

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

Assignment ID: PATI824597

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	Hemoteq AG	10/07/2024
RECEIVING PARTY DATA		
Company Name:	Advanced Bifurcation Systems Inc.	
Street Address:	7419 South Front Rd	
City:	Livermore	
State/Country:	CALIFORNIA	
Postal Code:	94551	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15407693
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6123736900	
Email:	request@slwip.com	
Correspondent Name:	Schwegman Lundberg & Woessner, P.A.	
Address Line 1:	P.O. Box 2938	
Address Line 4:	Minneapolis, MINNESOTA 55402	
ATTORNEY DOCKET NUMBER:	5133.037US4	
NAME OF SUBMITTER:	Kerri Wright	
SIGNATURE:	/Kerri Wright/	
DATE SIGNED:	02/13/2025	
Total Attachments: 5		
source=5133037US4RecordationCS_Bifurcation#page1.tiff		
source=5133037US4RecordationCS_Bifurcation#page2.tiff		
source=5133037US4RecordationCS_Bifurcation#page3.tiff		
source=5133037US4RecordationCS_Bifurcation#page4.tiff		
source=5133037US4RecordationCS_Bifurcation#page5.tiff		

RECORDATION FORM COVER SHEET
PATENTS ONLY

Form PTO-1595 (Rev. 6-18)
OMB No. 0651-0027 (exp. 10/31/2024)

U.S. Department of Commerce
United States Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Hemoteq AG

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): October 7, 2024

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies):

Name: Advanced Bifurcation Systems Inc.

Street 7419 South Front Rd

Address:

City: Livermore

State/Province: CA

Zip: 94551

Country: United States of America

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): ☐ This document serves as an Oath/Declaration (37 CFR 1.63)

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 15/407,693

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Piers A. Blewett

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, Minnesota 55402

Phone Number: (408) 660-2013

Docket Number: 5133.037US4

Email Address: request@slwip.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41): \$0.00

☐ Authorized to be charged to deposit account 19-0743

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account No.: 19-0743

Authorized User Name: Piers A. Blewett

9. Signature:

Piers A. Blewett/Reg. No. 68,464

Name of Person Signing

/ Piers A. Blewett

Signature

/ Feb 13, 2025

Date

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT
REEL: 070214 FRAME: 0986

BILL OF SALE AND ASSIGNMENT

THIS BILL OF SALE AND ASSIGNMENT (this "*Bill of Sale and Assignment*") is made effective as of the 7th day of October, 2024 by HEMOTEQ AG, a German corporation ("*Seller*") in favor of Advanced Bifurcation Systems, Inc. ("*Buyer*").

This Bill of Sale and Assignment is being executed and delivered in connection with Seller's desire to effect the transfer to Buyer of certain records that Seller purchased from Svelte Medical Systems, Inc. ("*Svelte*") described on EXHIBIT 1 attached hereto (the "*Records*").

1. TRANSFER AND ASSIGNMENT. Seller sells, assigns and delivers to Buyer, its successors and assigns, effective as of 12:01 a.m. Eastern Time on 7th of October, 2024, all of Seller's right, title and interest in and to all of the Records.

2. PURCHASE PRICE. [REDACTED]

3. FURTHER ACTIONS. At the request of Buyer, Seller will execute and deliver further instruments of transfer and assignment and take such other action as Buyer may request to more effectively transfer and assign to and vest in Buyer the Records, all at the sole cost and expense of Seller.

4. TITLE. Seller obtained title of the Records from Svelte and has provided to Buyer the documentation evidencing the acquisition. Seller has not transferred or granted any right or lien in the Records to any other party. This Bill of Sale and Assignment represents the entire agreement of Buyer and Seller with respect to title to the Records. All prior negotiations between the parties concerning title to the Records are merged into this Bill of Sale and Assignment, and there are no other understandings or agreements.

5. LIMITATION OF LIABILITIES. THE RECORDS ARE PROVIDED "AS IS", "WHERE IS". ANY AND ALL REPRESENTATIONS, GUARANTEES, AND/OR EXPRESS OR IMPLIED WARRANTIES WITH RESPECT THERETO, INCLUDING BUT NOT LIMITED TO IMPLIED WARRANTIES OF MERCHANTABILITY, NON-INFRINGEMENT OF THIRD PARTY RIGHTS, FITNESS FOR A PARTICULAR PURPOSE, AND THOSE ARISING FROM COURSE OF DEALING OR PERFORMANCE, ARE EXPRESSLY DISCLAIMED. SELLER DOES NOT AND CANNOT WARRANT THE PERFORMANCE OR RESULTS YOU MAY OBTAIN BY USING THE RECORDS. DEPENDING UPON WHERE YOU ARE LOCATED, YOU MAY HAVE OTHER RIGHTS, WHICH VARY FROM JURISDICTION TO JURISDICTION. BUYER ASSUMES RESPONSIBILITY FOR DETERMINING THE SUITABILITY OF THE RECORDS FOR ANY NEEDS AND AS COMBINED WITH OTHER ASSETS OR DEVELOPMENT, AND FOR RESULTS

SIGNATURE PAGE TO
BILL OF SALE AND ASSIGNMENT

PATENT

REEL: 070214 FRAME: 0987

OBTAINED. TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, SELLER SHALL IN NO EVENT BE LIABLE HEREUNDER FOR DAMAGES WHICH ARE RELATED TO MATTERS BEYOND ITS REASONABLE CONTROL; NOR WILL SELLER OR ITS SUPPLIERS AND LICENSORS BE LIABLE FOR ANY DIRECT, INDIRECT, MORAL, SPECIAL, INCIDENTAL, ECONOMIC, COVER, CONSEQUENTIAL, EXEMPLARY OR PUNITIVE DAMAGES, WHETHER FORESEEABLE OR NOT.

IN WITNESS WHEREOF, the Parties have executed this Bill of Sale and Assignment as of the date first set forth above.

SELLER:

BUYER:

HEMOTEQ AG

ADVANCED BIFURCATION SYSTEMS, INC.

By: 

By: 

Name: Dr. Michael Hoffmann
Title: Chief Executive Officer

Name: Ken Richards
Title: Chief Administrative Officer
Chief Financial Officer

11
KAM
PATENT

REEL: 070214 FRAME: 0988

EXHIBIT 1

LIST OF THE RECORDS

- I. the entire data set submitted to the FDA for the Svelte SLENDER Sirolimus-Eluting Coronary Stent Integrated Delivery System (SLENDER IDS® and (DIRECT RX®) Premarket Approval (PMA) application and ownership of the PMA application (P210014) from Svelte Medical Systems, Inc.;
- II. the entire data set submitted to all other regulatory bodies for the Svelte SLENDER Sirolimus-Eluting Coronary Stent Integrated Delivery System (SLENDER IDS® and (DIRECT RX®) and ownership of the related regulatory application(s); and
- III. all assets associated with (i) and (ii) above, including all intellectual property and supporting documentation,

with all of the above being, collectively, the "Records".


PATENT

REEL: 070214 FRAME: 0989

Appendix A - Listing of Transferred Patent Assets

1. Stent Delivery Catheter with Balloon Control Bands Family

Priority: US Application No. 61/163,103 filed March 25, 2009

- US9585781 B2
 - Title: Stent Delivery Catheter with Balloon Control Bands
 - Filing Date: March 30, 2016
 - Grant Date: March 7, 2017
- US9849013 B2
 - Title: Stent Delivery Catheter
 - Filing Date: January 17, 2017
 - Grant Date: December 26, 2017
- USRE47514 E1
 - Title: Balloon Delivery Apparatus and Method for Using and Manufacturing the Same
 - Filing Date: February 20, 2017
 - Grant Date: July 16, 2019