05-19-1999

SHEET **NLY**

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

243024 v.01		
To the Honorable Commission	101039432	the attached original documents or copy thereof.
Name of conveying party(ies): Acme United Corporation 75 Kings Highway Cutoff Fairfield, CT 06430		2. Name and address of rece <mark>ving party(iss)</mark> Name: People's Bank Internal Address: Street Address: 850 Main Street
		City: Bridgeport State: CT ZIP 06604
	Association Limited Partnership tached? □ Yes ☒ No	□ Individual(s) citizenship □ Association □ General Partnership □ Limited Partnership □ Corporation-State CT
☑ Security Agreement ☐ Other ☐ Ot	Merger Change of Name	☐ Other If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No (Designations must be a separate document from Assignment) Additional name(s) & address(es) attached? ☐ Yes ☑ No
Execution Date: 4/28/99		
Application number(s) or registration number(s): Trademark Application No.(s)		B. Trademark registration No.(s)
See Attached Schedule		See Attached Schedule II ached? □ Yes □ No
Name and address of party to w concerning document should be	hom correspondence	6. Total number of applications and registrations involved:
Name: Patricia C. Gagnon Internal Address: Shipman & Goodwin LLP		7. Total fee (37 CFR 3.41):\$\frac{1.165.00}{\text{ Local fee}}\$ Enclosed Authorized to be charged to deposit account
Street Address: One American Row		8. Deposit account number:
City: <u>Hartford</u> State	<i>CT</i> Zip: <i>06111</i>	(Attach duplicate copy of this page if paying by deposit account)
(5/18/1999 JSHABAZZ 00000135 75435049 01 FC:481 40.00 02 FC:482 1125.00	0 P	E THIS SPACE
Statement and signature. To the best of my knowledge an of the original document.	d belief, the foregoing infor	rmation is true and correct and any attached copy is a true copy
Drew A. Malakoff Name of Person Signing		WMy 5 /3 /9 a Signature Date
OMB No. 0651-0011 (exp. 4/94)	Total numb	per of pages comprising cover sheet 14
	Do no detac	ch this portion
Mail documents to be record	ded with required cover she	eet information to:
Dublio burden encette e fee f	Box Assignment Washington, D.C	C. 20231
Public burden reporting for t	ins sample cover sneet is e	estimated to average about 30 minutes per document to

be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C., 20231 and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D. C. 2053.

TRADEMARK

REEL: 1892 FRAME: 0232

SCHEDULE I

(4.a Trademark Application No.(s))

- 1. 75-435049 NAME TAG WINDOW Int'l 8, 16, Pending, Passed by Examiner
- 2. 75-409438 TAG IT Int'l 16 Pending, Passed by Examiner
- 3. 75-393033 (Design only) Int'l 16 Pending
- 4. 75-391023 TAG IT Int'l 8 Pending, Passed by Examiner
- 5. 75-316369 BLADE BUG Int'l 8 Allowed

243499 v.01 S1

SCHEDULE II (4.b Trademark Registration No.(s))

Registration Numbers

- 1. 1988553 BARRACUTTER Int'l 8 Registered
- 2. 1988552 RHINOSCISSOR Int'l 8 Registered
- 3. 2020416 SCISSAMANDER Int'l 8 Registered
- 4. 1858385 CRAFTWORKS Int'l 8 Registered
- 5. 1966106 CRAFTMASTER Int'l 8 Registered
- 6. 1907098 THE KINGSHEAD KIDS (and Design) Int'l 8, 16, Registered
- 7. 1821022 CRAFTKIDS Int'l 8 Registered
- 8. 1822389 SCISSIASURUS Int'l 8 Registered
- 9. 1822388 SCISSIGATOR Int'l 8 Registered
- 10. 1822387 HIPPOSCISSIUS Int'l 8 Registered)
- 11. 1846698 GEOMETRIX Int'l 16 Registered
- 12. 1730675 KLEEN EARTH (and Design) Int'l 8 Registered
- 13. 1730803 KLEEN EARTH (and Design) Int'l 9 Registered
- 14. 1672956 KLEEN EARTH Int'l 8 Registered)
- 15. 1597206 GALLERIA Int'l 8 Registered
- 16. 1422692 MADE IN USA (and Design) Int'l 9 Registered
- 17. 1373358 CHANGING THE WAY THE WORLD HEALS Int'l 5 Registered
- 18. 1289784 CHANGING THE WAY THE WORLD HEALS (and Design) Int'l 5 Registered
- 19. 1322970 SAF-T-CUT Int'l 8 Registered
- 20. 1238165 TUBEGAUZ Int'l 10 Registered
- 21. 1034662 EVERSHARP Int'l 8 Renewed
- 22. 1021868- ACU-DYNE (Stylized) Int'l 5 Renewed
- 23. 1025074 ACU-DYNE Int'l 5 Renewed
- 24. 1037759 ACU-DYNE (Stylized) Int'l 3, 5 Renewed
- 25. 985117 RE-SPOSABLE US 44 Renewed
- 26. 986518 ONE TIME (Stylized) US 44 Renewed
- 27. 986336 ONE TIME (Stylized) US 18 Renewed
- 28. 998831 A (and Design) US 51 Renewed
- 29. 1010786 A (and Design) US 44 Renewed
- 30. 981046 AB (and Design) US 26 Renewed
- 31. 1010705 A (and Design) US 23 Renewed
- 32. 980877 A (and Design) US 18 Renewed
- 33. 975261 ONE TIME (Stylized) US 4, 6, 51, 52 Renewed
- 34. 830169 (Design Only) US 26 Renewed
- 35. 841187 KLEENCUT US 23, 44 Renewed
- 708706 KLEENCUT US 23 Renewed
- 698534 KLEENCUT US 23 Renewed
- 38. 706601 MONARCH US 23 Renewed
- 39. 585949 WESTCOTT (Stylized) US 26 Renewed
- 40. 522058 EVERSHARP (and Design) US 23 Renewed
- 41. 1345543 ACU-DERM Int'l 5 Registered

243499 v.01

PATENT AND TRADEMARK SECURITY AGREEMENT

AGREEMENT dated as of the 28th day of April, 1999, by ACME UNITED CORPORATION, a Connecticut corporation, having an office at 75 Kings Highway Cutoff, Fairfield, Connecticut 06430 (the "Borrower") and PEOPLE'S BANK, a banking corporation organized and existing under the laws of the state of Connecticut, with an office at 850 Main Street, Bridgeport, Connecticut 06604 (the "Lender").

WITNESSETH:

WHEREAS, pursuant to the terms and provisions of the Loan and Security Agreement of even date herewith (the "Loan Agreement") and documentation related thereto (collectively, the "Loan Documents") between Borrower and Lender, Lender is making a term loan and revolving credit loans to Borrower in a maximum amount of \$13,000,000 (collectively, the "Loans"); and

WHEREAS, Borrower agrees that payment of the Loans and the performance of any other obligations of Borrower to Lender pursuant to the Loan Documents or any other obligations of Borrower to Lender, whether now existing or hereafter arising (collectively the "Obligations") are to be secured by, among other things, the security interests created hereby.

NOW THEREFORE, to induce Lender to enter into the Loan Agreement and to induce Lender to make its extensions of credit to Borrower under the Loan Agreement and in consideration of the mutual covenants and agreements contained herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Borrower and Lender, intending to be bound legally, agree as follows:

- Borrower pledges, assigns and grants to Lender a continuing security interest in and first priority lien on: (i) all of Borrower's patents and trademarks, registrations therefor and the goodwill and other rights associated therewith (including, but not limited to, those listed on the attached Schedule A) (the "Intellectual Property"), (ii) all common law rights to the Intellectual Property, (iii) the right to sue in Lender's own name or joined with Borrower, for past, present or future infringements thereof, (iv) all reissues, renewals and extensions thereof, (v) all rights corresponding to any of the foregoing throughout the world, (vi) all whether now existing or hereafter arising, and (vii) all proceeds of any of the foregoing, (collectively, including the Intellectual Property, the "Collateral"). Without limiting the generality of the foregoing, Borrower further grants, assigns and conveys to Lender an exclusive license under and to the Collateral for the purpose of enforcing all of Lender's rights and remedies under this Agreement and the Loan Documents. Any right to sue shall be discretionary and not an obligation of Lender.
 - 2. Covenants and Warranties of Borrower. Borrower covenants and warrants that:
- (a) the Intellectual Property is subsisting and has not been adjudged invalid or unenforceable, in whole or in part;
 - (b) each item of Intellectual Property is valid and enforceable;

- (c) Borrower is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each item of Intellectual Property, free and clear of any liens, security interests, or infringements of any nature whatsoever;
- (d) Borrower has the unqualified right to enter into this Agreement and perform its terms;
- (e) Borrower shall not permit or suffer to exist any lien or security interest upon the Collateral, except for the security interest granted herein;
- (f) Borrower will warrant and defend the title to the Collateral and the lien of Lender therein against all claims of all persons;
- (g) Borrower will maintain and preserve such lien so long as this Agreement shall remain in full force and effect; and
- (h) until the Obligations have been satisfied in full, Borrower will not enter into any agreement which is inconsistent with this Agreement.
- 3. Additional Intellectual Property. If, before the Obligations shall have been satisfied in full, Borrower shall obtain rights to any new Intellectual Property or become entitled to the benefit of any Intellectual Property application or any reissue, renewal and extension of any Intellectual Property, Borrower shall give to Lender prompt notice thereof in writing and the provisions of this Agreement shall apply thereto.
- 4. <u>Modifications</u>. Borrower authorizes Lender to modify this Agreement by amending <u>Schedule A</u> to include any renewals, extensions or additions to any Intellectual Property utilized by Borrower or applied for or obtained hereafter and any renewals, extensions, or additions thereto and any improvements thereon.
- 5. Events of Default. An Event of Default shall mean (i) failure of Borrower to fully comply with any of the terms contained herein, and (ii) the occurrence of an Event of Default under the Loan Agreement, as such term is defined therein, which definition is incorporated herein by reference.
- 6. Remedies. If one or more Events of Default shall have occurred, Lender shall have, in addition to all other rights and remedies given it by this Agreement and the Loan Documents, those allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in any jurisdiction in which the Collateral may be located. All of Lender's rights and remedies with respect to the Collateral, whether established hereby or by the Loan Documents, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently.
- 7. Additional Rights of Lender. After the occurrence of an Event of Default, Lender shall have the right, but shall not be obligated, to bring suit in its own name to enforce its rights in the Collateral and any license thereunder, in which event Borrower shall at the request of Lender do all lawful acts and execute all proper documents required by Lender in aid of such enforcement and Borrower shall promptly, upon demand, reimburse and indemnify Lender for all costs and expenses incurred by Lender in the exercise of its rights under this Agreement.

- 2 -

- 8. <u>No Waiver</u>. No failure on the part of Lender to exercise, and no delay in exercising any right, remedy or power hereunder shall operate as a waiver thereof, and any single or partial exercise by Lender of any right, remedy or power hereunder shall not preclude any other or future exercise of any other right, remedy or power. Each and every right, remedy and power granted to Lender or allowed it by law or other agreement, shall be cumulative and not exclusive of any other, and may be exercised by Lender from time-to-time.
- 9. Further Assurances; Filing. Borrower agrees to execute and deliver to Lender Uniform Commercial Code financing statements and such other documents, instruments, supplemental security agreements and chattel mortgages as Lender may deem necessary, proper or desirable in obtaining the benefits of this Agreement. In the event Borrower does not provide Lender with such statements within 5 days after the same are requested, Borrower authorizes Lender to effect any filing or recording of any such financing statement or statements relating to the Collateral or amendments thereto without the signature of Borrower, and appoints Lender as its attorney-in-fact to execute any such financing or other statements in the name of Borrower, and to perform all other acts which Lender deems appropriate to perfect and continue the security interests in, and to protect and preserve, the Collateral. Borrower assigns to Lender its rights in or under any financing statements relating to the Collateral and filed in favor of Borrower.
- 10. Expenses. Borrower agrees that all costs and expenses, including reasonable attorneys' fees and expenses for legal services of every kind of, or incidental to, the filing or recording of any documents, including all taxes in connection therewith, in public offices, the payment or discharge of any taxes, custody, care, management, sale or collection of, or realization upon, any of the Collateral or in any way relating to the enforcement or protection of the rights of Lender, or in defending or prosecuting any actions or proceedings arising or related to the Collateral, shall all be borne and paid by Borrower within 5 days of demand by Lender and if not paid within such time shall become part of the Obligations secured hereby. Lender may at any time apply to the payment of all such costs and expenses all moneys of Borrower or other proceeds arising from the possession or disposition of the Collateral.
- 11. <u>Notices</u>. All notices, requests, approvals, demands and other communications given or made in connection with the terms and provisions of this Agreement shall be deemed to have been given or made when sent in accordance with the provisions of the Loan Agreement.
- 12. <u>Successors</u>. All the provisions of this Agreement shall be binding upon and inure to the benefit of and be enforceable by the respective successors and assigns of the parties hereto.
- 13. <u>Termination</u>. Upon the performance of all the Obligations and the termination of the Loan, Lender shall execute and deliver to Borrower, at Borrower's sole cost and expense, any deeds, assignments or other instruments as may be reasonably necessary to revest in Borrower full title to the Collateral.
- 14. <u>Severability</u>. The provisions of this Agreement are severable, and if any clause or provision shall be held invalid and unenforceable in whole or in part in any jurisdiction, then such invalidity or unenforceability shall affect only such clause or provision, or part thereof, in such jurisdiction, and shall not in any manner affect such clause or provision in any other jurisdiction, or any other clause or provision of this Agreement in any jurisdiction.

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- 15. <u>Modification</u>. Except as set forth in Section 4, this Agreement is subject to modification only by a writing signed by the parties hereto.
- 16. <u>Law</u>. The validity and interpretation of this Agreement and the rights and obligations of the parties shall be governed by and construed in accordance with the laws of the state of Connecticut and Borrower irrevocably consents to the jurisdiction of the courts of the state of Connecticut and of any federal court located therein in connection with any actions or proceedings arising out of or related to this Agreement.
- 17. Jury Trial Waiver. BORROWER AND LENDER WAIVE TRIAL BY JURY IN ANY COURT AND IN ANY SUIT, ACTION OR PROCEEDING ON ANY MATTER ARISING IN CONNECTION WITH OR IN ANY WAY RELATED TO THE FINANCING TRANSACTIONS OF WHICH THIS AGREEMENT IS A PART OR THE ENFORCEMENT OF ANY OF THE LENDER'S RIGHTS AND REMEDIES. BORROWER AND LENDER ACKNOWLEDGE THAT THEY MAKE THIS WAIVER KNOWINGLY, VOLUNTARILY, WITHOUT DURESS AND ONLY AFTER EXTENSIVE CONSIDERATION OF THE RAMIFICATIONS OF THIS WAIVER WITH THEIR ATTORNEYS.

- 4 -

The parties have executed this Agreement as of the day and year first written above.

Witnessed: Spannessed: Spannes

ACME UNITED CORPORATION

By:

Name: Ronald Davarzo

Title: Vice President

PEOPLE'S BANK

By:

Name: Christopher Rallo Title: Vice President

STATE OF CONNECTICUT)
) ss.
COUNTY OF FAIRFIELD)

Before me, the undersigned, this 28th day of April, 1999, personally appeared Ronald Davanzo, known to me to be the Vice President of ACME UNITED CORPORATION, and that he as such officer, signer and sealer of the foregoing instrument, acknowledged the execution of the same to be his free act and deed individually and as such officer, and the free act and deed of the corporation.

In Witness Whereof, I hereunto set my hand.

Notary Public

My Commission Expires:

Commissioner of the Superior Court

STATE OF CONNECTICUT)
) ss.
COUNTY OF FAIRFIELD)

Before me, the undersigned, this 28th day of April, 1999, personally appeared Christopher Rallo, known to me to be the Vice President of PEOPLE'S BANK, and that he as such officer, signer and sealer of the foregoing instrument, acknowledged the execution of the same to be his free act and deed individually and as such officer, and the free act and deed of the corporation.

In Witness Whereof, I hereunto set my hand.

My Commission Expires:

Commissioner of the Superior Court

Schedule "A"

INTELLECTUAL PROPERTY

SEE ATTACHED

-7-

53841 v.01 04/27/99

SCHEDULE "A"

ACME UNITED PATENT INVENTORY

Pending Design Patent Application Serial No. 289/079,513, filed 11/18/97 Relating to Scissors

Pending Provisional Patent Application Serial No. 60/065,640, filed 11/18/97 Relating to Scissors Construction with Personal Identification Means

Patent Number

5435447

Issue Date

1995-07-25

Assignee Name

ACME UNITED CORPORATION

Title

Product holding and displaying member

Patent Number

4638797

Issue Date

1987-01-27

Assignee Name

ACME UNITED CORPORATION

Title

REINFORCED MOISTURE VAPOR PERMEABLE, PRESSURE-SENSITIVE

ADHESIVE WOUND DRESSINGS

Patent Number

D378272

Issue Date

1997-03-04

Assignee Name

ACME UNITED CORPORATION

Title

Blister card packaging for scissors

Patent Number

D359679

Issue Date

1995-06-27

Assignee Name

ACME UNITED CORPORATION

Title

Blister card packaging for scissors

Patent Number

D359678

Issue Date

1995-06-27

Assignee Name

ACME UNITED CORPORATION

Title

Blister card packaging for scissors

Patent Number

D358763

Issue Date

1995-05-30

Assignee Name

ACME UNITED CORPORATION

Title

Clamshell packaging for scissors

Patent Number

Issue Date

Assignee Name

Title

D354911 1995-01-31

ACME UNITED CORPORATION

Blister card packaging for scissors

Patent Number

Issue Date

Assignee Name

Title

D354910

1995-01-31

ACME UNITED CORPORATION

Clamshell packaging for scissors

Patent Number

Issue Date

Assignee Name

Title

D295894

1988-05-24

ACME UNITED CORPORATION

DISPOSABLE SURGICAL SCISSORS

Patent Number

Issue Date

Assignee Name

Title

D295893

1988-05-24

ACME UNITED CORPORATION

DISPOSABLE SURGICAL CLAMP

Patent Number

Issue Date

issue Date

Assignee Name

Title

D287630

1987-01-06

ACME UNITED CORPORATION

SURGICAL STAPLER

Patent Number

Issue Date

Assignee Name

Title

D283048

1986-03-18

ACME UNITED CORPORATION

SURGICAL STAPLE REMOVER

© 1998 MicroPatent/MarkSearch

Reference: 200-001 Owner: Acme United

67 trademark records.



Table of Contents

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1. 81-004056
                    (Design only) US 52 Cancelled - Section 8
                  NAME TAG WINDOW Int'l 8, 16 Pending, Passed by Examiner
 2. 75-435049
 3. <u>75-409438</u>
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                              Int'l 16 Pending, Passed by Examiner
 4. <u>75-393033</u>
5. <u>75-391023</u>
6. <u>75-316369</u>
7. <u>74-711841</u>
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                                     Int'l 8 Registered
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24. <u>73-821891</u>
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27. 73-813798
28. 73-700128
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                   A (and Design)
                                       US 23
                                                Renewed
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52. <u>72-445273</u>
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 54. 72-255580
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 55. <u>72-246934</u>
56. <u>72-239571</u>
57. <u>72-082179</u>
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TRADEMARK

10/7/98 11:38

58.	72-082178	KLEENCUT US 23 Renewed
59.	72-073961	MONARCH US 23 Renewed
60.	71-638046	EVER-PINK (Stylized) US 23 Expired
61.	71-626184	WESTCOTT (Stylized) US 26 Renewed
62.	71-599683	HY-TEST (Stylized) US 23 Expired
63.	71-594183	STA-SHARP US 23 Expired
64.	71-594182	ACME (and Design) US 23 Expired
65.	71-570431	THE EYESAVER US 26 Expired
66.	71-545389	SENCO (and Design) US 26 Expired
67.	71-541731	EVERSHARP (and Design) US 23 Renewed

Shipman & Goodwin 1199 Counselors at Law

One American Row Hartford, CT 06103-2819 Tel: (860) 251-5000

May 11, 1999

Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

RE: Recordation of Trademarks for Acme United Corporation

Dear Filing Clerk:

Enclosed for filing with your office is a Recordation Form Cover Sheet with attachments for Trademark. This is to record a security agreement between Acme United Corporation and People's Bank. Also enclosed is a check in the amount of \$1,165.00 for filing the security agreement against the trademarks attached hereto as Schedule I (4.a Trademark Application No.(s)) and Schedule II (4.b Trademark Registration No.(s)).

If you have any questions, please call me at 860-251-5932. Thank you for your prompt assistance in this matter.

Sincerely,

Lisa M. Weeden Paralegal Assistant

Enc. 233377 v.01 50125.16

RECORDED: 05/11/1999

HARTFORD STAMFORD LAKEVILLE BOSTON