

04-02-2002



FORM PTO-1594

(Rev. 03/01)  
OMB No. 0651-0027 (exp. 05/31/2002)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102040410

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**SECURELOGIX CORPORATION** *S.L.C.*  
 Individual(s)  Association  
 General Partnership  Limited Partnership  
 Corporation-State  
 Other

2. Name and address of receiving party(ies):  
Name: **Silicon Valley Bank**  
Internal Address: HA155  
Street Address: 3003 Tasman Drive

Additional name(s) of conveying party(ies) attached?  Yes  No

City: Santa Clara State: CA ZIP: 95054

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Individual(s) citizenship  
 Association  
 General Partnership  
 Limited Partnership  
 Corporation-State-Texas  
 Other

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
Additional name(s) & address(es) attached?  Yes  No

Execution Date: **2/22/2000**

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)	B. Trademark No.(s)
<del>75,703,401</del> <del>76,043,914</del>	<del>2,402,924</del>
<del>75,638,751</del>	<del>2,386,404</del>
<del>76,176,105</del>	<del>2,401,663</del>
<del>76,304,669</del>	<del>2,414,763</del>
<del>76,220,984</del>	<del>2,371,744</del>
<del>76,220,985</del>	<del>2,388,386</del>

A. Trademark Application No.(s)	B. Trademark No.(s)
<del>75,703,401</del> <del>76,043,914</del>	<del>2,402,924</del>
<del>75,638,751</del>	<del>2,386,404</del>
<del>76,176,105</del>	<del>2,401,663</del>
<del>76,304,669</del>	<del>2,414,763</del>
<del>76,220,984</del>	<del>2,371,744</del>
<del>76,220,985</del>	<del>2,388,386</del>

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Silicon Valley Bank**  
Internal Address: Loan Documentation HA155  
Street Address: 3003 Tasman Dr.  
City: Santa Clara State: Ca ZIP: 95054

6. Total number of applications and registrations involved: **13**

7. Total fee (37 CFR 3.41): **\$340.00**  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

01 FC:481 40.00  
02 FC:482 300.00

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Shannon Hubbard  
Name of Person Signing

*Shannon Hubbard*  
Signature

*3/5/02*  
Date

Total number of pages including cover sheet, attachments, and document: **13**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

**TRADEMARK**  
**REEL: 002473 FRAME: 0190**

**ADDENDUM TO INTELLECTUAL PROPERTY SECURITY AGREEMENT**

This Addendum to Intellectual Property Security Agreement is executed pursuant to, and is an addendum to, an Intellectual Property Security Agreement, dated February 22, 2000, by and between SecureLogix Corporation ("Grantor") with its principal office at 13750 San Pedro, Suite 230, San Antonio, TX 78232, and Silicon Valley Bank ("Bank") with its principal office at 3003 Tasman Drive, Santa Clara, CA 95054. This Addendum to Intellectual Property Security Agreement is presented for recordation as constructive notice that Grantor, the owner of the intellectual property identified in the exhibit(s) attached hereto, has granted to Bank a security interest in the intellectual property described on exhibits(s) attached hereto, and the exclusive rights comprised in the intellectual property, to secure payment of a debt.

IN WITNESS WHEREOF, Grantor has executed this Addendum to Intellectual Property Security Agreement as of January 24, 2001.

**GRANTOR:**

**SECURELOGIX CORPORATION**

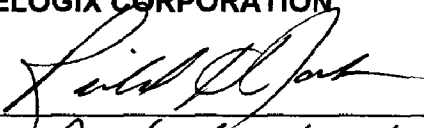
By:   
Name: Richard H. Jordan  
Title: Chief Financial Officer

Exhibit "A" attached to that certain Addendum to Intellectual Property Security Agreement dated January 24, 2002.

EXHIBIT "A"  
COPYRIGHTS

SCHEDULE A - ISSUED COPYRIGHTS

<u>COPYRIGHT DESCRIPTION</u>	<u>REGISTRATION NUMBER</u>	<u>DATE OF ISSUANCE</u>
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*See attached*

SCHEDULE B - PENDING COPYRIGHT APPLICATIONS

<u>COPYRIGHT DESCRIPTION</u>	<u>APPLICATION NUMBER</u>	<u>DATE OF FILING</u>	<u>DATE OF CREATION</u>	<u>FIRST DATE OF PUBLIC DISTRIBUTION</u>
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*See attached*

SCHEDULE C - UNREGISTERED COPYRIGHTS (Where No Copyright Application is Pending)

<u>DESCRIPTION</u>	<u>CREATION</u>	<u>DISTRIBUTION FROM</u>	<u>ASSIGNOR</u>
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EXHIBIT "B"  
PATENTS

*See attached.*

EXHIBIT "C"

TRADEMARKS

<u>TRADEMARK</u> <u>DESCRIPTION</u>	<u>COUNTRY</u>	<u>SERIAL NO.</u>	<u>REG. NO</u>	<u>STATUS</u>
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*See attached*

**Intellectual Property**  
**February 21, 2002**

**U.S. Patents owned by SecureLogix Corporation**

1. U.S. Patent No. US 6,226,372 B1 entitled *A Tightly Integrated Cooperative Telecommunications Firewall and Scanner with Distributed Capabilities*
2. U.S. Patent No. US 6,249,575 B1 entitled *Telephony Security System*
3. U.S. Patent No. US 6,320,948 B1 entitled *Telephony Security System* (Continuation patent of US 6,249,575 B1)

**U.S. Patent Applications filed by SecureLogix Corporation:**

- SL-001.2 Telephony Security System – Continuation filed on U.S. Patent No. US 6,320,948 B1 covering the use of CTI (Computer Telephony Integration) and interaction between the telephony security system and the PBX.
- SL-002 A Distributed System and Method for System Identification and Vulnerability Scanning
- SL-013 A System and Method for Bringing an In-Line Device On-Line and Assuming Control of Calls
- SL-035 A System and Method to Discriminate Call Content Type  
In addition to the titled invention, the application also includes the following invention:
- SL-035 A System and Method to Determine Call Type Change Following Call Connection
  - SL-040 A System and Method to Terminate Calls
- SL-044 A System and Method for Encapsulation, Compression and Encryption of PCM Data
- SL-052 An Improved System and Method for Dialup Access Point Vulnerability Assessment  
In addition to the titled invention, the application also includes the following invention:
- SL-055 A System and Method for Automated Vulnerability Assessment of Non-TTY Systems
- SL-059 A System and Method for Reporting Changes in Dialup Access Points Since A Previous Scan
- SL-065 Telephony Security System Continuation in Part  
The application includes the following invention:
- SL-015 A System and Method for Automatic Update of A Security Policy In Response To Discrimination of Call Content Type
  - SL-014 Extension-Based Policy Enforcement Using Automatic Correlation of SMDR/CDR Records
  - SL-012 Distributed Multi-Tier Policy-Based Enforcement of A Security Policy
  - SL-007 A System and Method of Call Management Complemented with Computer Telephony Integration.

**U.S. Provisional Patent Application filed by SecureLogix Corporation:**

- SL-066     **A System and Method for Encapsulation, Compression and Encryption of PCM Data**  
This provisional application includes an improved system and method from SL-044. A regular patent application is docketed to be filed prior to deadline.

**Foreign Patent Applications by SecureLogix Corporation:**

**National Foreign Patent Applications filed by SecureLogix Corporation**

- SL-001.1C     Canada - Telephony Security System   Continuation  
SL-001.1E     European Union - Telephony Security System   Continuation  
SL-001.1J     Japan - Telephony Security System   Continuation  
SL-001.1K     South Korea - Telephony Security System   Continuation

**Applications Filed Under the Patent Cooperation Treaty**

- SL-001     Telephony Security System (See National filing above)  
SL-002     Abandoned: A Distributed System and Method for System Identification and Vulnerability Scanning  
SL-007     **A Tightly Integrated Cooperative Telecommunications Firewall and Scanner with Distributed Capabilities.** In addition to the titled invention, the application also includes the following inventions:
- SL-015 A System and Method for Automatic Update of A Security Policy In Response To Dialup Access Point Vulnerability Assessment
  - SL-015 A System and Method for Automatic Update of A Security Policy In Response To Discrimination of Call Content Type
  - SL-014 Extension-Based Policy Enforcement Using Automatic Correlation of SMDR/CDR Records
  - SL-012 Distributed Multi-Tier Policy-Based Enforcement of A Security Policy
  - SL-007 A System and Method of Call Management Complemented with Computer Telephony Integration.
- SL-044     A System and Method for Encapsulation, Compression and Encryption of PCM Data

**Applications Filed in Canada Only**

- SL-013     A System and Method for Bringing an In-Line Device On-Line and Assuming Control of Calls  
SL-035     A System and Method to Discriminate Call Content Type  
In addition to the titled invention, the application also includes the following invention:
- SL-035 A System and Method to Determine Call Type Change Following Call Connection
  - SL-040 A System and Method to Terminate Calls

- SL-052 An Improved System and Method for Dialup Access Point Vulnerability Assessment  
In addition to the titled invention, the application also includes the following invention:
- SL-055 A System and Method for Automated Vulnerability Assessment of Non-TTY Systems
- SL-059 A System and Method for Reporting Changes in Dialup Access Points Since A Previous Scan

**U.S. Trademarks and Service Marks of SecureLogix Corporation:**

The following trademarks and service marks are registered or pending registration.

- Miscellaneous design (Diamond Emblem <sup>TM</sup>)
- eSecure<sup>TM</sup> - Abandoned
- ETM<sup>TM</sup>
- SecureLogix<sup>®</sup>
- SecureLogix Corporation<sup>®</sup>
- SecureProfile<sup>®</sup>
- TeleAudit<sup>®</sup>
- TeleBridge<sup>TM</sup>
- TeleFraud<sup>TM</sup>- Abandoned
- TeleGate<sup>TM</sup>- Abandoned
- TeleIDS<sup>TM</sup>
- TeleSweep Secure<sup>®</sup>
- TeleView<sup>TM</sup>
- TeleVPN<sup>TM</sup>
- TeleVoN<sup>TM</sup>- Abandoned
- TeleWall<sup>®</sup>

**Foreign Trademarks of SecureLogix Corporation:**

The following trademarks are registered or pending registration.

- Miscellaneous design (Diamond Emblem)
  - Registered: European Community, Japan,
  - Pending registration: Canada, South Africa
- ETM
  - Pending registration: Canada, European Community, Japan, South Africa
- SecureLogix
  - Registered: European Community, Japan
  - Pending registration: Canada, South Africa



- TeleAudit
  - Registered: European Community
  - Pending registration: Canada, South Africa
- TeleIDS
  - Pending registration: Canada, European Community, Japan, South Africa
- TeleSweep Secure
  - Registered: European Community, Japan
  - Pending registration: Canada, South Africa
- TeleView
  - Pending registration: Canada, European Community, Japan, South Africa
- TeleVPN
  - Registered: Japan,
  - Pending registration: Canada, European Community, South Africa
- TeleWall
  - Registered: European Community, Japan
  - Pending registration: Canada, South Africa

\*TeleBridge is docketed to be filed prior to priority deadline.

**Copyrights of SecureLogix Corporation :**

Copyright has been filed and received for:

- TeleSweep Secure™ A Vulnerability Scanner for Phone Lines Version 1.0 TX5-104-624
- TeleSweep Secure™ Distributed Scanner for Telephone Lines Version 2.0 TX5-143-729
- TeleWall™ Firewall for Telephone Lines Version 1.0 TX5-193-866
- TeleWall™ Firewall for Telephone Lines Version 2.0 TX5-183-142

\*Copyright for TeleSweep Secure Application v3.0 and TeleWall Application v3.0 are docketed to be filed.

- CONFIDENTIAL -  
**SecureLogix Corporation Intellectual Property**

As of February 28, 2002

**US Patents:**

US 6,249,575 B1      *Telephony Security System* (our ref: SL-001)  
US 6,320,948 B1      *Telephony Security System – Continuation* (our ref: SL-001.1)  
US 6,226,372 B1      *A Tightly Integrated Cooperative Telecommunications Firewall and Scanner with Distributed Capabilities* (our ref: SL-007)

**US Patent Applications:**

10/035,639      *Telephony Security System – Continuation* (our ref: SL-001.2)  
09/312,365      *A Distributed System and Method for System Identification and Vulnerability Scanning* (our ref: SL-002)  
09/672,530      *A System and Method for Bringing an In-Line Device On-Line and Assuming Control of Calls* (our ref: SL-013)  
09/572,516      *A System and Method to Discriminate Call Content Type* (our ref: SL-035)  
09/709,592      *A System and Method for Encapsulation, Compression and Encryption of PCM Data* (our ref: SL-044)  
09/639,434      *An Improved System and Method for Dialup Access Point Vulnerability Assessment* (our ref: SL-052)  
09/634,503      *A System and Method for Reporting Changes in Dialup Access Points Since A Previous Scan* (our ref: SL-059)  
09/907,089      *Telephony Security System – Continuation-in-Part* (our ref: SL-065)

**US Provisional Patent Applications:**

60,307,209      *A System and Method for Encapsulation, Compression and Encryption of PCM Data* (our ref: SL-066PPA)

**Foreign Patent Applications:**

**Applications Filed Under the Patent Cooperation Treaty**

US00/33059      *A Tightly Integrated Cooperative Telecommunications Firewall and Scanner with Distributed Capabilities* (our ref: SL-007PCT)

\*SL-044PCT      *Encapsulation, Compression and Encryption of PCM Data* (our ref: SL-044PCT)

\*Official Filing Receipt not yet received. PCT filing receipts are running 3-4 months behind schedule. Will forward serial number upon receipt.

- **CONFIDENTIAL** -  
**SecureLogix Corporation Intellectual Property**  
As of February 28, 2002

**National Applications Filed Under the Patent Cooperation Treaty**

2,354,149 Canada—*Telephony Security System* (our ref: SL-001C)  
99,948,442.1 EC—*Telephony Security System* (our ref: SL-001EC)  
2000-587,514 Japan—*Telephony Security System* (our ref: SL-001J)  
2001-7,007,294 South Korea—*Telephony Security System* (our ref: SL-001K)

**Applications Filed in Canada Only**

2,321,420 *A System and Method for Bringing an In-Line Device On-Line and Assuming Control of Calls* (our ref: SL-013C)  
2,308,808 *A System and Method to Discriminate Call Content Type* (our ref: SL-035C)  
2,316,005 *An Improved System and Method for Dialup Access Point Vulnerability Assessment* (our ref: SL-052C)  
2,315,451 *A System and Method for Reporting Changes in Dialup Access Points Since A Previous Scan* (our ref: SL-059C)

**US Trademarks and Service Marks:**

The following trademarks and service marks are registered or pending registration. Registration status is indicated.

75,703,401 Miscellaneous design (Diamond Emblem ™)  
75,638,751 eSecure™  
76,176,105 ETM™  
2,402,924 SecureLogix®  
2,386,404 SecureLogix Corporation®  
2,401,663 SecureProfile®  
2,414,763 TeleAudit®  
76,304,669 TeleBridge™  
76,220,984 TeleIDS™  
2,371,744 TeleSweep Secure®  
76,220,985 TeleView™  
76,043,914 TeleVPN™  
2,388,386 TeleWall®

- CONFIDENTIAL -  
**SecureLogix Corporation Intellectual Property**  
As of February 28, 2002

**Foreign Trademarks:**

The following trademarks are registered or pending registration in Canada, the European Community, Japan, and South Africa. Registration status is indicated.

**Canadian Trademarks:**

1,027,160	Miscellaneous design (Diamond Emblem ™)
1,089,606	ETM™
1,041,624	SecureLogix™
1,041,623	TeleAudit™
1,102,402	TeleIDS™
1,022,894	TeleSweep Secure™
1,102,401	TeleView™
1,089,607	TeleVPN™
1,011,044	TeleWall™

**EC Trademarks:**

1,284,702	Miscellaneous design (Diamond Emblem ®)
2,059,434	ETM™
1,449,735	SecureLogix®
1,449,750	TeleAudit®
2,218,774	TeleIDS™
1,248,178	TeleSweep Secure®
2,237,733	TeleView™
2,060,663	TeleVPN™
1,130,475	TeleWall®

**Japanese Trademarks:**

4,418,204	Miscellaneous design (Diamond Emblem ®)
2001-009,152	ETM™
4,487,483	SecureLogix®
2001-070,299	TeleIDS™
4,418,035	TeleSweep Secure®
2001-070,297	TeleView™
4,526,586	TeleVPN®
4,431,877	TeleWall®

- **CONFIDENTIAL** -  
**SecureLogix Corporation Intellectual Property**  
As of February 28, 2002

**South African Trademarks:**

2001,100,738 Miscellaneous design (Diamond Emblem ™)  
2001,100,732 ETM™  
2001,100,737 SecureLogix™  
2001,100,736 TeleAudit™  
2001,107,663 TeleIDS™  
2001,100,735 TeleSweep Secure™  
2001,107,661 TeleView™  
2001,100,731 TeleVPN™  
2001,100,734 TeleWall™

**US Copyrights:**

Copyright has been filed and received for:

TX5-104-624 *TeleSweep Secure™ A Vulnerability Scanner for Phone Lines Version 1.0*  
TX5-143-729 *TeleSweep Secure™ Distributed Scanner for Telephone Lines Version 2.0*  
TX5-193-866 *TeleWall™ Firewall for Telephone Lines Version 1.0*  
TX5-183-142 *TeleWall™ Firewall for Telephone Lines Version 2.0*