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FORM PTO-1618A Expires 06/30/99 OMB 0651-0027 01-05-1999



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| TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).  |  |  |  |  |  |  |  |
| Submission Type  | Conveyance Type  |  |  |  |  |  |  |
| <b>⊠</b> New   | ■ Assignment   |  |  |  |  |  |  |
| Resubmission (Non-Recordation)   | ☐ Security Agreement ☐ Nunc Pro Tunc Assignment  |  |  |  |  |  |  |
| Document ID # XXX  | ☐ Merger Effective Date  |  |  |  |  |  |  |
| Correction of PTO Error  | Month Day Year<br>May 1998   |  |  |  |  |  |  |
| Reel # XXX Frame # XXX   | Change of Name   |  |  |  |  |  |  |
| Corrective Document  |  |  |  |  |  |  |  |
| Reel # XXX Frame # XXX   | Other XXX  |  |  |  |  |  |  |
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| Conveying Party  | Mark if additional names of conveying parties attached  Execution Date   |  |  |  |  |  |  |
|  | Month Day Year   |  |  |  |  |  |  |
|  | amb and Company 6/18/98  |  |  |  |  |  |  |
| Formerly XXX   |  |  |  |  |  |  |  |
| ☐ Individual ☐ General Partnership ☐ Limite  | ed Partnership 🗵 Corporation C 🛱 Association   |  |  |  |  |  |  |
| Other XXX  |  |  |  |  |  |  |  |
| <ul> <li>         \( \times \)          \( \times \)</li></ul> |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| Receiving Party  | Mark if additional names of receiving parties attached   |  |  |  |  |  |  |
| Name Luminate Software Corporation   | Ş N j  |  |  |  |  |  |  |
| DBA/AKA/TA XXX   | NC 7   |  |  |  |  |  |  |
| Composed of XXX  | Composed of XXX  |  |  |  |  |  |  |
| Address (line 1) 1775 Woodside Road, Suite 150   |  |  |  |  |  |  |  |
| Address (line 2) XXX   |  |  |  |  |  |  |  |
| Address (line 3) Redwood City  | California 94061   |  |  |  |  |  |  |
| City   | State/Country Zip Code   |  |  |  |  |  |  |
| ☐ Individual ☐ General Partnership ☐   | Limited Partnership  If document to be recorded is an assignment and the receiving party is  |  |  |  |  |  |  |
| ☑ Corporation ☐ Association  | not domiciled in the United States, an appointment of a domestic representative should be attached.  |  |  |  |  |  |  |
| Other XXX  | (Designation must be a separate document from Assignment.)   |  |  |  |  |  |  |
|  | lifornia   |  |  |  |  |  |  |
| 7/27/1998 DNGUYEN 00000018 1836020 FOR OFFICE USE ONLY   |  |  |  |  |  |  |  |
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Mail documents to be recorded with required cover sheet(s) information to:

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| Domestic Representa  | tive Name and   | d Address  | Enter f                         | for the first Receiving I | Party only.                           |             |          |
|--|---|--|---------------------------------|---------------------------|---------------------------------------|-------------|----------|
| Name [   | XXX   |  |                                 |                           |                                       |             |          |
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| Trademark Applicat   | tion Number(s<br>ademark Applicati                      | <b>) or Registratio</b><br>on Number <u>or</u> the R | on Number(s<br>legistration Num | s)                        | if additional number BOTH numbers for |             |          |
| Trade Appli  | ication Number  | (s)  |                                 | Registrati                | ion Number(s)                         |             |          |
| XXX  | xx [  | XXX  |                                 | 1,836,020                 | XXX                                   | XXX         |          |
| XXX  | XX  | XXX  |                                 | XXX                       | XXX                                   | XXX         |          |
| XXX  | XX  | XXX  | 1                               | XXX                       | XXX                                   | XXX         |          |
| Number of Propertie  | ies Enter the total number of properties involved. #XXX |  |                                 |                           |                                       |             |          |
| Fee Amount   | Fee Amount for Properties Listed (37 CFR 3.41):         |  |                                 | (37 CFR 3.41):            | \$ 40.00                              |             |          |
| Method of Paym   | ent: E  | nclosed 🗵  | Deposit                         | Account $\square$         |                                       |             |          |
| Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)  Deposit Account Number:  Authorization to charge additional fees:                                       |   |  | # XXX Yes                       |                           |                                       |             |          |
| Statement and Signa  | iture   |  |                                 |                           |                                       |             |          |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein. |   |  |                                 |                           |                                       |             |          |
| Noelle D. Azzor  | oardi   | M  | MhB                             | property                  | *                                     | 7/20/98     |          |
| Name of Person   | Signing   | •  | Signatu                         | r <i>e[] [/ []</i>        |                                       | Date Signed |          |

#### ASSIGNMENT AGREEMENT

| This Assignment Agreement (this "Agreement") is effective as of May , 1998 (the                 |
|---|
| "Effective Date"), by and between Luminate Software Corporation, a California corporation,      |
| having its principal offices in Redwood City, California ("Luminate") and Lamb and Company,     |
| Inc., a Minnesota corporation, having its principal offices in Minneapolis, Minnesota ("Lamb"). |

#### RECITALS

- A. Since at least as early as \_\_\_\_\_, Lamb has used the mark ILLUMINATE in connection with computer software and is the owner of the United States Trademark Registration No. 1,836,020 for "computer programs for use in the field of information management" (the ILLUMINATE Mark).
- B. Luminate filed an application with the United States Patent and Trademark Office for the mark LUMINATE, Serial No. 75/200596, on November 19, 1996. On July 3, 1997, Luminate received an Office Action citing the ILLUMINATE Registration as a bar to registration of its mark.
- C. On January 5, 1998, Luminate filed a cancellation action against the ILLUMINATE registration, based upon the belief that Lamb had abandoned its rights in the ILLUMINATE Mark.
- D. Lamb has not abandoned its ILLUMINATE registration with an intent to cease use of the ILLUMINATE Mark.
- E. Luminate desires to acquire rights in the ILLUMINATE Mark, together with the goodwill associated with such mark, and Lamb is willing to assign its rights in the ILLUMINATE Mark to Luminate under the terms set forth below.

NOW, THEREFORE, in consideration of the mutual covenants set forth below, the parties agree:

#### **AGREEMENT**

## 1. ASSIGNMENT

Lamb assigns to Luminate all of Lamb's rights, title, and interest in the United States and throughout the world in and to the ILLUMINATE Mark, together with the goodwill of the business symbolized by the ILLUMINATE Mark and any and all applications for and registrations of the ILLUMINATE Mark. Lamb will execute the Trademark Assignment attached to this Agreement as Exhibit 1 (the "Trademark Assignment").

#### 2. <u>DISMISSAL OF CANCELLATION ACTION</u>

Within 15 days after the Effective Date of this Agreement, Luminate will abandon the Cancellation Action. Lamb will provide such assistance and cooperation as Luminate may reasonably request in order to register the mark LUMINATE and to enforce Luminate's rights in and to the ILLUMINATE Mark, including without limitation by signing such documents as may be requested by Luminate.

#### 3. <u>PAYMENTS</u>

Within 5 business days after the Effective Date, Luminate will pay to Lamb the sum of \$4,000.

## 4. <u>REPRESENTATIONS BY LAMB</u>

- a. Lamb represents and warrants that: The ILLUMINATE Registration is valid and Lamb has not taken any actions which would affect the validity of the ILLUMINATE Mark.
- b. Lamb agrees not to make any use of the ILLUMINATE Mark or any mark substantially similar thereto.
- c. Lamb has the full right and authority to make and perform this Agreement and to grant the rights granted in this Agreement and; that it has not previously sold, assigned, transferred, conveyed, or otherwise disposed of its right, title, or interest, or any portion of its right, title or interest in the ILLUMINATE Mark.

#### 5. CONFIDENTIALITY

The parties will not disclose any of the terms of this Agreement, directly or through any agent, representative or anyone else acting on the party's behalf, to any third party without the prior written consent of the other party to this Agreement, except as required by law. The parties will take steps to safeguard the confidentiality of this Agreement and ensure that copies of the Agreement do not become accessible to anyone other than the party's employees and attorneys representing the parties in connection with this Agreement.

#### 6. ENTIRE AGREEMENT

This Agreement and the Trademark Assignment attached hereto as Exhibit 1 together represent the entire agreement between the parties regarding the ILLUMINATE Mark. No waiver, modification, variation or amendment of any term or condition of this Agreement shall be effective unless it is memorialized in a writing signed by authorized representatives of both parties hereto.

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#### 7. EFFECT AND INTERPRETATION OF AGREEMENT

This Agreement shall extend to, inure to the benefit of, and be binding upon the parties hereto, and their respective directors, officers, partners, proprietors, agents, subsidiaries, predecessors, assigns and successors. This Agreement shall be interpreted and enforced in accordance with California law applicable to contracts made and performed in California by California residents.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the Effective Date.

LAMB AND COMPANY, INC.

**RECORDED: 07/23/1998** 

LUMINATE SOFTWARE CORP.

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