		11-20-2	2002		Atty. Dkt. No. 089275/012	
ı- ∪RM PTO-1594 (modified) (Rev 03/01)	RI			SHEET	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
		1,1022881		LY		
To the Director of the United S	tates Paten	t and Trademark Office	e: Please reco	rd the attached origi	nal documents or copies thereof.	
1. Name of conveying part Mid Atlantic Institu	y(ies):		2. Name a	and address of rec	eiving party(ies):	
In dividual/a)		11-18-02	Inc. Interna Street	al Address: 7 th floa Address: 55 Broa	adway	
Individual(s)		sociation	City: S	otate: Zip: New 3	York, New York 10006	
☐ General Partnership ☐ Corporation-State - PA ☐ Other ☐ Additional conveying party(i	ate - PA			Individual(s) citizenship Association General Partnership		
3. Nature of conveyance:				Partnership		
Assignment Security Agreement	_	erger nange of Name	Other	tion-State – New Yor not domiciled in the	k United States, a domestic	
Execution Date: October 31, 2002			representativ (Designation	e designation is attac s must be a separate	hed: Yes No e document from assignment) attached? Yes No	
4. Application number(s) or	Registration	on number(s):	1	7-10-1-10-1-10-1-10-1-10-1-10-1-10-1-10		
A. Trademark Application No.(s)			В.	Trademark Registr	ation No.(s)	
·	34,278 34,275					
Additional number(s) attached Tes X No						
5. Name and address of party to whom correspondence concerning document should be mailed:			6. Total nu	mber of applicatio	ns/patents involved: 2	
			7. Total fee	e (37 C.F.R. § 3.4	1): \$65.00	
Name: Marshall J. Bro Internal Address: FOLEY & 1	2/5/0127	⊠ Enclos	ed			
Street Address: One IBM P	i 2200	Authorized to be charged to deposit account				
330 North Wabash Avenue, Suite 3300 City: Chicago State: Illinois Zip: 60611-3608			8. Deposit 06-1450	account number:		
19/2002 DBYRNE 00000227 7813427	,	DO NOT USE	THIS SPACE			
C:8521 6.8521 To the best of my kn is a true copy of the original of	owledge a	nd belief, the forego	ing information	on is true and corr	ect and any attached copy	
Marshall J. Bro	own	Markelly	Pm		November 8, 2002	
Name of person signi	ng		Signatur	e	Date	
Tota	l number of	nages including cover	sheet attachr	nonte and dearme	4. 2	

11/

TRADEMARK ASSIGNMENT AGREEMENT

THIS TRADEMARK ASSIGNMENT AGREEMENT ("Agreement"), made as of this 3 day of October, 2002 by and between Mid Atlantic Institutional Shares, Inc., a Pennsylvania corporation with offices at The Times Building, 336 Fourth Avenue, Pittsburgh, Pennsylvania 15222 ("Assignor"), and SunGard Institutional Brokerage Inc., a New York corporation with office at 55 Broadway, 7th floor, New York, New York 10006 ("Assignee").

WHEREAS, Assignor has adopted, used and is using the trademarks and service marks shown in <u>Schedule A</u> hereto in its business, including those trademarks and service marks for which it has filed applications based on an intention to use for which it has filed allegations of use under Section 1(c) or 1(d) of the Trademark Act (15 U.S.C. 1051(c), (d)); and

WHEREAS, Assignee has on this date acquired from Assignor certain assets and property used in Assignor's business and is desirous of acquiring the trademarks and service marks shown in Schedule A hereto and any other trademarks and service marks of Assignor's business (collectively, the "Trademarks"), the registrations and applications for registration of the Trademarks shown in Schedule A and any other registrations and applications for registration of the Trademarks, along with the goodwill of the business symbolized by the Trademarks.

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, Assignor hereby sells, assigns and transfers to Assignee all of Assignor's right, title and interest in and to the Trademarks, together with the registrations and applications for registration of the Trademarks shown in Schedule A, any other registrations and applications for registration of the Trademarks, in and to all income, royalties, damages and payments now or hereafter due or payable with respect thereto and in and to all rights of action arising from the Trademarks, all claims for damages by reason of past, present and future infringement of the Trademarks and the right to sue and collect damages for such infringement, to be held and enjoyed by Assignee for its own use and benefit and for its successors and assigns as the same would have been held by Assignor had this assignment not been made, and the goodwill of the business symbolized by the Trademarks.

MID ATLANTIC-INSTITUTIONAL SHARES, INC.

Name.

Timothy Fridby

Title:

President

TRADEMARK
REEL: 002619 FRAME: 0702

SCHEDULE A

Mid Atlantic Institutional Shares, Inc.

U.S. Trademarks Registered or Pending

TRADEMARK	SERIAL NO.	REGISTRATION NO.	FILING/REGISTRATION DATE
MoneyFund Trader	78134278	Pending	June 9, 2002
FundStream	78134275	Pending	June 9, 2002

TRADEMARK
REEL: 002619 FRAME: 0703

FORM PTO-1594 (modified)	U.S. DEPARTMENT OF COMMERCE					
(Rev 03/01) RECORDATION FOI	RM COVER SHEET U.S. Patent and Trademark Office					
TRADEMARKS ONLY						
To the Director of the United States Patent and Trademark Office:	Please record the attached original documents or copies thereof.					
Name of conveying party(ies):	Name and address of receiving party(ies):					
Mid Atlantic Institutional Shares, Inc.	Name: SunGard Institutional Brokerage					
	Inc.					
	Internal Address: 7 th floor					
	Street Address: 55 Broadway					
Individual(s) Association	City: State: Zip: New York, New York 10006					
General Partnership Limited Partnership						
☐ Corporation-State - PA	☐ Individual(s) citizenship					
Other						
Additional conveying party(ies) NO	General Partnership					
3. Nature of conveyance:	☐ Limited Partnership ☐ Corporation-State – New York					
Assignment Merger	Other					
Security Agreement Change of Name	If assignee is not domiciled in the United States, a domestic					
☐ Other	representative designation is attached: ☐ Yes☐ No					
Execution Date: October 31, 2002	(Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No					
4. Application number(s) or Registration number(s):	1					
A. Trademark Application No.(s)	B. Trademark Registration No.(s)					
78/134,278						
78/134,275						
Additional number(s) attached ☐ Yes ☑ No						
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 2					
Concerning document should be mander	7. Total fee (37 C.F.R. § 3.41): \$65.00					
Name: Marshall J. Brown 089275/0127						
Internal Address:FOLEY & LARDNER	M Eliologica					
Street Address: One IBM Plaza	Authorized to be charged to deposit account					
330 North Wabash Avenue, Suite 3300	8. Deposit account number: 06-1450					
City: Chicago State: Illinois Zip: 60611-3608						
DO NOT USE THIS SPACE						
9. Statement and signature:						
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy						
is a true copy of the original document.						
Marshall J. Brown	November 8, 2002					
Name of person signing	Signature Date					
Total number of pages including cover sheet, attachments, and document: 3						

011.1109846.1

TRADEMARK REEL: 002619 FRAME: 0704

RECORDED: 11/18/2002