

Result

12-03-2002



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Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Imperial Bank

7-22-02

Individual(s)       Association  
 General Partnership       Limited Partnership  
 Corporation-State  
 Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: GoAhead Software Inc.  
Internal Address: Attn: David Bishop  
Street Address: 10900 NE 8th St. Suite 1300  
City: Bellevue State: WA Zip: 98004

Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State Washington  
 Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
 (Designations must be a separate document from assignment)  
 Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment       Merger  
 Security Agreement       Change of Name  
 Other Security Lien Release

Execution Date: July 12, 2002

4. Application number(s) or registration number(s):  
 A. Trademark Application No.(s) \_\_\_\_\_  
 B. Trademark Registration No.(s) 2,168,260;  
 2,164,569; 1,911,152; 2,398,571

Additional number(s) attached  Yes  No

6. Total number of applications and registrations involved: 5

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: James L Davison  
 Internal Address: GoAhead Software  
 Street Address: 10900 NE 8th St Suite 1300  
 City: Bellevue State: WA Zip: 98004

7. Total fee (37 CFR 3.41).....\$ 140.00  
 Enclosed  
 Authorized to be charged to deposit account \_\_\_\_\_

8. Deposit account number: \_\_\_\_\_

OFFICE OF PUBLIC RECORDS  
FINANCE SECTION  
2002 JUL 22 AM 7:58

DO NOT USE THIS SPACE

9. Signature.  
 James L Davison      *James L Davison*      July 18, 2002  
 Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and document: 4

07/23/2002 LMUELLER 00000196 2168260  
01 FC:482      40.00 DP  
02 FC:482      100.00 DP

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231 Includes c/c Payment form

TRADEMARK REEL: 002626 FRAME: 0185

Additional Security Lien Release trademark registration.

Release from Imperial Bank for GoAhead Software Corp. trademarks.

2,437,685

MC 5251  
5330 Carillon Point  
Kirkland, WA 98033  
425 576-2800  
Fax: 425 576-2815

Technology & Life Sciences Division

July 12, 2002

Mr. David Bishop  
GoAhead Software, Inc.  
10900 NE 8<sup>th</sup> Street Suite 1300  
Bellevue, WA 98004

Dear David:

Please be informed that all intellectual property secured by the Intellectual Property Security Agreement, entered into on March 10, 2000 between Imperial Bank (now Comerica Bank) and GoAhead Software, Inc., is hereby released.

This released intellectual property comprises:


Trademarks:

- Upgradeserver;
- ✓Fieldupgrader;
- Faultmanager;
- Embedded Management Framework;
- Devicestudio;
- ✓GoAhead;
- ✓Device Doctor;
- ✓Go Ahead Doctor; and
- ✓Go Ahead.

Copyrights:

Embedded Management Framework

Sincerely,



Rory James  
Vice President

MC 5251  
5330 Carillon Point  
Kirkland, WA 98033  
425 576-2800  
Fax: 425 576-2815

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Embedded Management Framework

Sincerely,



Rory James  
Vice President

# Northwest Patent Group PLLC

007 1 2

November 4, 2002

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Re: Document ID No: 102166440

Dear Sir:

The document I requested to be recorded was returned to me with a (enclosed) letter stating that:


1. The cover sheet submitted for recording is not acceptable. To record a document in the assignment division, a document must accompany the cover sheet.

It is not clear to me why the document (letter - enclosed) to the CFO of GoAhead Software Mr. David Bishop written by the Vice President of Comerica Bank (Mr. Rory James), formerly the Imperial bank, releasing the Security Interest in five trademarks was refused entry.

I have been unable to contact the Examiner, Dorothy Riley, to discuss this matter and therefore request a more detailed explanation be provided to me so I may take the appropriate action to resolve this matter.

I have enclosed 5 copies of the document in the case that this may be the issue.

Thanks and best regards,

  
Jim Davison  
Managing Partner







SEPTEMBER 20, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

GOAHEAD SOFTWARE  
JAMES L DAVISON  
10900 NE 8TH ST  
SUITE 1300  
BELLEVUE, WA 98004



\*102166440A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102166440

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. TO RECORD A DOCUMENT IN THE ASSIGNMENT DIVISION, A DOCUMENT MUST ACCOMPANY THE COVER SHEET.

DOROTHY RILEY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECORDED: 07/22/2002

TRADEMARK  
REEL: 002626 FRAME: 0193