

05-24-2004

Form PTO-1594
(rev 3/1)

REC



102750613

U. S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Douglas Dynamics, L.L.C.

- Individual(s) Association
- General Partnership Limited Partnership
- Corporation -
- Other: **Delaware Limited Liability Company**

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of receiving party(ies)

New DD, LLC
7777 North 73rd Street
P.O. Box 245038
Milwaukee, Wisconsin 53224

- Individual(s) citizenship
 - Association
 - General Partnership
 - Limited Partnership
 - Corporation
 - Other: **Delaware Limited Liability Company**
- If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other:

Execution Date: **March 31, 2004**

4. Application number(s) or registration number(s):

A. Trademark Application No(s).

B. Trademark Registration No(s).

See Attached

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Elaine D. Ziff, Esq.
SKADDEN, ARPS, SLATE, MEAGHER
& FLOM LLP
Four Times Square
New York, New York 10036

6. Total number of applications/registrations involved: **24**

7. Total fee (37 CFR 3.41) **\$615**

- All fees and any deficiencies are authorized to be charged to Deposit Account
(Our Ref. 217730/1809)

8. Deposit Account No. **19-2385**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elaine D. Ziff

Name

Elaine D. Ziff

Signature

April 9, 2004

Date

Total number of pages including cover sheet, attachments, and document: **12**

CONTINUATION OF ITEM 4. Trademark Application and Registration Numbers

| A. Trademark Application No(s). | B. Trademark Registration No(s). |
|---------------------------------|----------------------------------|
| | 2194310 |
| | 2354584 |
| | 437303 |
| | 1753609 |
| | 2158883 |
| | 2189880 |
| | 890859 |
| | 2215270 |
| | 813932 |
| | 1851301 |
| | 1850538 |
| | 2179421 |
| | 2689397 |
| | 2771303 |
| | 1272412 |
| | 2560043 |
| | 1712602 |
| | 2608875 |
| | 272303 |
| | 1748153 |
| | 2254244 |
| | 504992 |
| | 899620 |
| | 272287 |

ASSIGNMENT OF INTELLECTUAL PROPERTY

THIS ASSIGNMENT OF INTELLECTUAL PROPERTY (this "Assignment") is made and entered into as of March 31, 2004 by and between Douglas Dynamics, L.L.C., a Delaware limited liability company ("Assignor") located at 7777 North 73rd Street, P.O. Box 245038, Milwaukee, Wisconsin 53224, and New DD, LLC, a Delaware limited liability company and wholly-owned subsidiary of Assignor ("Assignee") located at 7777 North 73rd Street, P.O. Box 245038, Milwaukee, Wisconsin 53224. Capitalized terms not otherwise defined herein shall have the meaning ascribed to such terms in the Contribution Agreement (as defined below).

RECITALS

A. WHEREAS, Assignor and Assignee have entered into a Contribution Agreement, dated as of March __, 2004 (the "Contribution Agreement"), pursuant to which Assignor is to contribute to Assignee the Transferred Assets, which include all of Assignor's Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, in consideration for the Assignee assuming the Assumed Liabilities.

B. WHEREAS, Assignor has agreed to execute and deliver this Assignment to Assignee for the purpose of transferring to and vesting in Assignee all of Assignor's right, title and interest in and to the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, which Assignor is transferring to Assignee pursuant to the Contribution Agreement.

ASSIGNMENT

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and upon the terms and subject to the conditions set forth in the Contribution Agreement and herein, the parties hereto agree as follows:

1. Assignor does hereby sell, transfer, convey, assign, grant, set over and deliver to Assignee, and Assignee hereby accepts, all of Assignor's right, title, and interest in and to the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, the same to be held by Assignee for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns, designees, nominees and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made, together with all rights under or in respect of Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, including rights to sue for and remedies against past, present and future infringements thereof, and rights of priority and protection of interests therein under the laws of any jurisdiction worldwide for the use and benefit of Assignee and Assignee's successors, assigns, designees, nominees and other legal representatives.

2. Assignor represents and warrants that, at the time of the execution and delivery of this Assignment, Assignor possesses the unencumbered right and authority to make this Assignment. Assignor further represents, warrants and covenants that the execution and delivery

of this Assignment does not breach any agreement to which Assignor is a party, and Assignor has not entered into, and will not enter into, any oral or written agreement in conflict with this Assignment.

3. As may be requested by Assignee or its designee or other legal representative from time to time after the date hereof, Assignor agrees to assist Assignee, or Assignee's successors, assigns, designees, nominees or other legal representatives, without further consideration, other than the reimbursement of reasonable out-of-pocket expenses, to (i) evidence, record and perfect the assignment of the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, and (ii) secure Assignee's rights in the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, including, but not limited to, the execution, delivery and filing of all applications, specifications, oaths, assignments, powers-of-attorney and similar instruments reasonably necessary to assign and convey to Assignee, or Assignee's successors, assignees, designees, nominees or other legal representatives, all right, title and interest in and to the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto.

4. Assignor hereby authorizes and requests that each and every organization or authority, including but not limited to the United States Copyright Office, the United States Patent and Trademark Office and the Canadian Patent and Trademark Office, whose duty it is to issue, certify or assign registrations or applications for Intellectual Property or other evidence or forms of intellectual property protection, to issue, certify or assign as appropriate, the same to Assignee and Assignee's successors, assigns, designees, nominees and other legal representatives in accordance with the terms of this Assignment.

5. This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which, together, shall constitute one and the same instrument.

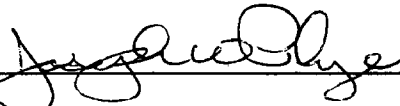
6. Nothing in this Assignment shall alter any right, liability or obligation of the Assignee or Assignor arising under the Contribution Agreement, which shall govern the rights and the obligations of the parties with respect to the Intellectual Property, including without limitation, all Intellectual Property described on Exhibit A attached hereto, in the event of conflict.

[The remainder of this page has been intentionally left blank.]

IN WITNESS WHEREOF, Assignor and Assignee caused this Assignment to be duly executed as of the date first written above.

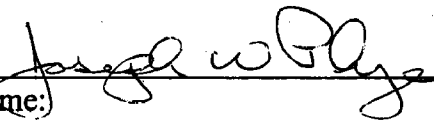
ASSIGNOR:

DOUGLAS DYNAMICS, L.L.C

By: 
Name:
Title: SECRETARY

ASSIGNEE:


NEW DD, LLC

By: 
Name:
Title: MANAGER

STATE OF [])
) ss.
COUNTY OF [])

The foregoing instrument was acknowledged before me this 31st day of March, 2004, by Joseph W. Puz as Secretary for Douglas Dynamics, L.L.C., a Delaware limited liability company.

Witness my hand and official seal.
My Commission Expires: 3.6.07.




Notary Public

ANGEL ARIAS
Notary Public, State of New York
No. 01AR5040048
Qualified in Queens County
Certificate Filed in New York County
Commission Expires March 6, 2007

STATE OF [])
) ss.
COUNTY OF [])

The foregoing instrument was acknowledged before me this 31st day of March, 2004, by Joseph W. Puz as Secretary for New DD, LLC, a Delaware limited liability company.

Witness my hand and official seal.
My Commission Expires: 3.6.07.



Notary Public

ANGEL ARIAS
Notary Public, State of New York
No. 01AR5040048
Qualified in Queens County
Certificate Filed in New York County
Commission Expires March 6, 2007

Exhibit A
(Intellectual Property)

Douglas Dynamics Holdings, Inc.

None.

DDL Acquisition Corp.

None.

New DD, LLC

4.5(A) Copyrights

None.

4.5(B) Copyright Licenses

None.

4.5(C) Patents

| <u>Country</u> | <u>Registration Number/ Status</u> | <u>Name</u> | <u>Application Date</u> | <u>Application Number</u> | <u>Issue Date</u> |
|----------------|--|---|-----------------------------|-------------------------------|-----------------------|
| Canada | 1,128,835 | Single level hydraulic system control mechanism (expired) | 3/3/80 | 346816 | 8/3/82 |
| Canada | 2,060,425 | Removable snowplow with a pivotable lift stand | 1/31/92 | 2060425 | 7/25/00 |
| Canada | 2,126,035 | Salt spreader mounting assembly | 6/16/94 | 2126035 | 5/7/96 |
| Canada | 2,354,257 | Snowplow mounting assembly | 7/27/01 | 2354257 | Not issued |
| Canada | 2,356,036 | Snowplow and mount assembly | 8/29/01 | 2356036 | Not issued |
| U.S. | 4,206,602 Expired | Single lever hydraulic system control mechanism | 04/19/79 | 031401 | 06/10/80 |

| <u>Country</u> | <u>Registration Number/ Status</u> | <u>Name</u> | <u>Application Date</u> | <u>Application Number</u> | <u>Issue Date</u> |
|----------------|---|--|-------------------------|---------------------------|-------------------|
| U.S. | 4,277,818 Expired | Combined headlight, turn signal and parking lamp for snow plows and the like | 12/31/79 | 108967 | 07/07/81 |
| U.S. | 4,280,062 Expired | Auxiliary light wiring harness | 08/22/79 | 068874 | 07/21/81 |
| U.S. | 4,999,935 | Hydraulic system and apparatus for use with vehicle accessory units | 5/31/90 | 531523 | 3/19/91 |
| U.S. | 5,353,530 | Quick mounting snow plow assembly | 9/2/92 | 939331 | 10/14/94 |
| U.S. | 5,375,773 | Salt spreader mounting assembly; for mounting on a vehicle | 9/2/93 | 114827 | 12/27/94 |
| U.S. | 5,420,480 | Automatic headlamp switching system | 7/31/92 | 923129 | 5/30/95 |
| U.S. | 5,501,405 | Dispenser apparatus for spreading particulate material | 7/5/94 | 270453 | 3/26/94 |
| U.S. | 5,649,666 Expired. | Motor-powered spreading apparatus for use with articulating dump boxes. | 4/26/96 | 638131 | 7/22/97 |
| U.S. | 5,727,835 | Alarmed truck mounted tool box; for mounting within the bed of a vehicle | 4/23/96 | 636487 | 3/17/98 |
| U.S. | 5,806,213 | Rotatable support wheels for a snowplow; for use with a vehicle | 1/6/97 | 779730 | 9/15/98 |
| U.S. | 5,806,214 | Support wheels mounted in the vicinity of the center of gravity of a snowplow for use with a vehicle | 1/6/97 | 779882 | 9/15/98 |
| U.S. | RE35700 | Removable snowplow assembly with pivotable lift stand | 12/1/95 | 556277 | 12/30/97 |
| U.S. | 6,012,740 Expired. Note: Company does believe this patent relates to one of its products. | Combination sample medication payment check and medication prescription device. | 8/3/98 | 128171 | 1/11/00 |
| U.S. | 6,050,008 | Vehicle mounted accessory assembly | 9/12/97 | 938004 | 4/18/00 |
| U.S. | 6,253,470 | Hydraulic and electrical control systems for use with vehicle accessory units | 2/21/97 | 803942 | 7/3/01 |

| <u>Country</u> | <u>Registration Number/Status</u> | <u>Name</u> | <u>Application Date</u> | <u>Application Number</u> | <u>Issue Date</u> |
|----------------|-----------------------------------|---|-------------------------|---------------------------|-------------------|
| U.S. | 6,526,677 | Snowplow mounting assembly | 10/6/00 | 684269 | 3/4/03 |
| U.S. | 6,012,240 | Vehicle mountable snowplow (not currently in use) | 1/26/97 | 978549 | 1/11/00 |
| U.S. | 5,125,174 | Removable snowplow with pivotable lift stand (not currently in use) | 4/15/91 | 686128 | 6/30/92 |
| U.S. | 6,000,150 | Hydraulic and electrical control systems (withdrawn) | 2/21/97 | 803942 | 12/14/99 |
| U.S. | Pending | UltraMount Divisional | | 339116 | |
| U.S. | Pending | Multiplexing | | 277713 | |
| U.S. | Pending | Minute Mount 2 | | 102782 | |
| U.S. | Pending | Ultralight/PRO PLUS | | 878744 | |
| | | | | 282630 | |

4.5(D) Patent Licenses

Licenses Granted by Company

- Royalty License Agreement with M.P. Menze Research and Development re U.S. patent no. 5,420,480
- Royalty License Agreement with Sno-Way International, Inc. re U.S. patent nos. 4,280,062 and 5,420,480

Licenses Obtained by Company

- Agreement with The Louis Berkman Company and Meyer Products, Inc. re U.S. patent nos. 4,803,790 and 4,845,866 for a paid up fee
- Agreement with Case LLC re U.S. patent no. 4,758,932 for a paid up fee

Cross License Agreements

- Agreement with Curtis International, Inc. and Curtis Tractor Cab, Inc. re Curtis U.S. patent nos. 6,145,222 and 6,209,231 and Company U.S. patent no. re. 35,700, Company shall pay royalties to Curtis

4.5(E) Trademarks

SNOW CONTROL

| | <u>Reg. No.</u> | <u>Reg Date</u> |
|---------------------------------|------------------------|------------------------|
| EZ-V/US | 2,194,310 | 10/6/98 |
| FISHER/US | 2,354,584 | 6/6/00 |
| FISHER/CANADA | 437,303 | 12/23/94 |
| FISHER/ LOGO/US | 1,753,609 | 2/23/93 |
| FISH-STIK/US | 2,158,883 | 5/19/98 |
| FLEX ARM | Common Law | |
| FLOSTAT/US | 2,189,880 | 9/15/98 |
| HOME STEADER | Common Law | |
| HYDRA-TURN/US (not renewed) | 890,859 | 5/12/70 |
| INSTA-ACT/US | 2,215,270 | 12/29/98 |
| ISARMATIC/US (no longer in use) | 813,932 | 8/30/66 |
| MINUTE MOUNT/US | 1,851,301 | 8/30/94 |
| MINUTE MOUNT2/US | 1,850,538 | 8/23/94 |
| MVP/US | 2,179,421 | 8/4/98 |
| PLOWMATE | Common Law | |
| PRO-GUARD | Common Law | |
| PRO PLUS | 2,689,397 | 2/18/03 |
| PRO-PLOW | 2,771,303 | 10/7/03 |
| PROTUBE | Common Law | |
| PUMPMATE | Common Law | |
| ROLL-ACTION | Common Law | |
| SNO-FOIL/US | 1,272,412 | 4/3/84 |
| STORM GUARD | Common Law | |
| SUBURBANITE | Common Law | |
| ULTRAFINISH | Common Law | |
| ULTRAMOUNT/US | 2,560,043 | 4/9/02 |
| UNIMOUNT/US | 1,712,602 | 9/1/92 |
| WESTERN/US | 2,608,875 | 8/20/02 |
| WESTERN/CANADA | 272,303 | 12/11/78 |
| XBLADE | Common Law | |

ICE CONTROL

| | | |
|---------------|------------|---------|
| FISHER | 1,748,153 | 1/26/93 |
| ICE BREAKER | Common Law | |
| PRO-FLO | Common Law | |
| PRO-FLO2 | Common Law | |
| SPEED-CASTER | Common Law | |
| PRO-CASTER | Common Law | |
| SPEED-CASTER2 | Common Law | |
| SWING AWAY/US | 2,254,244 | 6/15/99 |

ICE CONTROL (con't)

SWING AWAY/CANADA
WESTERN/US
WESTERN/CANADA

Reg. No.

504,992
899,620
272,287

Reg. Date

8/2/96
9/29/70
09/3/82

OTHERS

DYNA-VAULT/US (no longer being used; product no longer being sold)

4.5(F) Trademark Licenses

None.

4.5(G) Trade Secret Licenses

None.

4.5(H) Intellectual Property Exceptions

Lien recorded in favor of Continental Illinois National Bank and Trust relating to the ISARMATIC mark, U.S. trademark number 813,932. Mark no longer in use.

Other Intellectual Property Items

Joint Development Agreement

- Joint Development and Requirements Purchase Agreement with Grote Industries, Inc. Company owns intellectual property owned by Grote as of the effective date of the Agreement relating to the product design and all inventions made by the parties after the effective date. Company grants Grote the right to make, use or sell products embodying the intellectual property, with certain restrictions.

Internet Domain Names

www.fisherplows.com
www.westernplows.com
www.homesteaderplows.com
www.suburbaniteplows.com