

FORM PTO-1594
(Rev. 6-93)

RECORDATION FORM COVER SHEET
TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)
Bear, Stearns & Co., Inc.
383 Madison Avenue, New York, New York
10179

Individual(s)
 General Partnership
 Corporation-State **Delaware**
 Association
 Limited Partnership
 Other

Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies)
 Name: **The Bear Stearns Companies Inc.**
 Internal Address:
 Street Address: **383 Madison Avenue**
 City: **New York** State: **New York** Zip: **10179**

Individual(s) citizenship
 Association
 General Partnership
 Limited Partnership
 Corporation-State **Delaware**
 Other

3. Nature of conveyance:
 Assignment
 Security Agreement
 Merger
 Change of Name
 Other **CORRECTIVE ASSIGNMENT**

Execution Date:

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No

(Designations must be a separate document from Assignment)
 Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):
 A. Trademark Application No.(s)
 B. Trademark Registration No.(s) **1, 838, 829**

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
 Name: **Mary B. Aversano**
 Internal Address: **Kramer Levin Naftalis & Frankel LLP**
 Street Address: **919 Third Avenue**
 City: **New York** State: **New York** Zip: **10022**

6. Total number of applications and registrations involved..... **1**


7. Total fee (37 CFR 3.41) **\$40.00**

Enclosed
 Authorized to be charged to deposit account # **50-0540**

8. Deposit account number: **50-0540**
 (Attach duplicate copy of this page if paying by deposit account)

Do not use this space

9. Statement and signature.
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary B. Aversano  **6/7/04**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **3**

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

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FORM PTD-1594 (Rev. 6-93) RECORDATION FORM COVER SHEET TRADEMARKS ONLY U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

KL Ref. No.: 098023-00384

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)
Bear, Stearns & Co., Inc.
383 Madison Avenue, New York, New York
10179
 Individual(s)
 General Partnership
 Corporation-State Delaware
 Association
 Limited Partnership
 Other
Additional name(s) of conveying party(ies) attached?
 Yes No

2. Name and address of receiving party(ies)
Name: The Bear Stearns Companies Inc.
Internal Address:
Street Address: 383 Madison Avenue
City: New York State: New York Zip: 10179
 Individual(s) citizenship
 Association
 General Partnership
 Limited Partnership
 Corporation-State Delaware
 Other

3. Nature of conveyance:
 Assignment
 Security Agreement
 Merger
 Change of Name
 Other
Execution Date: June 2, 2004

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from Assignment)
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration number(s):
A. Trademark Application No.(s)
B. Trademark Registration No.(s) 1, 838, 828
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Mary B. Aversano
Internal Address: Kramer Levin Naftalis & Frankel LLP
Street Address: 919 Third Avenue
City: New York State: New York Zip: 10022

6. Total number of applications and registrations involved..... 1

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Mary B. Aversano
Name of Person Signing

Mary B. Aversano
Signature

6/3/04
Date

Total number of pages including cover sheet, attachments, and documents: 3

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ASSIGNMENT

1. THIS ASSIGNMENT is effective as of the 2nd day of June 2004

BETWEEN

- 1.1 Bear, Stearns & Co., Inc. (a corporation organized and existing under the laws of the state of Delaware, United States of America) of 383 Madison Avenue, New York, New York 10179, United States of America (hereinafter called 'the Assignor') and
- 1.2 The Bear Stearns Companies Inc. (a corporation organized and existing under the laws of the state of Delaware, United States of America) of 383 Madison Avenue, New York, New York 10179, United States of America (hereinafter called 'the Assignee').

2. WHEREAS

- 2.1 The Assignor is the owner of the service mark 1,838,828 REAL TIME TRADER (hereinafter referred to as "the service mark").
- 2.2 It has been agreed between the parties that the Assignor shall assign unto the Assignee the service mark.

3. NOW IT IS HEREBY AGREED AS FOLLOWS

- 3.1 In pursuance of the Agreement and for good and valuable consideration, the receipt and legal sufficiency of which is hereby acknowledged, Assignor hereby assigns unto the assignee all property, right, title and interest in the service mark together with the goodwill of the business concerned in the goods and services for which the said service mark is registered the same unto the Assignee absolutely.

3.2 This assignment includes the right of the Assignee to bring action and claim relief in respect of any infringement of the service mark which occurred prior to the date of this assignment.

IN WITNESS WHEREOF the Assignor has executed this agreement effective the day and year first above written.

For and on behalf of the assignor
Bear, Stearns & Co., Inc.



Name: JEFFREY LIPMAN
Title: Senior Managing Director