(s) ("said patent(s)") and any Patent application(s) ("said application(s)") set forth below, as well as any invention(s) ("said invention(s)") disclosed in said application(s) and said patent(s).

# Patent Application(s)

Application No.	Application Filing Date	Title
US 63/222,873	July 16, 2021	SYSTEMS, DEVICES, AND METHODS
		FOR MACHINE LEARNING
US 63/225,152	July 23, 2021	SYSTEMS, DEVICES, AND METHODS
		FOR MACHINE LEARNING
US 63/245,625	September 17, 2021	SYSTEMS, DEVICES, AND METHODS
		FOR MACHINE LEARNING
US 17/866,430	July 15, 2022	SYSTEMS, DEVICES, AND METHODS
		FOR GENERATING AND
		MANIPULATING OBJECTS IN A
		VIRTUAL REALITY OR MULTI-
		SENSORY ENVIRONMENT TO
		MAINTAIN A POSITIVE STATE OF A
		USER
US 18/303,358	April 19, 2023	SYSTEMS, DEVICES, AND METHODS
		FOR GENERATING AND
		MANIPULATING OBJECTS IN A
		VIRTUAL REALITY OR MULTI-
		SENSORY ENVIRONMENT TO
		MAINTAIN A POSITIVE STATE OF A
		USER
PCT/US22/37351	July 15, 2022	SYSTEMS, DEVICES, AND METHODS
		FOR GENERATING AND
		MANIPULATING OBJECTS IN A
		VIRTUAL REALITY OR MULTI-
		SENSORY ENVIRONMENT TO
		MAINTAIN A POSITIVE STATE OF A
		USER

**WHEREAS**, ATAI Therapeutics, Inc., a corporation, having its principal place of business at c/o Industrious NYC, 250 West 34<sup>th</sup> Street, New York, NY 10119 (the "Assignee"), its successors, legal representatives, and assigns, is desirous of acquiring the

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Assignor's entire right, title, and interest in and to said invention(s), said application(s) for patent and/or registered design, and said patent(s); the right to file applications for patent and/or registered design of the United States or other countries on said invention(s); the entire right, title and interest in and to any application(s) for patent and/or registered design, and patent(s) of the United States or other countries claiming priority to, and/or benefit of, these applications and patents; the right to recover any and all past, present, and future damages, including provisional or other royalties, for any and all past, present, and future infringements of said application(s) for patent and/or registered design and said patent(s); and the entire right, title, and interest in and to any and all Letters Patent or Patents and/or registered design(s), United States or foreign, to be obtained for said invention(s) and said application(s);

**NOW, THEREFORE**, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns Assignor's right, title, and interest in and to:

- (a) said invention(s);
- (b) said application(s) for patent and/or registered design;
- (c) said patent(s);
- (d) the right to file applications for patent and/or registered design of the United States or other countries on said invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;
- (e) any application(s) for patent and/or registered design of the United States or other countries claiming the invention(s);
- (f) any application(s) for patent and/or registered design of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent and/or registered design identified above or any application(s) for patent claiming the invention(s), including any priority application(s), substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (g) the right to recover any and all past, present, and future damages, including provisional or other royalties, for any and all past, present, and future infringements of said application(s) for patent and/or registered design and

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Attorney Docket No. ATAI-013 Family said patent(s) identified in the preceding paragraphs (b)-(f) and of any and all patent(s) and/or registered design(s) granted based thereon in the United States and in all other countries; and

(h) any and all Letters Patent or Patents and/or registered design(s), United States or foreign, to be obtained for said invention(s), said application(s) for patent and/or registered design, and said patent(s), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is the sole and lawful owner of Assignor's entire right, title and interest in and to said invention(s), said application(s), and said patent(s), and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

**AND** for the same consideration, the Assignor also hereby represents that, except for said prior agreement, if applicable, the Assignor has not previously sold, transferred, or encumbered any part of Assignor's right, title, and interest in the Invention(s);

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said invention(s), said application(s), said registered design(s), said patent(s), any application claiming priority to said application(s), any reissue or extension of said patent(s), and any United States or foreign Letters Patent or Patents for said invention(s) or said application(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.), is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention(s), without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

**AND** the Assignor waives all rights to challenge the validity of said invention(s) in the United States and its territorial possessions and in all foreign countries and of all Letters Patent or similar legal protection in the United States and its territorial possessions and in

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any and all foreign countries to be obtained for said invention(s) by said application or any continuation, continuation-in-part, divisional, renewal, substitute, or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted;

**AND** the Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided the application number, filing date, attorney docket number, assignment recordation date, and Reel/Frame No(s). of the Patent Application(s) and/or Letters Patent(s) identified herein when known;

**AND** the Assignor hereby requests the Commissioner of Patents to issue any and all aforementioned patent(s) of the United States to the Assignee, as the Assignee of said invention(s) and the Letters Patent to be issued thereon, for the use and behalf of the Assignee, its successors, legal representatives, and assigns;

**AND** Assignor(s) and Assignee(s) understand that electronic signatures are acceptable and that, by signing electronically, signatories agree to the use of electronic signatures.

Date:

Signature:

Signature:

Signature:

Srinivas Rao

Title: Co-Founder and Chief Scientific

Officer

Company: ATAI Life Sciences AG

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For and on behalf of ASSIGNEE:

Date: 12 March 2024

By:

Name: Ryan Barrett
Title: VP and Secretary

Company: ATAI Therapeutics, Inc.

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PATENT REEL: 068077 FRAME: 0741

RECORDED: 07/25/2024