

PATENT ASSIGNMENT COVER SHEET

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Assignment ID: PATI919455

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
SEQUENCE:	2
CONVEYING PARTY DATA	
Name	Execution Date
JAZZ PHARMACEUTICALS IRELAND LIMITED	05/09/2022
RECEIVING PARTY DATA	
Company Name:	AXSOME MALTA LTD.
Street Address:	78 Mill Street, Zone 5
Internal Address:	Central Business District
City:	Qormi
State/Country:	MALTA
Postal Code:	CBD 5090
PROPERTY NUMBERS Total: 10	
Property Type	Number
Patent Number:	12194016
Patent Number:	11839599
Patent Number:	11850226
Patent Number:	11850228
Patent Number:	11986455
Patent Number:	11986454
Patent Number:	11857528
Patent Number:	11850227
Patent Number:	11969404
Patent Number:	11839598
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2693820030
Email:	klw@hueschen-sage.us
Correspondent Name:	HUESCHEN AND SAGE
Address Line 1:	107 West Michigan Avenue
Address Line 2:	Seventh Floor, The Kalamazoo Building

Address Line 4: Kalamazoo, MICHIGAN 49007	
NAME OF SUBMITTER:	Katherine Weiland
SIGNATURE:	/Katherine Weiland/
DATE SIGNED:	03/28/2025
Total Attachments: 11 source=ASSIGNMENT_JAZZ_TO_AXSOME#page1.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page2.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page3.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page4.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page5.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page6.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page7.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page8.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page9.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page10.tiff source=ASSIGNMENT_JAZZ_TO_AXSOME#page11.tiff	

PATENT ASSIGNMENT AGREEMENT

This **PATENT ASSIGNMENT AGREEMENT** (this “Assignment”) is entered into effective as of May 9, 2022, by and between **JAZZ PHARMACEUTICALS IRELAND LIMITED**, a corporation having its principal place of business at Waterloo Exchange, Waterloo Road, Dublin 4, Ireland (“Assignor”), and **AXSOME MALTA LTD.**, a Malta limited company (the “Assignee”). Capitalized terms used but not defined herein have the meanings ascribed to them in the Purchase Agreement (defined below).

WHEREAS, Jazz Pharmaceuticals plc (“Jazz plc”) and Assignee are parties to an Asset Purchase Agreement, dated as of March 25, 2022 (the “Purchase Agreement”), pursuant to which Jazz plc has agreed to, among other things, cause the sale, conveyance, transfer, assignment and delivery to Assignee of Assignor’s right, title and interest in and to the Patent Rights set forth on **Exhibit A** hereto (the “Specified U.S. Patent Rights”) for consideration and upon the terms and conditions set forth in the Purchase Agreement; and

NOW, THEREFORE, pursuant to the Purchase Agreement, and for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. Conveyance. Assignor hereby sells, conveys, transfers, assigns and delivers (or Assignor will cause the Specified Affiliates to sell, convey, transfer assign and deliver) to Assignee all of Assignor’s right, title and interest to the Specified U.S. Patent Rights in any country or region, together with the right (whether at law, in equity, by contract or otherwise) to enjoy or otherwise exploit any of the Specified U.S. Patent Rights, including the rights to sue for and remedies against past, present and future infringements or misappropriations of any Specified U.S. Patent Rights, and rights of priority and protection of interests therein under the laws of any jurisdiction worldwide.
2. Recordation. Assignor shall request the United States Patent and Trademark Office Commissioner for Patents and any other applicable governmental entity or registrar (including any applicable foreign or international office or registrar) to record Assignee as the assignee and owner of the Specified U.S. Patent Rights. Assignor shall further authorize the respective patent office or governmental agency in each other jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Specified U.S. Patent Rights in the name of Assignee, as the assignee to the entire interest therein.
3. Information and Assistance. Following the date hereof, upon Assignee’s reasonable request and at Assignee’s sole cost and expense, Assignor shall take such actions, including the execution and delivery of any affidavits, declarations, oaths, exhibits, assignments, powers of attorney, or other documents, reasonably necessary or required by law to consummate and make fully effective the transaction contemplated by this Assignment.
4. Successors and Assigns. This Assignment and all the provisions hereof shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and

permitted assigns and nothing herein express or implied shall give or be construed to give to any person, other than the parties hereto and their respective successors and permitted assigns, any legal or equitable rights hereunder.

5. Counterparts. This Assignment may be executed in one or more counterparts, all of which shall be considered one and the same agreement and shall become effective when one or more counterparts have been signed by each of the parties and delivered to the other parties; it being understood that all parties need not sign the same counterpart and such counterparts may be delivered by the parties hereto via facsimile or electronic transmission.

6. Section Headings. The section headings contained in this Assignment are for reference purposes only, and shall not in any way affect the meaning or interpretation of this Assignment.

7. Purchase Agreement Controls. This Assignment is provided pursuant to the Purchase Agreement, to which reference is made for a further statement of the rights and obligations of Assignor and Assignee with respect to the Specified U.S. Patent Rights. Nothing contained in this Assignment shall be deemed to modify, supersede, enlarge, limit or affect the rights of any person under the Purchase Agreement. If any provision of this Assignment is inconsistent or conflicts with the Purchase Agreement, the Purchase Agreement shall control.

8. No Waiver. No modification, waiver or termination of this Assignment shall be binding unless executed in writing by each of the parties hereto. No waiver of any of the provisions of this Assignment shall be deemed or shall constitute a waiver of any other provision hereof, nor shall such waiver constitute a continuing waiver unless otherwise expressly provided.

9. Governing Law. This Assignment will be construed in accordance with, and governed in all respects by, the laws of the State of New York (without giving effect to principles of conflicts of law). If any Legal Proceeding or other legal action relating to this Assignment is brought or otherwise initiated, the venue therefor will be in New York, New York, which will be deemed to be a convenient forum. Purchaser and Seller hereby expressly and irrevocably consent and submit to the jurisdiction of the state and federal courts in the State of New York.

[Signatures appear on next page]

IN WITNESS WHEREOF, the parties have executed this Patent Assignment Agreement on the day and year first above written.

ASSIGNOR:

JAZZ PHARMACEUTICALS IRELAND LIMITED

By: Hugh Kiely
Name: Hugh Kiely
Title: Director

IN WITNESS WHEREOF, the parties have executed this Patent Assignment Agreement on the day and year first above written.

ASSIGNEE:

AXSOME MALTA LTD.

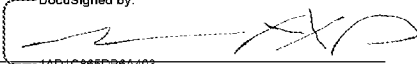
By: 
Name: Nick Pizzie
Title: Chief Financial Officer

EXHIBIT A**Specified U.S. Patent Rights****Patents**

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	14/205423	12-Mar-2014	12/9/2034 including 272 days PTA	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	15/149478	09-May-2016	12-Mar-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	15/419133	30-Jan-2017	12-Mar-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	16/359446	20-Mar-2019	12-Mar-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	14/334694	18-Jul-2014	18-Jul-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	14/955646	01-Dec-2015	18-Jul-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	15/594070	12-May-2017	18-Jul-2034	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	15/695913	05-Sep-2017	05-Sep-2037	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	16/225890	19-Dec-2018	05-Sep-2037	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	16/331069	06-Mar-2019	06-Sep-2037	Granted	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	16/338925	02-Apr-2019	06-Oct-2037	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	16/891464	03-Jun-2020	06-Oct-2037	Granted	Jazz Pharmaceuticals Ireland Limited

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	16/618735	02-Dec-2019	01-Jun-2038	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	16/877717	19-May-2020	01-Jun-2038	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	16/824560	19-Mar-2020	19-Mar-2040	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	17/149406	14-Jan-2021	19-Mar-2040	Granted	Jazz Pharmaceuticals Ireland Limited
United States of America	17/154336	21-Jan-2021		Published	Jazz Pharmaceuticals Ireland Limited
United States of America	17/091222	06-Nov-2020		Published	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co., Ltd
United States of America	12/492566	26-Jun-2009	5/26/2030 including 334 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/922128	26-Mar-2009	12/13/2028 including 914 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/921995	10-Mar-2009	8/25/2027 including 444 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/747508	23-Jan-2013	07-Jun-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/452816	06-Aug-2014	07-Jul-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/433660	15-Feb-2017	07-Jun-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/379793	21-Dec-2011	8/21/2030 including 61 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/271503	07-May-2014	8/21/2030 including 62 days PTA plus TTD	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/268060	16-Sep-2016	21-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	15/983944	18-May-2018	21-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/666521	26-Oct-2005		Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/508145	13-Jun-2012	01-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/495416	24-Sep-2014	01-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/508147	03-Nov-2010	03-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/495532	24-Sep-2014	11/3/2030 including 153 days PTA and TD	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/605278	25-May-2017	03-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	12/827529	30-Jun-2010	4/19/2031 including 293 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	12/827505	30-Jun-2010	3/30/2031 including 273 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/432479	14-Feb-2017	30-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	16/670382	31-Oct-2019		Tracking Published	SK Biopharmaceuticals Co., Ltd.

Pending Patent Applications

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	17/383707	23-Jul-2021		Pending	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co., Ltd

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	17/028674	22-Sep-2020		Pending	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	17/470063	09-Sep-2021		Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	16/634935	29-Jan-2020		Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	17/212079	25-Mar-2021		Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	63/279805	16-Nov-2021	16-Nov-2022	Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	17/479121	20-Sep-2021		Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	63/240422	03-Sep-2021	03-Sep-2022	Pending	Jazz Pharmaceuticals Ireland Limited
United States of America	12/492566	26-Jun-2009	5/26/2030 including 334 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/922128	26-Mar-2009	12/13/2028 including 914 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/921995	10-Mar-2009	8/25/2027 including 444 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/747508	23-Jan-2013	07-Jun-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/452816	06-Aug-2014	07-Jul-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/433660	15-Feb-2017	07-Jun-2026	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/379793	21-Dec-2011	8/21/2030 including 61 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/271503	07-May-2014	8/21/2030 including 62 days PTA plus TD	Tracking Granted	SK Biopharmaceuticals Co., Ltd.

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	15/268060	16-Sep-2016	21-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/983944	18-May-2018	21-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	11/666521	26-Oct-2005		Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/508145	13-Jun-2012	01-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/495416	24-Sep-2014	01-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	13/508147	03-Nov-2010	03-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	14/495532	24-Sep-2014	11/3/2030 including 153 days PTA and TTD	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/605278	25-May-2017	03-Nov-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	12/827529	30-Jun-2010	4/19/2031 including 293 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	12/827505	30-Jun-2010	3/30/2031 including 273 days PTA	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	15/432479	14-Feb-2017	30-Jun-2030	Tracking Granted	SK Biopharmaceuticals Co., Ltd.
United States of America	17/034564	28-Sep-2020		Tracking Pending	SK Biopharmaceuticals Co., Ltd.
United States of America	17/029151	23-Sep-2020		Tracking Pending	SK Biopharmaceuticals Co., Ltd.

Expired/Abandoned Patents

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
United States of America	62/383818	06-Sep-2016	06-Sep-2017	Aban.-PRO	Jazz Pharmaceuticals Ireland Limited
United States of America	62/383822	06-Sep-2016	06-Sep-2017	Aban.-PRO	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	14/328795	11-Jul-2014		Abandoned	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	16/144247	27-Sep-2018		Abandoned	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	16/689715	20-Nov-2019		Abandoned	Jazz Pharmaceuticals Ireland Limited
United States of America	61/778998	13-Mar-2013	13-Mar-2014	Expired	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	61/845438	12-Jul-2013	12-Jul-2014	Expired	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	61/847593	18-Jul-2013	18-Jul-2014	Expired	Jazz Pharmaceuticals Ireland Limited; SK Biopharmaceuticals Co, Ltd
United States of America	62/404917	06-Oct-2016	06-Oct-2017	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	62/539088	31-Jul-2017	31-Jul-2018	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	62/514176	02-Jun-2017	02-Jun-2018	Expired	Jazz Pharmaceuticals International III Limited
United States of America	62/843155	03-May-2019	03-May-2020	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	62/934216	12-Nov-2019	12-Nov-2020	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	63/113633	13-Nov-2020	13-Nov-2021	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	63/029287	22-May-2020	22-May-2021	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	63/070693	26-Aug-2020	26-Aug-2021	Expired	Jazz Pharmaceuticals Ireland Limited
United States of America	16/420834	23-May-2019		Tracking Abandoned	SK Biopharmaceuticals Co., Ltd.
United States of America	15/632847	26-Jun-2017		Tracking	SK Biopharmaceuticals Co., Ltd.

Country	Application No.	Filing Date	Expiration Date	Status	Assignee Name
America				Abandoned	
United States of America	16/225893	19-Dec-2018		Tracking Abandoned	SK Biopharmaceuticals Co., Ltd.
United States of America	60/692809	6/22/2005		Tracking Expired	SK Biopharmaceuticals Co., Ltd.
United States of America	60/688638	08-Jun-2005		Tracking Expired	SK Biopharmaceuticals Co., Ltd.
United States of America	61/219082	22-Jun-2009		Tracking Expired	SK Biopharmaceuticals Co., Ltd.
United States of America	60/623044	28-Oct-2004	28-Oct-2005	Tracking Expired	SK Biopharmaceuticals Co., Ltd.
United States of America	61/258672	06-Nov-2009	06-Nov-2010	Tracking Expired	SK Biopharmaceuticals Co., Ltd.
United States of America	61/258780	06-Nov-2009	06-Nov-2010	Tracking Expired	SK Biopharmaceuticals Co., Ltd.