Electronic Version v1.1 Stylesheet Version v1.1

**SUBMISSION TYPE: NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
HAMMOND GROUP, INC.		06/30/2006	CORPORATION:

### **RECEIVING PARTY DATA**

Name:	NATIONAL CITY BUSINESS CREDIT, INC.	
Street Address:	1965 East Sixth Street Suite 400	
City:	Cleveland	
State/Country:	ОНЮ	
Postal Code:	44114	
Entity Type:	CORPORATION:	

### PROPERTY NUMBERS Total: 6

Property Type	Number	Word Mark
Registration Number:	3014673	SURECURE
Registration Number:	3019497	SURECURE
Registration Number:	1986618	HAMMOND LEAD PRODUCTS
Registration Number:	2292013	FLASH-X
Registration Number:	1953580	PLASTISTAB
Registration Number:	0953402	HALSTAB

### **CORRESPONDENCE DATA**

Fax Number: (317)231-7433

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 317-231-7287

Email: ecarlson@btlaw.com

Correspondent Name: Barnes & Thornburg LLP - Ernest Carlson

Address Line 1: 11 South Meridian Street

Address Line 4: Indianapolis, INDIANA 46204

ATTORNEY DOCKET NUMBER: 41421-3

TRADEMARK

900055212 **REEL: 003365 FRAME: 0466** 

NAME OF SUBMITTER:  Julia Spoor Gard		
Signature: /jgard/		
Date:	08/09/2006	
Total Attachments: 12 source=National City Trademarks#page1.tif source=National City Trademarks#page2.tif source=National City Trademarks#page3.tif source=National City Trademarks#page4.tif source=National City Trademarks#page5.tif source=National City Trademarks#page6.tif source=National City Trademarks#page7.tif source=National City Trademarks#page8.tif source=National City Trademarks#page9.tif source=National City Trademarks#page9.tif source=National City Trademarks#page10.tif		
source=National City Trademarks#page11.tif source=National City Trademarks#page12.tif		

TRADEMARK REEL: 003365 FRAME: 0467

# COLLATERAL ASSIGNMENT OF SECURITY INTEREST IN TRADEMARKS AND TRADEMARK APPLICATIONS

THIS COLLATERAL ASSIGNMENT OF SECURITY INTEREST IN TRADEMARKS AND TRADEMARK APPLICATIONS (this "Agreement") is made as of June 30, 2006, by Hammond Group, Inc., an Indiana corporation, Hammond Land Reclamation Corporation, an Indiana corporation, Hammond Inventory Holding Corporation, an Indiana corporation, Hammond Lead Products, LLC, an Indiana limited liability company, Halstab, LLC, an Indiana limited liability company, Expanders, LLC, an Indiana limited liability company, and Hammond Expanders UK, LLC, an Indiana limited liability company (collectively, the "Grantors") in favor of NATIONAL CITY BUSINESS CREDIT, INC. an Ohio corporation, having an office at 1965 East Sixth Street, Suite 400, Cleveland, Ohio 44114 ("NCBC"), as Agent.

## **RECITALS**

- A. The Grantors are the owners of certain Trademark Collateral (as defined below).
- B. The Grantors, as borrowers, have entered into that certain Credit and Security Agreement dated as of even date herewith (as from time to time amended, modified or supplemented, the "Credit Agreement"), among the Grantors, certain lending institutions which are signatories thereto (the "Lenders"), the letter of credit issuer (the "LC Issuer"), and NCBC, as administrative agent for the benefit of the Lenders and the LC Issuer (the "Agent", and together with the Lenders and the LC Issuer, the "Secured Parties"). Pursuant to the Credit Agreement, upon the satisfaction of certain terms and conditions contained therein, the Secured Parties will make certain advances and other financial accommodations available to the Grantors. Capitalized terms used herein but not otherwise defined have the meanings attributed to them in the Credit Agreement. Terms not otherwise defined herein or in the Credit Agreement but defined in the Article 9 of the Indiana Uniform Commercial Code (the "UCC") are used herein as defined therein.
- C. The Grantors have agreed to grant to the Agent, for the benefit of the Secured Parties, a security interest in all of their right, title and interest in and to the Trademark Collateral as collateral security for the Obligations.
- **NOW, THEREFORE**, in consideration of the foregoing and other good and valuable consideration, each Grantor hereby:
- 1. Grants to the Agent for the benefit of the Secured Parties, as security for the Obligations, a security interest in and to the following (except to the extent forbidden by, and, in any case subject to any restrictions on assignment, pledge or the granting of liens thereon), whether now owned, or, hereafter acquired by such Grantor, and whether now or hereafter existing (the "Trademark Collateral"): (i) all domestic and foreign trademarks, service marks, trade names, trade dress or other indicia of trade origin, whether registered or unregistered, domestic and foreign trademark and service mark registrations and applications for trademark or

TRADEMARK REEL: 003365 FRAME: 0468 service mark registrations and any extension, modification or renewal thereof ("<u>Trademarks</u>"), including, without limitation, the trademarks and trademark applications listed in <u>Exhibit A</u>; (ii) all goodwill connected with the use of, and symbolized by, each Trademark; (iii) the right to sue or otherwise recover for any and all past, present and future infringement, misappropriation, or improper, unlawful or unfair use of any of the foregoing, and all damages and payments therefor, (iv) the rights of such Grantor under all commitments, understandings, instruments, leases, pledges, mortgages, indentures, notes, licenses, agreements, purchase or sale orders, contracts, promises and similar arrangements evidencing or creating any obligation, whether written or oral, related to any of the foregoing, including any royalties and income.

- 2. Authorizes and requests the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to note in the record the existence of the security interest granted hereunder with respect to each of the Trademarks listed in <a href="Exhibit A">Exhibit A</a> and, subject to any restrictions on assignment and the granting of liens thereon, to all Trademarks acquired by such Grantor after the date hereof.
  - 3. Represents and warrants as follows:
- (a) Set forth in Exhibit A is a complete and accurate list of all of each Grantor's trademark registrations and applications in existence as of the date hereof.
- (b) Each Grantor owns all Trademarks identified as owned by it, and has the legal and valid right to use, and to grant security interests with respect to, all of its Trademarks, including Trademarks owned by any third party.
- (c) All Trademarks are free from any Lien except for Permitted Liens and free of any restrictions which could reasonably be expected to have a Material Adverse Effect on the operation of the business of each Grantor as presently conducted.
- (d) No Grantor has, as of the date hereof, granted any license, release, covenant not to sue, or non-assertion assurance to any Person with respect to any of the Trademarks.
- (e) All of the registered trademarks among the Trademarks are currently in compliance in all material respects with formal legal requirements (including payment of filing, examination, and maintenance fees) and are valid and enforceable.
- (f) No material Trademark has been or is now involved in any interference, reissue, reexamination, opposition or cancellation proceeding; and none of the Trademarks is infringed or has been challenged or threatened in any way.
- (g) Each Grantor has taken all reasonably necessary steps to use consistent standards of quality in the distribution and sale of all products sold and the provision of all services provided under or in connection with any material Trademark Collateral and have taken all necessary steps to ensure that all licensed users of any such Trademark Collateral adhere to such consistent standards of quality.

(h) No consent of any Person and no authorization, approval or other action by, and no notice to or filing with, any governmental authority or regulatory body or other Person is required (i) for the grant by each Grantor of the security interest granted hereby, for the pledge by such Grantor of the Trademark Collateral pursuant hereto, or for the execution, delivery or performance of this Agreement by such Grantor, (ii) for the perfection or maintenance of the pledge and security interest created hereby (including the first and only priority nature of such pledge and security interest), except for the filing of financing and continuation statements under the UCC, and the filing and recording of this Agreement in the United States Patent and Trademark Office against each United States trademark registration and application among the Trademarks, or (iii) for the exercise by the Agent of its rights provided for in this Agreement or the remedies in respect of the Trademark Collateral pursuant to this Agreement other than the filing of assignments in the United States Patent and Trademark Office against each United States trademark registration and application.

## 4. Covenants as follows:

- (a) Each Grantor agrees that from time to time, at the expense of such Grantor, such Grantor shall promptly execute and deliver all further instruments and documents, and take all further action, that the Agent reasonably believes may be necessary or desirable, in order to perfect and protect any pledge or security interest granted or purported to be granted hereby or to enable the Agent to exercise and enforce its rights and remedies hereunder with respect to any part of the Trademark Collateral.
- (b) Each Grantor hereby authorizes the Agent to file one or more financing or continuation statements, and amendments thereto, relating to all or any part of the Trademark Collateral without the signature of such Grantor where permitted by law. A photocopy or other reproduction of this Agreement or any financing statement covering the Trademark Collateral or any part thereof will be sufficient as a financing statement where permitted by law.
- (c) Each Grantor will furnish to the Agent from time to time statements and schedules further identifying and describing the Trademark Collateral and such other reports in connection with the Trademark Collateral as the Agent may reasonably request, all in reasonable detail.
- any new Trademark Collateral, including any new trademark registration or application, which is not now scheduled on Exhibit A as a part of the Trademark Collateral, any such trademark registration or application, will automatically become part of the Trademark Collateral. Each Grantor further agrees that it shall deliver to the Agent a written report, in reasonable detail, upon the Agent's request, setting forth each new trademark application or registration that such Grantor has filed, acquired, created or otherwise obtained since the previous report. Each Grantor authorizes the Agent to modify this Agreement by amending Exhibit A hereto (and shall cooperate with the Agent in effecting any such amendment) to include any trademark registration or application which becomes part of the Trademark Collateral.

- With respect to each material trademark application or registration (e) set forth in Exhibit A hereto, each Grantor agrees to take all necessary or desirable steps based upon such Grantor's reasonable business judgment, including, without limitation, in the United States Patent and Trademark Office or in any court, to (i) maintain each such trademark registration, and (ii) pursue each such trademark application, now or hereafter included in the Trademark Collateral to the extent it has material value or is material to the conduct of such Grantor's business as then conducted, including, the filing of responses to office actions issued by the United States Patent and Trademark Office, the filing of affidavits under Sections 8 and 15 of the United States Trademark Act, the filing of divisional, continuation, continuation in part and substitute applications, the filing of applications for reissue, renewal or extensions, the payment of maintenance fees, and the participation in interference, reexamination, opposition, cancellation, infringement and misappropriation proceedings. Each Grantor agrees to take corresponding steps with respect to each material new or acquired Trademark to which it now or later becomes entitled. Any and all expenses incurred in connection with such activities will be borne by such Grantor. No Grantor shall discontinue use of or otherwise abandon any trademark registration or application now or hereafter included in the Trademark Collateral except in the exercise of such Grantor's reasonable business judgment.
- (f) Each Grantor shall take all steps which it deems appropriate under the circumstances to preserve and protect all material Trademark Collateral, including, without limitation, maintaining the quality of any and all products or services used or provided in connection with such material Trademark Collateral, consistent with the quality of the products and services as of the date hereof, and taking all steps reasonably necessary to ensure that all licensed users of any such material Trademark Collateral use such consistent standards of quality.
- (g) Each Grantor agrees to notify the Agent promptly and in writing if it learns (i) that any material Trademark Collateral has been determined to have become abandoned, or dedicated to the public, (ii) of the institution of any proceeding (including, without limitation, the institution of any proceeding in the United States Patent and Trademark Office or any court) regarding any material Trademark, or (iii) of any adverse determination with respect to the validity or enforceability of any material Trademark.
- (h) In the event that any Grantor makes a determination in its reasonable business judgment that any material Trademark has been infringed or misappropriated by a third party, such Grantor shall promptly notify the Agent and will take such actions as such Grantor deems appropriate under the circumstances to protect such Trademark, including, suing for infringement or misappropriation and for an injunction against such infringement or misappropriation. Any expense in connection with such activities will be borne by such Grantor.
- (i) No Grantor shall (i) sell, assign (by operation of law or otherwise) or otherwise dispose of or grant any option with respect to any Trademark Collateral except to an affiliate with prompt written notice thereof to the Agent or as otherwise permitted by the Credit Agreement, or (ii) create or suffer to exist any lien upon or with respect to any Trademark Collateral except for the pledge and security interest created by this Agreement or otherwise permitted by the Credit Agreement.

IN WITNESS WHEREOF, each Grantor has caused this Agreement to be signed by its authorized officer and duly attested the day and year first above written.

HAMMOND GROUP, INC.
120 1000 M
By: / //////
Name: Charles T. Miller
Title: CFO and Treasurer
TARGET AND DECLARATION
HAMMOND LAND RECLAMATION
CORPORATION
WILTIM.
By Act 1. / Will
Name: Charles T. Miller
Title: CFO and Treasurer
HAMMOND INVENTORY HOLDING
CORPORATION
By: 1. / / / / / / / / / / / / / / / / / /
Name: Charles T. Miller
Title: CFO and Treasurer
HAMMOND LEAD PRODUCTS, LLC
(1) O LOTTIL
By: / hot / / / los
Name. Charles T. Miller
Title: CFO and Treasurer
HALSTABOLLC

Name: Charles T. Miller Title: CFO and Treasurer

HALOX, LLC

Name: Charles T. Miller

Title: CFO and Treasurer

EXPANDERS, LLC

By: Add 1. Thats

Name: Charles T. Miller Title: CFO and Treasurer

HAMMOND EXPANDERS UK, LLC

Name: Charles T. Miller

Title: CFO and Treasurer

## CERTIFICATE OF ACKNOWLEDGMENT

STATE OF INDIANA )	SS:	
COUNTY OF MARION )		
LAKE Pofore me a Not	tary Public in and for	said County and State, personally appeared Charles
T. Miller, known to r	ne to be the CFO	and Treasurer of HAMMOND GROUP, INC., and
acknowledged the exec	ution of the forego	ing Collateral Assignment of Security Interest in
		chalf of said corporation.
Witness my hand	l and Notarial Seal, the	his 30 <sup>th</sup> day of June, 2006.
		John L Wan Class  Notary Public - Signature
		Debra L Mangerson  Notary Public - Printed
My Commission Expire	es:	My County of Residence:
6-4-2009	_	Lake
STATE OF INDIANA )  COUNTY OF MARION )  LAKe	SS:	
T. Miller, known to r CORPORATION, and ac	ne to be the CFO knowledged the exe	r said County and State, personally appeared Charles and Treasurer of HAMMOND LAND RECLAMATION ecution of the foregoing Collateral Assignment of cations for and on behalf of said corporation.
Witness my hand and Notarial Seal, this 30th day of June, 2006.		
		Notary Public - Signature
		Notary Public - Printed
My Commission Expire	es:	My County of Residence:
6-4-2009	<del></del>	Lalle

State Of Indiana	) )SS:	
COUNTY OF MARION	)	
Before me, a large of T. Miller, known to CORPORATION, and	me to be the CFO acknowledged the ex	for said County and State, personally appeared Charles and Treasurer of HAMMOND INVENTORY HOLDING xecution of the foregoing Collateral Assignment of clications for and on behalf of said corporation.
Witness my h	and and Notarial Seal	, this 30th day of June, 2006.
		Notary Public - Signature
		Debra L Mangersan Notary Public - Printed
My Commission Exp	oires:	My County of Residence:
6-4-2009	<del></del>	Lake
State Of Indiana County Of <del>Mari</del> on <i>LAKo</i>	) )SS: 1 )	
Before me, a T. Miller, known to acknowledged the e	me to be the CFO an execution of the fore	for said County and State, personally appeared Charles at Treasurer of HAMMOND LEAD PRODUCTS, LLC, and egoing Collateral Assignment of Security Interest in a behalf of said limited liability company.
Witness my h	nand and Notarial Sea	l, this 30 <sup>th</sup> day of June, 2006.
		Notary Public - Signature
		Notary Public - Signature  Notary Public - Printed
My Commission Exp	pires:	My County of Residence:
6-4-2009		Lave
,		

STATE OF INDIANA	) )SS:	
COUNTY OF MARION	)	
Before me, a land T. Miller, known to reexecution of the fore	me to be the CFO and	r said County and State, personally appeared Charles Treasurer of HALSTAB, LLC, and acknowledged the gnment of Security Interest in Patents and Patent d liability company.
Witness my hand and Notarial Seal, this 30th day of June, 2006.		
		Notary Public - Signature
		Debra L Mangerson Notary Public - Printed
My Commission Exp	pires:	My County of Residence:
6-4 2009		<u>Lake</u>
STATE OF INDIANA  COUNTY OF MARION LAUE	) )SS: )	
Before me, a T. Miller, known to execution of the for	me to be the CFO and	or said County and State, personally appeared Charles d Treasurer of HALOX, LLC, and acknowledged the ignment of Security Interest in Patents and Patent ed liability company.
Witness my hand and Notarial Seal, this 30 <sup>th</sup> day of June, 2006.		
		Notary Public - Signature
		Notary Public - Printed
My Commission Exp	pires:	My County of Residence:
6-42009		

STATE OF INDIANA ) )SS:	
COUNTY OF MARION )  LAUE	
Before me, a Notary Public in and for T. Miller, known to me to be the CFO and T.	or said County and State, personally appeared Charles Creasurer of EXPANDERS, LLC, and acknowledged the ignment of Security Interest in Patents and Patent and liability company.
Witness my hand and Notarial Seal,	this 30th day of June, 2006.
	Notary Public - Signature  Porg L Mangerson
	Notary Public - Printed
My Commission Expires:	My County of Residence:
STATE OF INDIANA ) )SS: COUNTY OF MARION )  LAW e	
T Miller known to me to be the CFO and	or said County and State, personally appeared Charles I Treasurer of HAMMOND EXPANDERS UK, LLC, and going Collateral Assignment of Security Interest in behalf of said limited liability company.
Witness my hand and Notarial Seal,	, this 30 <sup>th</sup> day of June, 2006.
	Notary Public - Signature
	Notary Public - Printed
My Commission Expires:	My County of Residence:
6-4-2009	LAlle

# **EXHIBIT A**

# **TRADEMARKS**

See attached Exhibit A.

# TRADEMARK APPLICATIONS

None.

INDS01 AIMEL 862932v1

Hammond Group Inc. Supporting Protection Certificate National City Business Credit Exhibit A Trademarks

Trademark Number

3,014,673 3,019,497 1,986,618 2,292,013 1,953,580 1,953,580

Description

Country

**RECORDED: 08/09/2006** 

Hammond Lead Product Trademark "SURECURE TRADEMARK" "SURECURE TRADEMARK"

USA USA USA USA USA

Halstab Trademark Trademark - Plastistab Flash-X Tradement

INDS01 AIMEL \$62\$86v1

**TRADEMARK REEL: 003365 FRAME: 0479**