

07-10-2003



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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

Conveyance Type

- New
- Resubmission (Non-Recordation)
Document ID#
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

- Assignment
- License
- Security Agreement
- Nunc Pro Tunc Assignment
Effective Date
Month Day Year
- Merger
- Change of Name
- Other

RECEIVED
2003 JUL 10 AM 11:45
ASSIGNMENT

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name

Execution Date
Month Day Year
6 30 2003

Formerly

- Individual General Partnership Limited Partnership Corporation Association
- Other
- Citizenship/State of Incorporation/Organization

Receiving Party

Mark if additional names of conveying parties attached

Name

DBA/AKATA

Composed of

Address (line 1)

Address (line 2)

Address (line 3)

City State/Country Zip Code

- Individual General Partnership Limited Partnership If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)
- Corporation Association
- Other
- Citizenship/State of Incorporation/Organization

FOR OFFICE USE ONLY

07/10/2003 6TON11 00000113 2076076

01 FC:8521 40.00 OP
02 FC:8522 100.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB nation Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

TRADEMARK

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Trademark Application Number(s) or Registration Number(s)

Mark if additional numbers attached

Enter either the Trademark Application Number or the Registration Number (DO NOT ENTER BOTH numbers for the same property).

Trademark Application Number(s)

Registration Number(s)

Number of Properties

Enter the total number of properties involved.

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ellen M. Allen

Ellen M. Allen

7/8/03

Name of Person Signing

Signature

Date

ASSIGNMENT AND RELEASE OF SECURITY INTEREST

This Assignment Agreement is entered into as of June 30, 2003 (this "Assignment") by and between PNC Bank, National Association, as agent for the financial institutions ("Assignor") and Deposition Sciences, Inc., an Ohio corporation ("Assignee").

WHEREAS, Assignor, the financial institutions, Advanced Lighting Technologies, Inc., an Ohio Corporation ("ADLT"), and various foreign subsidiaries of ADLT (the "Foreign Subsidiaries") entered into that certain Credit Agreement dated as of May 21, 1999 (as amended, modified or supplemented from time to time in accordance with the terms thereof, the "Credit Agreement") providing, subject to the terms and conditions set forth therein, for the establishment of credits to be made available to ADLT and the Foreign Subsidiaries; and

WHEREAS, pursuant to the Credit Agreement, Assignor and Assignee, a U.S. subsidiary of ADLT, entered into that certain Collateral Assignment and Security Agreement dated as of May 21, 1999 (as amended, modified or supplemented from time to time in accordance with the terms thereof, the "Collateral Assignment") providing, subject to the terms and conditions set forth therein, for a security interest in and an assignment of the Collateral (as such term is defined in the Collateral Assignment) including the patents, patent applications, trademarks and the associated goodwill, trademark registrations, and applications to register trademarks listed in Schedule A hereto; and

WHEREAS, Assignor, the financial institutions, ADLT, and various U.S. subsidiaries of ADLT entered into that certain Post-Petition Credit Agreement dated as of February 6, 2003 (as amended, modified or supplemented from time to time in accordance with the terms thereof) providing, subject to the terms and conditions set forth therein, for post-Petition financing to ADLT and various U.S. subsidiaries of ADLT.

NOW THEREFORE, in consideration of the mutual agreements contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto hereby agree as follows:

1. Assignor does hereby forever release its security interest in, and hereby assign unto Assignee, all right, title and interest in and to the Collateral, including any goodwill associated therewith.
2. This Assignment may not be amended or supplemented orally, but only by an instrument in writing signed by Assignor and Assignee.
3. This Assignment may be executed in counterparts, each of which shall be deemed an original, but which together shall constitute one and the same agreement.
4. This Assignment shall be binding upon and inure to the benefit of the parties hereto and their respective successors and permitted assigns.
5. Assignor agrees to execute and deliver at Assignee's expense but without charge to Assignee, such further instruments, and to take or cause to be taken such other or further actions as Assignee may reasonably request, in order to perfect, confirm or evidence such assignment and release.

SCHEDULE A

See Attached.

EXHIBIT A
to
COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT

SCHEDULE OF DEPOSITION SCIENCES, INC.'S PATENTS AND APPLICATIONS*

OWNER	TITLE	PATENT NO. OR APPLICATION SERIAL NO.	PATENT ISSUE DATE OR APPLICATION FILING DATE
Deposition Sciences, Inc.	Apparatus And Process For Sputter Deposition Of Reacted Thin Films	Patent 4,420,385	December 13, 1983
Deposition Sciences, Inc.	Method For Making Pigment Flakes	Patent 4,879,140	November 7, 1989
Deposition Sciences, Inc.	Photocell Array With Multi-spectral Filter	Patent 5,072,109	December 10, 1991
Deposition Sciences, Inc.	Method for Making Pigment Flakes	Patent 0 370 701B1 (Europe)	August 17, 1994
Deposition Sciences, Inc.	Multi-spectral Filter	Patent 5,164,858	November 17, 1992
Deposition Sciences, Inc.	Wear Resistant Transparent Dielectric Coatings	Patent 5,234,769	August 10, 1993
Deposition Sciences, Inc.	Apparatus For Reducing The Intensity And Frequency Of Arcs Which Occur During A Sputtering Process	Patent 5,616,224	April 1, 1997
Deposition Sciences, Inc.	Apparatus For Reducing The Intensity And Frequency Of Arcs Which Occur During A Sputtering Process	S.N. 96915638.9-1215/0824604	May 8, 1996

* Unless otherwise indicated in () in the third column, the patent information on this chart is for US. patents.

**Exhibit A to Collateral Assignment and Security Agreement
Schedule of DSI's Patents and Applications (Cont'd)**

OWNER	TITLE	PATENT NO. OR APPLICATION SERIAL NO.	PATENT ISSUE DATE OR APPLICATION FILING DATE
Deposition Sciences, Inc.	Apparatus For Reducing The Intensity And Frequency Of Arcs Which Occur During A Sputtering Process	S.N. 96-534243 (Japan)	May 8, 1996
Deposition Sciences, Inc.	Application For Generating Large Distributed Plasmas By Means Of Plasma-guided Microwave Power	Patent 5,714,009	February 3, 1998
Deposition Sciences, Inc.	Application For Generating Large Distributed Plasmas By Means Of Plasma-guided Microwave Power	S.N. 96906164.7/ PCT/US96/00399 (Patent Cooperative Treaty)	January 11, 1996
Deposition Sciences, Inc.	Application For Generating Large Distributed Plasmas By Means Of Plasma-guided Microwave Power	S.N. 96-521819 (Japan)	January 11, 1996
Deposition Sciences, Inc.	Sputtering Device And Method For Reactive Sputtering	Patent 5,849,162	December 15, 1998
Deposition Sciences, Inc.	Apparatus And Process For Sputter Deposition Of Reacted Thin Films	S.N. PCT/US94/06908	June 17, 1994
Deposition Sciences, Inc.	Apparatus And Process For Sputter Deposition Of Reacted Thin Films	S.N. PCT/US96/05589	April 23, 1996
Deposition Sciences, Inc.	Apparatus And Process For Sputter Deposition Of Reacted Thin Films	S.N. 96-532634 (Japan)	April 23, 1996
Deposition Sciences, Inc.	Sputtering Device	Patent EPO 516 436 B1	January 16, 1997

**Exhibit A to Collateral Assignment and Security Agreement
Schedule of DSI's Patents and Applications (Cont'd)**

OWNER	TITLE	PATENT NO. OR APPLICATION SERIAL NO.	PATENT ISSUE DATE OR APPLICATION FILING DATE
Deposition Sciences, Inc.	Sputtering Device	S.N. 94921324.3/ PCT/US94/06908 (Patent Cooperative Treaty)	June 17, 1994
Deposition Sciences, Inc.	Sputtering Device	S.N. 96913025.1/ PCT/US96/05589 (Patent Cooperative Treaty)	April 23, 1996
Deposition Sciences, Inc.	Sputtering Device	S.N. 96-532634 (Japan)	April 23, 1996
Deposition Sciences, Inc.	Apparatus and Method for a Reliable Return Current Path for Sputtering Processes	S.N. 96906309.8- 2203/0809719 (Europe)	December 3, 1997
Deposition Sciences, Inc.	Apparatus and Method for a Reliable Return Current Path for Sputtering Processes	S. N. 96/524999 (Japan)	February 5, 1996
Deposition Sciences, Inc.	An Optical Interference Coating Capable of Withstanding Severe Temperature Environments	S.N. PCT/US97/21834 (Patent Cooperative Treaty)	November 26, 1997
Deposition Sciences, Inc.	Method for Making Pigment Flakes	S.N. 300954/89 (Japan)	November 21, 1989

EXHIBIT A
to
COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT

DEPOSITION SCIENCES, INC.'S SCHEDULE OF MARKS*

REGISTERED OWNER	MARK	U.S. REGISTRATION NO.
Deposition Sciences, Inc.	EASS	Reg. 2,076,076
Deposition Sciences, Inc.	HEAT BUSTER	Reg. 2,081,960
Deposition Sciences, Inc.	PASSION FIRE	Reg. 2,089,963
Deposition Sciences, Inc.	MICRODYN	Reg. 2,091,689
Deposition Sciences, Inc.	TAVALITE	Reg. 2, 163,889

* Unless otherwise indicated in () in the third column, the trademark information in this chart is for U.S. trademarks.

EXHIBIT A
to
COLLATERAL ASSIGNMENT AND SECURITY AGREEMENT
DEPOSITION SCIENCES, INC.'S LICENSES

LICENSOR	LICENSEE	SUBJECT MATTER	DATE OF AGREEMENT	TERM OF AGREEMENT
Deposition Sciences, Inc.	Cardinal IG	License for R&D work using Microwave applicator	August 25, 1994	Indefinite - NDA clause, 10 years
Deposition Sciences, Inc.	Hankuk Glass	License for R&D work using Microwave applicator	February 22, 1994	Indefinite - NDA clause, 10 years
Deposition Sciences, Inc.	Iwasaki	MR16 lamp coating	April 15, 1992	April 15, 2002 or upon last patent expiration
Deposition Sciences, Inc.	PPG	Ophthalmic Electrooptical coatings	May 28, 1996	May 28, 2006
Deposition Sciences, Inc.	Sola	AR coating of plastic lenses	July 12, 1995	July 12, 2005 or upon last patent expiration

OTTERBOURG, STEINDLER, HOUSTON & ROSEN, P.C.

230 PARK AVENUE
NEW YORK, NY 10169-0075

TELEPHONE: (212) 661-9100
TELECOPIER: (212) 682-6104

July 8, 2003

VIA FEDERAL EXPRESS

U.S. Patent and Trademark Office
Office of Public Records
Attn: Customer Services Counter
1213 Jefferson Davis Highway, 3rd Floor
Arlington, VA 22202

Re: PNC Bank, National Association, As Agent with Deposition Sciences, Inc.,

Dear Sir or Madam:

In connection with the above-referenced matter, we enclose the following documents for recordation with the United States Patent and Trademark Office:

an original Recordation Form Cover Sheet "Trademarks Only," together with an original Assignment and Release of Security Interest in Trademarks, Tradenames and Licenses dated June 30, 2003 by PNC Bank, National Association, as agent in favor of Deposition Sciences, Inc., Check no.12783 in the amount of \$140.00 made payable to the Commissioner of Patents and Trademarks, is annexed thereto.

If you have any questions with regard to any of the foregoing, please do not hesitate to telephone the undersigned to discuss the matter.

Kindly acknowledge receipt of the foregoing on the enclosed copy of this letter annexed hereto and return same in the self addressed stamped envelope.

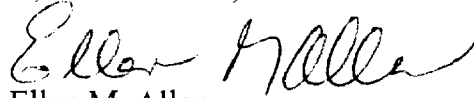
TRADEMARK
REEL: 002775 FRAME: 0167

U.S. Patent and Trademark Office
Office of Public Records
July 8, 2003
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Thank you for your prompt attention to this matter.

Very truly yours,

OTTERBOURG, STEINDLER, HOUSTON & ROSEN, P.C.



Ellen M. Allen
Paralegal

Encls.

cc:

Richard L. Stehl, Esq.

Peter J. Lahny, Esq.

RECEIPT ACKNOWLEDGED:

By: _____

Title: _____