## 508877735 11/19/2024

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 Assignment ID: PATI641934

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name              | Execution Date |
|-------------------|----------------|
| Terence P. Keenan | 04/12/2007     |
| Alan P. Kaplan    | 04/13/2007     |

### **RECEIVING PARTY DATA**

| Company Name:   | Helicon Therapeutics, Inc. |  |
|-----------------|----------------------------|--|
| Street Address: | 1 Bioscience Park Drive    |  |
| City:           | Farmingdale                |  |
| State/Country:  | NEW YORK                   |  |
| Postal Code:    | 11735                      |  |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 12861213 |

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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| ATTORNEY DOCKET NUMBER: | 254409.000877 |
|-------------------------|---------------|
| NAME OF SUBMITTER:      | Raluca Oner   |
| SIGNATURE:              | Raluca Oner   |
| DATE SIGNED:            | 11/19/2024    |

**Total Attachments: 1** 

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PATENT 508877735 REEL: 069321 FRAME: 0234

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| ASSIGNMENT OF APPLICATION   |  | Docket Number 43373-0010                               |  |  |
|---|--|--|--|--|
| Whereas, the undersigned:   |  |  |  |  |
| Terence P. Keenan<br>18 East Garfield Street<br>Apt. 1D<br>Bay Shore, NY 11706  | Alan P. Kaplan<br>15 Black Gum Tree Lane<br>Kings Park, CA 11754 |  |  |  |
| hereinafter termed "Inventors", have inv  | ented certain new and useful improvements in                     |  |  |  |
|   | THERAPEUTIC PIPERAZINES  |  |  |  |
| for which an application for United States Patent was filed on11/679,782, Application No02/27/2007 for which an application for a United States Patent was executed on, and   |  |  |  |  |
| WHEREAS, <u>HELICON THERAPEUTICS</u> , INC., a corporation having a place of business at <u>1 Bioscience Park Drive</u> , <u>Farmingdale</u> , <u>NY 11735</u> , (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.   |  |  |  |  |
| NOW, THEREFORE, in const  | ideration of good and valuable consideration ackn                | nowledged by said Inventors to have been received in   |  |  |
| 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.  |  |  |  |  |
| 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee. |  |  |  |  |
| 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.  |  |  |  |  |
| 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.  |  |  |  |  |
| IN WITNESS WHEREOF, said  | id Inventors have executed and delivered this instr              | rument to said Assignee as of the dates written below: |  |  |
| Date: 4/13/07   | Alan P. Kaplan   | OME  |  |  |

**PATENT** REEL: 069321 FRAME: 0235;