

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

Assignment ID: PATI824573

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Svelte Medical Systems, Inc.	06/25/2024
RECEIVING PARTY DATA	
Company Name:	Hemoteq AG
Street Address:	Adenauerstraße 15
City:	Würselen
State/Country:	GERMANY
Postal Code:	52146
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15407693
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6123736900
Email:	request@slwip.com
Correspondent Name:	Schwegman Lundberg & Woessner, P.A.
Address Line 1:	P.O. Box 2938
Address Line 4:	Minneapolis, MINNESOTA 55402
ATTORNEY DOCKET NUMBER:	5133.037US4
NAME OF SUBMITTER:	Kerri Wright
SIGNATURE:	/Kerri Wright/
DATE SIGNED:	02/13/2025
Total Attachments: 6	
source=5133037US4RecordationCS_Hemoteq#page1.tiff	
source=5133037US4RecordationCS_Hemoteq#page2.tiff	
source=5133037US4RecordationCS_Hemoteq#page3.tiff	
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source=5133037US4RecordationCS_Hemoteq#page5.tiff	
source=5133037US4RecordationCS_Hemoteq#page6.tiff	

RECORDATION FORM COVER SHEET
PATENTS ONLY

Form PTO-1595 (Rev. 6-18)
OMB No. 0651-0027 (exp. 10/31/2024)

U.S. Department of Commerce
United States Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Svelte Medical Systems, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): June 25, 2024

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies):

Name: Hemoteq AG

Street Adenauerstraße 15

Address:

City: Würselen

State/Province:

Zip: 52146

Country: Germany

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): ☐ This document serves as an Oath/Declaration (37 CFR 1.63)

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 15/407,693

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Piers A. Blewett

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, Minnesota 55402

Phone Number: (408) 660-2013

Docket Number: 5133.037US4

Email Address: request@slwip.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41): \$0.00

☐ Authorized to be charged to deposit account 19-0743

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account No.: 19-0743

Authorized User Name: Piers A. Blewett

9. Signature:

Piers A. Blewett/Reg. No. 68,464

Name of Person Signing

/ *Piers A. Blewett*

Signature

/ Feb 13, 2025

Date

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 070214 FRAME: 0802

BILL OF SALE AND ASSIGNMENT

THIS BILL OF SALE AND ASSIGNMENT (this "*Bill of Sale and Assignment*") is made effective as of the 25th day of June, 2024 by SVELTE MEDICAL SYSTEMS, INC., a Delaware corporation ("*Seller*") in favor of HEMOTEQ AG, a German corporation ("*Buyer*").

This Bill of Sale and Assignment is being executed and delivered in connection with Seller's desire to effect the transfer to Buyer of certain records of Seller described on EXHIBIT 1 attached hereto (the "*Records*"). It is a condition to closing and payment of the Purchase Price (defined below) that this Bill of Sale be executed and delivered.

1. **TRANSFER AND ASSIGNMENT.** Seller does hereby convey, grant, bargain, sell, transfer, assign and deliver to Buyer, its successors and assigns, effective as of 12:01 a.m. Eastern Time on June __, 2024 (the "*Effective Time*"), all of Seller's right, title and interest in and to all of the Records as described on Exhibit 1.

2. **PURCHASE PRICE.**



3. **FURTHER ACTIONS.** Seller covenants and agrees to warrant and defend the sale, transfer, assignment, conveyance, grant and delivery of the Records hereby made against all persons whomsoever, to take all steps reasonably necessary to establish the record of Buyer's title to the Records and, at the request of Buyer, to execute and deliver further instruments of transfer and assignment and take such other action as Buyer may request to more effectively transfer and assign to and vest in Buyer the Records, including without limitation a PMA Supplement to notify the FDA of the new owner (Buyer) and a letter to notify the FDA that all rights under the PMA have been transferred to Buyer (to be executed and delivered concurrently with execution of this Bill of Sale), all at the sole cost and expense of Seller. Seller represents that the status of the PMA is "withdrawn" and that it has not been assigned or transferred to any other party.

4. **TITLE.** Seller has good, valid and marketable title to all of the Records free and clear of all encumbrances and liens. All of the Records are fully transferable, alienable or licensable by Buyer without restriction and without payment of any kind to any third party. After Buyer verifies to Seller receipt and access to all Records, Seller agrees to maintain the server on which the records are maintained for three (3) months. After such three (3) months, or sooner if requested in writing by Buyer, including via email, Seller will delete and/or destroy all copies of the Records and the server on which the Records are stored. This Bill of Sale and Assignment represents the entire agreement of Buyer and Seller with respect to the subject matter hereof and thereof. All prior negotiations between the parties concerning the subject matter of this Bill of Sale and Assignment are merged into this Bill of Sale and Assignment, and there are no other understandings or agreements.

5. **LIMITATION OF LIABILITIES.** THE RECORDS ARE PROVIDED "AS IS", "WHERE IS". ANY AND ALL REPRESENTATIONS, GUARANTEES, AND/OR EXPRESS OR IMPLIED WARRANTIES WITH RESPECT THERETO, INCLUDING BUT NOT LIMITED TO IMPLIED WARRANTIES OF MERCHANTABILITY, NON-INFRINGEMENT OF THIRD PARTY RIGHTS, FITNESS FOR A PARTICULAR PURPOSE, AND THOSE ARISING FROM COURSE OF DEALING OR PERFORMANCE, ARE EXPRESSLY DISCLAIMED. SELLER DOES NOT AND CANNOT WARRANT THE PERFORMANCE OR RESULTS YOU MAY OBTAIN BY USING THE RECORDS. DEPENDING UPON WHERE YOU ARE LOCATED, YOU MAY HAVE OTHER RIGHTS, WHICH VARY FROM JURISDICTION TO JURISDICTION. BUYER ASSUMES RESPONSIBILITY FOR DETERMINING THE SUITABILITY OF THE RECORDS FOR ANY NEEDS AND AS COMBINED WITH OTHER ASSETS OR DEVELOPMENT, AND FOR RESULTS

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OBTAINED. TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, SELLER SHALL IN NO EVENT BE LIABLE HEREUNDER FOR DAMAGES WHICH ARE RELATED TO MATTERS BEYOND ITS REASONABLE CONTROL; NOR WILL SELLER OR ITS SUPPLIERS AND LICENSORS BE LIABLE FOR ANY DIRECT, INDIRECT, MORAL, SPECIAL, INCIDENTAL, ECONOMIC, COVER, CONSEQUENTIAL, EXEMPLARY OR PUNITIVE DAMAGES, WHETHER FORESEEABLE OR NOT.

SIGNATURES ON THE FOLLOWING PAGE

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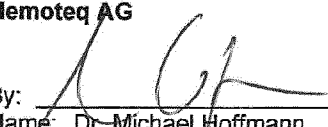
A handwritten signature in black ink, consisting of a stylized, cursive letter 'B' or similar character.

PATENT
REEL: 070214 FRAME: 0804

IN WITNESS WHEREOF, the Parties have executed this Bill of Sale and Assignment as of the date first set forth above.


BUYER:

Hemoteq AG

By: 
Name: Dr. Michael Hoffmann
Title: CEO

SELLER:

Svelte Medical Systems, Inc.

By: 
Name: Jack Darby
Title: CEO

SIGNATURE PAGE TO
BILL OF SALE AND ASSIGNMENT

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PATENT
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EXHIBIT 1

LIST OF THE RECORDS

- I. The entire data set submitted to the FDA for the Svelte SLENDER Sirolimus-Eluting Coronary Stent Integrated Delivery System (SLENDER IDS® and (DIRECT RX®) Premarket Approval (PMA) application and ownership of the PMA application (P210014) from Svelte Medical Systems, Inc.;
- II. the entire data set submitted to all other regulatory bodies for the Svelte SLENDER Sirolimus-Eluting Coronary Stent Integrated Delivery System (SLENDER IDS® and (DIRECT RX®) and ownership of the related regulatory application(s); and
- III. all assets associated with (i) and (ii) above, including all intellectual property and supporting documentation,

with all of the above being, collectively, the "Records".

EXHIBIT 1 TO
BILL OF SALE AND ASSIGNMENT

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REEL: 070214 FRAME: 0806

Appendix A - Listing of Transferred Patent Assets

1. Stent Delivery Catheter with Balloon Control Bands Family

Priority: US Application No. 61/163,103 filed March 25, 2009

- US9585781 B2
 - Title: Stent Delivery Catheter with Balloon Control Bands
 - Filing Date: March 30, 2016
 - Grant Date: March 7, 2017
- US9849013 B2
 - Title: Stent Delivery Catheter
 - Filing Date: January 17, 2017
 - Grant Date: December 26, 2017
- USRE47514 E1
 - Title: Balloon Delivery Apparatus and Method for Using and Manufacturing the Same
 - Filing Date: February 20, 2017
 - Grant Date: July 16, 2019