

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: PATI481062

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| Universitat Autònoma de Barcelona | 11/17/2018 |
| RECEIVING PARTY DATA | |
| Company Name: | Henkel Iberica S.A. |
| Street Address: | Calle Bilbao Num. 72-84 |
| City: | Barcelona |
| State/Country: | SPAIN |
| Postal Code: | 08005 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 17346513 |
| CORRESPONDENCE DATA | |
| Fax Number: | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
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| ATTORNEY DOCKET NUMBER: | 2018P35459 US |
| NAME OF SUBMITTER: | Evelyn Gartrell |
| SIGNATURE: | Evelyn Gartrell |
| DATE SIGNED: | 09/09/2024 |
| Total Attachments: 3 | |
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| source=2018PF35459-20190311-Universitat-Henkel_Iberica#page2.tiff | |
| source=2018PF35459-20190311-Universitat-Henkel_Iberica#page3.tiff | |

INVENTION ASSIGNMENT AGREEMENT

THIS AGREEMENT with effective date of **15 Nov 2018** is made by and between (collectively the "Parties"):

Universitat Autònoma de Barcelona, organised and existing under the laws of Spain with its principal office at Edifici A - Campus de la UAB, 08193 Bellaterra (Cerdanyola del Vallès), Spain, and hereafter referred to as "**ASSIGNOR**";

And

Henkel Iberica S.A. a corporation organised and existing under the laws of Spain with its principal office at Henkel Iberica, *calle BILBAO NUM. 72-84, Spain, 08005* Barcelona, and hereafter referred to as "**ASSIGNEE**".

PREAMBLE

Whereas Henkel is a company operating worldwide with leading brands and technologies in three main business areas: Laundry & Home Care, Cosmetics/Toiletries and Adhesive Technologies.

Whereas a Research Contract (effective as of May 1, 2017) and a Research Contract (effective as of May 2, 2013) have been entered between Universitat Autònoma de Barcelona, **ASSIGNOR**, and Henkel Iberica S.A., **ASSIGNEE** by virtue of which results from the services conducted by **ASSIGNOR** under the Research Contracts are to be owned and made available to **ASSIGNEE**. In particular, any inventions (including designs) made by **ASSIGNOR** in the course of the Research Contracts shall be transferred to **ASSIGNEE** so that they may apply for the corresponding intellectual property rights.

Whereas a new invention concerning Preparation of activated 5-membered cyclic carbonate containing resins

for CASE using mild reaction conditions (2018PF35459) (hereinafter referred to as the "Invention") filed under

Patent Application No. _____ on _____
in _____ (and the Parties hereby authorizes the attorneys authorized to prosecute said application to insert the application number and filing date in the spaces provided above, when known) has been developed under the Research Contracts totally or partially by **ASSIGNOR**.

To clarify aspects related to the ownership of the Inventions, the parties agree as follows:

In accordance with the Research Contracts entered between the parties, **ASSIGNOR** assigns to **ASSIGNEE**, who accepts, the full and exclusive rights, titles and interests in, to, belonging to and arising from the whole or **ASSIGNOR**'s part of the Invention.

In particular, **ASSIGNOR** agrees that **ASSIGNEE** applies for and obtains in its name intellectual property rights for the Invention without any territorial limitation. These intellectual property rights may consist of patent applications, granted patents, utility model applications, utility models, divisionals, continuations or continuations-in-part of a patent application or any other means of protecting inventions in relation to the Inventions, as well as designs and design applications. In case of a PCT/CN application, **ASSIGNOR** can be named as an applicant for the Seychelles.

ASSIGNEE will be the owner of the resulting intellectual property rights arising from **ASSIGNOR** and will freely enjoy them.

ASSIGNOR warrants that it is the owner of the intellectual property rights on the Invention, of the PCT applications and the Priority application that are presently assigned to **ASSIGNEE**. In particular, **ASSIGNOR** warrants that the rights on the present Invention originally belong to **ASSIGNOR** by virtue of employment contract(s) with the inventor(s) or have been assigned to **ASSIGNOR** by a written agreement.

As far as necessary, should the Invention partially or entirely encompass aesthetic aspects constituting a design (hereinafter the "Design"), ASSIGNOR assigns to ASSIGNEE, who accepts, the full and exclusive patrimonial rights belonging to and arising from the Design, from the origin of its conception and for the entire world.

In that respect, it is expressly agreed that this assignment of rights on the Design encompasses all the aspects of said Design and includes at least the assignment of the following rights:

- ✓ the right to exploit, to use and to re-use the Design, in a whole or in part, for the activities and benefit of ASSIGNEE or of third parties;
- ✓ the right to modify, adapt or transform the Design, in particular to adapt it to technical constraints;
- ✓ the right to reproduce the Design in an unlimited manner by any appropriate process and on any support presently known, namely by: impression, photography, sketches and technical drawings, molding, extrusion, mechanical construction or any industrial production process, cinematographical or magnetic recordings, copies, microfilms, scanning on any medium (CDROM, DVD-ROM, hard disk, floppy disk, tape, magnetic disk or tape, RAM or ROM);
- ✓ the rights to license and sub-license the Design;
- ✓ the right to distribute copies and cause the distribution of the Design;
- ✓ the right to display and communicate the Design to the public by telecommunication, by electronic publication, by press information services, by downloadable and non-downloadable files, by communication with computer terminals; by sending of telegrams, messages and wire service, by electronic and non-electronic publications; or by any other media of expression now known or later developed;
- ✓ the right to reproduce the Design by any technical procedure into paper, digital, electronic or non-electronic format;
- ✓ the right to integrate and incorporate into any existing or future work;
- ✓ the right to transfer to another environment (hardware, software, computer electronic, Web, multimedia or other);
- ✓ the right to improve and prepare derivatives of the Design;
- ✓ the right to store the Design;
- ✓ the right to offer for sale, distribute, commercialize, disseminate the Design, by any means, including renting and lending, either free of charge or subject to payment;
- ✓ the right to assign the presently assigned rights, in part or as a whole, and namely to grant any third party any agreement relating to the reproduction, distribution, broadcasting, commercialising, manufacturing, in any form, on any medium and by any possible means, be it free of charge or subject to payment;
- ✓ the right to intervene against any conflicting use and/or registration of identical or other design(s) and/or products representing or containing the Design.

The present assignment of rights on the Design is made for the duration of the patrimonial rights and this in each of the respective countries.

Any financial compensation or financial aspect between ASSIGNOR and ASSIGNEE in respect of the present assignment will be settled according to the obligations and undertakings contained in the above-mentioned Research Contracts.

ASSIGNOR does not warrant the patentability of the Invention and declines any liability in this respect. ASSIGNEE, respectively its successors and assigns, shall bear all the costs and risks related to obtaining intellectual property rights covering said invention.

ASSIGNEE is committed to respecting the moral right of the inventors to be cited as inventors or author of a Design, as the case may be, in any intellectual property rights application(s) or titles relating to the Invention and applied for by ASSIGNEE, unless the inventors explicitly request in writing that – as far as possible – they shall not be cited as inventors on such intellectual property rights application(s).

ASSIGNOR undertakes to make its best efforts to assist ASSIGNEE, or its successors and assigns, upon request with regard to ownership and assignment matters of intellectual property rights relating to the Invention in any country, in particular to sign any documents that may be required to certify or register an assignment of invention of the Invention, to file patent applications or to claim priority of the Invention, all without any further consideration but at no costs for ASSIGNOR.

The interpretation, validity and execution of this Agreement shall be governed in all respects by German law.

The assignment shall be effective with the signature of the present Agreement. Any dispute, which may arise between the parties concerning the interpretation or the execution of the present Agreement shall be amicably settled. Any such dispute on which no amicable settlement can be reached within a time limit of three weeks as of notification of the object of dispute by registered letter with advice of delivery, shall be subject to the exclusive jurisdiction of the German courts to which the parties hereto agree to submit.

Should any provision of this Agreement be or become invalid or unenforceable, such invalidity or unenforceability shall not affect the validity of the Agreement as such. In such case the parties shall negotiate with the view to substitute the invalid or unenforceable provision by a provision that comes as close as possible to the original understanding or intention of the parties.

For Universitat Autònoma de Barcelona

Name:

Title:

.....

(signature)

Date: 17 Nov 2018

Name: ARMANDO SANCHEZ BONASTRE

Title: VICE RECTOR FOR RESEARCH
AND TRANSFER

.....
Res. Autònoma de Barcelona

(signature) 

Vicerector d'Innovació i de Projectes Estratègics
Date: 17 Nov 2018

For Henkel Iberica S.A.

Name: PONTUS HALLENGREN

Title: HEAD OF LEGAL

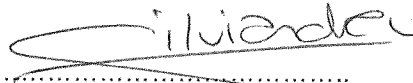
.....


(signature)

Date: 17 Nov 2018

Name: SILVIAN ANDREU

Title: LEGAL COUNSEL

.....


(signature)

Date: 17 Nov 2018

HENKEL IBÉRICA, S.A.
Bilbao, 72-84
08005 Barcelona