508755102 09/12/2024

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 Assignment ID: PATI490778

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Lianhua QU	01/16/2020
Hunaid NULWALA	01/21/2020

### **RECEIVING PARTY DATA**

Company Name: CRYSTALPLEX CORPORATION	
Street Address: 2403 Sidney Street, Suite 270	
City: Pittsburgh	
State/Country: PENNSYLVANIA	
Postal Code: 15203	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	18384521

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6508152630

**Email:** ksiersema@sheppardmullin.com,svpatents@sheppardmullin.com

Correspondent Name: KRYSTLE SIERSEMA

Address Line 1: SHEPPARD MULLIN RICHTER & HAMPTON LLP

Address Line 2: 1540 EL CAMINO REAL, SUITE 120
Address Line 4: MENLO PARK, CALIFORNIA 94025

ATTORNEY DOCKET NUMBER:	63CF-000930US-379397	
NAME OF SUBMITTER:	Mrs. Krystle Mestressat	
SIGNATURE:	Mrs. Krystle Mestressat	
DATE SIGNED:	09/12/2024	

### **Total Attachments: 10**

source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page1.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page2.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page3.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page4.tiff

PATENT 508755102 REEL: 068565 FRAME: 0910

source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page5.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page6.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page7.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page8.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page9.tiff source=63CF-000930US-379397 Assignment Inventor to Crystalplex#page10.tiff

PATENT REEL: 068565 FRAME: 0911

#### ASSIGNMENT

WHEREAS, I, HUNAID NULWALA (hereinafter "Assignor"), am an inventor of a certain new and useful invention(s) as set forth and disclosed in the patent application(s) listed in **Schedule A** attached hereto (hereinafter the "Invention");

WHEREAS, CRYSTALPLEX CORPORATION, having an address of 2403 Sidney Street, Suite 270, Pittsburgh, PA 15203 (hereinafter "Assignee"), wishes to acquire Assignor's entire right, title and interest in and to said Invention and in and to any and all Letters Patent that may be obtained therefor in the United States and any and all foreign countries;

WHEREAS, Assignor previously conveyed to Assignee all of Assignor's right, title, and interest in the Invention as described in such prior-filed application(s) pursuant to an assignment dated September 7, 2016 which has been recorded at the U.S. Patent and Trademark Office at Reel 039819 and Frame 0140 (hereinafter, "Assignment Agreement");

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, at least as of <u>November 19, 2018</u> the parties agree as follows.

Assignor, does hereby confirm Assignor's previous assignment, including pursuant to the Assignment Agreement, and does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns, Assignor's entire right, title and interest in and to said Invention as set forth in the scheduled application(s), including any applications which claim priority to the scheduled application(s), any application(s) to which the scheduled application(s) claim priority, and any continuations, continuations-in-part, divisions, registrations, reissues, re-examinations or extensions thereof, whether in the U.S. or any foreign country, and in and to any and all patents of the United States and foreign countries which may be issued for said Invention.

Assignor does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns all rights to claim priority from the Invention and scheduled application(s); all causes of action and remedies related to the Invention and scheduled application(s) (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and any and all other rights and interests arising out of, in connection with, or in relation to the Invention and scheduled application(s).

Assignor shall not execute any writing or do any act whatsoever conflicting with the terms and conditions of this Assignment. Assignor will, at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making the application for or obtaining original patents or applications, continuations, continuations-in-part, divisions, registrations, reissues, re-examinations or extensions thereof, whether in the U.S. or any foreign country, for said Invention, and in enforcing any rights or

choses in action accruing as a result of such applications or patents, by giving testimony in any and all proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of Assignor and Assignee.

The undersigned hereby grant(s) the firm of PEPPER HAMILTON LLP the power to insert on this Assignment any further identification, including the country, application number, filing date and/or title, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other patent office for recordation of this document.

The undersigned requests that any patents that may be issued for said Invention be issued to Assignee, its legal representatives, successors or assigns, as an owner of Assignor's entire right, title and interest in and to said patent and the Invention covered thereby.

Date: Jan- 2137	2020	Assignor:	H
			HUNAID NULWALA

## **ACKNOWLEDGMENT**

STATE OF	Yenns ylvania	)	
COUNTY OF	Megheny	)	SS

SEAL

Notary Public X

Commonwealth of Pennsylvania – Notary Seal RACHEL L KOPPER – Notary Public Allegheny County My Commission Expires Dec 17, 2021 Commission Number 1253504 My commission expires 12/17/2021

Commonwealth of Pennsylvania – Notary Seal RACHEL L KOPPER – Notary Public Allegheny County My Commission Expires Dec 17, 2021 Commission Number 1253504

Agreed and accepted:	CRYSTALPLEX CORPORATION
Date:	Assignee: Matt Bootman Title: President COO
STATE OF Pennsylvania COUNTY OF Meyheny	DWLEDGMENT   Output  O
satisfactorily proven) to be the person nan	Molt Botha, 2022 before me, the undersigned had botha, to me known (o ned in and who executed the above instrument, and he same for the uses and purposes therein set forth.
	Public Pu

# **SCHEDULE A**

Country	Patent Application No.	Filing Date	Title
US	62/338,915	May 19, 2016	CADMIUM-FREE QUANTUM DOTS
US	62/338,888	May 19, 2016	TUNABLE SEMICONDUCTOR NANOCRYSTALS AND FILMS AND 3-D STRUCTURES CONTAINING THEM
US	62/441,182	December 31, 2016	QUANTUM DOT CONTAINING POLYMER AND METHODS OF MAKING THE SAME
PCT	PCT/US2017/033630	May 19, 2017	CADMIUM-FREE QUANTUM DOTS, TUNABLE QUANTUM DOTS, QUANTUM DOT CONTAINING POLYMER, ARTICLES, FILMS, AND 3D STRUCTURE CONTAINING THEM AND METHODS OF MAKING AND USING THEM
US	16/302,870	November 19, 2018	CADMIUM-FREE QUANTUM DOTS, TUNABLE QUANTUM DOTS, QUANTUM DOT CONTAINING POLYMER, ARTICLES, FILMS, AND 3D STRUCTURE CONTAINING THEM AND METHODS OF MAKING AND USING THEM

#### ASSIGNMENT

WHEREAS, I, LIANHUA QU (hereinafter "Assignor"), am an inventor of a certain new and useful invention(s) as set forth and disclosed in the patent application(s) listed in **Schedule A** attached hereto (hereinafter the "Invention");

WHEREAS, CRYSTALPLEX CORPORATION, having an address of 2403 Sidney Street, Suite 270, Pittsburgh, PA 15203 (hereinafter "Assignee"), wishes to acquire Assignor's entire right, title and interest in and to said Invention and in and to any and all Letters Patent that may be obtained therefor in the United States and any and all foreign countries;

WHEREAS, Assignor previously conveyed to Assignee all of Assignor's right, title, and interest in the Invention as described in such prior-filed application(s) pursuant to an assignment dated September 7, 2016 which has been recorded at the U.S. Patent and Trademark Office at Reel 039819 and Frame 0140 (hereinafter, "Assignment Agreement");

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, at least as of <u>November 19, 2018</u> the parties agree as follows.

Assignor, does hereby confirm Assignor's previous assignment, including pursuant to the Assignment Agreement, and does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns, Assignor's entire right, title and interest in and to said Invention as set forth in the scheduled application(s), including any applications which claim priority to the scheduled application(s), any application(s) to which the scheduled application(s) claim priority, and any continuations, continuations-in-part, divisions, registrations, reissues, re-examinations or extensions thereof, whether in the U.S. or any foreign country, and in and to any and all patents of the United States and foreign countries which may be issued for said Invention.

Assignor does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns all rights to claim priority from the Invention and scheduled application(s); all causes of action and remedies related to the Invention and scheduled application(s) (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and any and all other rights and interests arising out of, in connection with, or in relation to the Invention and scheduled application(s).

Assignor shall not execute any writing or do any act whatsoever conflicting with the terms and conditions of this Assignment. Assignor will, at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making the application for or obtaining original patents or applications, continuations, continuations-in-part, divisions, registrations, reissues, re-examinations or extensions thereof, whether in the U.S. or any foreign country, for said Invention, and in enforcing any rights or

choses in action accruing as a result of such applications or patents, by giving testimony in any and all proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of Assignor and Assignee.

The undersigned hereby grant(s) the firm of PEPPER HAMILTON LLP the power to insert on this Assignment any further identification, including the country, application number, filing date and/or title, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other patent office for recordation of this document.

The undersigned requests that any patents that may be issued for said Invention be issued to Assignee, its legal representatives, successors or assigns, as an owner of Assignor's entire right, title and interest in and to said patent and the Invention covered thereby.

Commonwealth of Pennsylvania – Notary Seal RACHEL L KOPPER – Notary Public Allegheny County My Commission Expires Dec 17, 2021 Commission Number 1253504

Date: 1-16-2020	Assignor: (CC)
	LIANHUA QU
	ACKNOWLEDGMENT
STATE OF <u>Pennsylvania</u> COUNTY OF <u>Alleyhen</u>	) ) SS:
Notary Public, personally appeare the person named in and who e	of, 2029, before me, the undersigned d LIANHUA QUI to me known (or satisfactorily proven) to be xecuted the above instrument, and acknowledged to me that uses and purposes therein set forth.
SEAL	Notary Public X
sewestin of Pennsylvania – Notary Seal	My commission expires \\\ \a\17\202\

Agreed and accepted:	CRYSTALPLEX CORPORATION
Date: 16 January 2000	Assignee Donn Assignee By: Thomas Patzinger. Tr. Title: CEO
AC	KNOWLEDGMENT
STATE OF Yennsylvania COUNTY OF Mlegheny	) ) SS: )
On this day of Notary Public, personally appeared satisfactorily proven) to be the personacknowledged to me that he/she execute	before me, the undersigned to the named in and who executed the above instrument, and ted the same for the uses and purposes therein set forth.
	Iotary Public ZLL (12/17/2021)  My commission expires 12/17/2021
monwealth of Pennsylvania – Notary Seal  RACHEL L KOPPER – Notary Public  Allegheny County  My Commission Expires Dec 17, 2021  Commission Number 1253504	Ty commission expires (0-17)

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US	62/338,915	May 19, 2016	CADMIUM-FREE QUANTUM DOTS
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US	62/441,182	December 31, 2016	QUANTUM DOT CONTAINING POLYMER AND METHODS OF MAKING THE SAME
PCT	PCT/US2017/033630	May 19, 2017	CADMIUM-FREE QUANTUM DOTS, TUNABLE QUANTUM DOTS, QUANTUM DOT CONTAINING POLYMER, ARTICLES, FILMS, AND 3D STRUCTURE CONTAINING THEM AND METHODS OF MAKING AND USING THEM
US	16/302,870	November 19, 2018	CADMIUM-FREE QUANTUM DOTS, TUNABLE QUANTUM DOTS, QUANTUM DOT CONTAINING POLYMER, ARTICLES, FILMS, AND 3D STRUCTURE CONTAINING THEM AND METHODS OF MAKING AND USING THEM