## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM322511

SUBMISSION TYPE:	NEW ASSIGNMENT	. *	
NATURE OF CONVEYANCE:	SECURITY INTEREST		

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
FN Cellars, LLC		10/31/2014	LIMITED LIABILITY COMPANY: DELAWARE

# RECEIVING PARTY DATA

Name:	Wells Fargo Bank, National Association
Street Address:	200 B Street, Suite 300
City:	Santa Rosa
State/Country:	CALIFORNIA
Postal Code:	95401
Entity Type:	Banking Association: UNITED STATES

# **PROPERTY NUMBERS Total: 25**

Number	Word Mark
4618420	BELLA UNION
86235528	ENCHANTILLON
3206675	MARTIN STELLING VINEYARD
2851291	B BRENTWOOD BAPTIST CHURCH, THE CHURCH W
3481194	ENROUTE
3567111	ENROUTE
2812938	JOHN C. SULLENGER VINEYARD
3599811	LES POMMIERS
2544393	NICKEL & NICKEL
2503840	N & N
2509413	NICKEL & NICKEL
4266817	NICKEL & NICKEL
4631297	FN
4364290	$\sim$
2556197	CAVE COLLECTION C C
2641753	FAR NIENTE
2576509	CABERNET GRILL
2488082	FAR NIENTE
2480607	FAR NIENTE
	4618420 86235528 3206675 2851291 3481194 3567111 2812938 3599811 2544393 2503840 2509413 4266817 4631297 4364290 2556197 2641753 2576509 2488082

OP \$640.00 4618420

Property Type	Number	Word Mark	
Registration Number:	2337846	FAR NIENTE ESTABLISHED 1885	
Registration Number:	1568720	FAR NIENTE	
Registration Number:	1852556	LIQUID GOLD FROM NAPA VALLEY	
Registration Number:	1958231	DOLCE	
Registration Number:	1955543	DOLCE	
Registration Number:	1958230	DOLCE	

# **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email:

landwebe@chapman.com

Correspondent Name:

Joseph Landweber

Address Line 1:

595 Market Street, 26th Floor

Address Line 4:

San Francisco, CALIFORNIA 94105

NAME OF SUBMITTER:	JOSEPH LANDWEBER	
SIGNATURE:	/Joseph Landweber/	
DATE SIGNED:	11/07/2014	

#### **Total Attachments: 9**

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Form PTO-1594 (Rev. 12-11)
OMB Collection 0651-0027 (exp. 04/30/2015)

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Pleas	se record the attached documents or the new address(es) below.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)  Additional names, addresses, or citizenship attached?
FN CELLARS, LLC	Name: Wells Fargo Bank, National Association
☐ Individual(s) ☐ Association ☐ Partnership ☐ Limited Partnership	Street Address: 200 B Street, Suite 300
Corporation- State:	City: Santa Rosa
☐ State: ☐	State: California
Citizenship (see guidelines) Delaware	Country: USA Zip: 95401
Additional names of conveying parties attached? Yes No	Individual(s) Citizenship Association Citizenship
3. Nature of conveyance/Execution Date(s):	Partnership Citizenship
Execution Date(s)October 31, 2014	Limited Partnership Citizenship
Assignment Merger	Corporation Citizenship
	Other BankingAssoc Citizenship USA  If assignee is not domiciled in the United States, a domestic
Other	representative designation is attached: Yes No (Designations must be a separate document from assignment)
4. Application number(s) or registration number(s) and A. Trademark Application No.(s)  Text	identification or description of the Trademark.  B. Trademark Registration No.(s)
	See Schedule A attached hereto.
See Schedule A attached hereto.	Additional sheet(s) attached? X Yes No
C. Identification or Description of Trademark(s) (and Filing	Date if Application or Registration Number is unknown):
See Schedule A attached hereto.	
5. Name & address of party to whom correspondence concerning document should be mailed:  Name: Joseph Landweber	6. Total number of applications and registrations involved:
Internal Address:	7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$640.00
Street Address: Chapman and Cutler LLP 595 Market Street, 26th Floor	☐ Authorized to be charged to deposit account ☐ Enclosed
City: San Francisco	8. Payment Information:
State: California Zip: 94105	
Phone Number: (415) 278-9024	Damasit Assault Number
Docket Number:	Deposit Account Number
Email Address:landwebe@chapmaa.com	Authorized User Name
9. Signature: /ke lold	November 7, 2014
Signature	Date
Joseph Landweber Name of Person Signing	Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

SCHEDULE A to TRADEMARK SECURITY AGREEMENT

WORD MARK	SERIAL NUMBER	REGISTRATION	REGISTRATION/FILING
		Number	DATE
			(If Registration Date
DELLA INVON	0.00000.4	4610400	Unavailable)
BELLA UNION	86002964	4618420	10/7/2014
ENCHANTILLON	86235528	N/A	3/28/2014
MARTIN STELLING			
VINEYARD	78472285	3206675	2/06/2007
DRAGONFLY			5/17/2005
VINEYARD	78182470	2951291	
ENROUTE	77016969	3481194	8/05/2008
ENROUTE	77496386	3567111	1/27/2009
JOHN C. SULLENGER			
VINEYARD	78182483	2812938	2/10/2004
LES POMMIERS	77383886	3599811	3/31/2009
NICKEL & NICKEL	76115045	2544393	3/05/2002
N&N	76115044	2503840	11/06/2001
NICKEL & NICKEL	76113283	2509413	11/20/2001
NICKEL & NICKEL	85464814	4266817	1/1/2013
FN	86159243	4631297	11/4/2014
N/A	85774801	4364290	7/9/2013
CAVE COLLECTION C C	78071993	2556197	4/02/2002
FAR NIENTE	76117921	2641753	10/29/2002
CABERNET GRILL	75798243	2576509	6/04/2002
FAR NIENTE	75525057	2488082	9/11/2001
FAR NIENTE	75739417	2480607	8/21/2001
FAR NIENTE			4/04/2000
ESTABLISHED 1885	75598968	2337846	
FAR NIENTE	73793902	1568720	11/28/1989
LIQUID GOLD FROM			
NAPA VALLEY	74439022	1852556	9/6/1994
DOLCE	74438893	1958231	2/27/1996
DOLCE	74438888	1955543	2/13/1996
DOLCE	74438885	1958230	2/27/1996

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#### TRADEMARK SECURITY AGREEMENT

THIS TRADEMARK SECURITY AGREEMENT (this "Agreement") is entered into as of October 31, 2014, by and between FN CELLARS, LLC, a Delaware limited liability company ("Debtor"), and WELLS FARGO BANK, NATIONAL ASSOCIATION ("Bank").

#### **RECITALS**

WHEREAS, Bank has extended or will hereafter extend credit to Debtor, and to secure its obligations to Bank, Debtor has executed various agreements, including without limitation, that certain Continuing Security Agreement: Rights to Payment and Inventory dated as of October 31, 2014, covering among other items, various proprietary rights and trademarks (which security agreement, together with any and all amendments and modifications thereto from time to time entered into, and any security agreements at any time hereafter executed in replacement and/or in substitution thereof and/or in addition thereto, shall be referred to herein as the "Security Agreement"); and

WHEREAS, Debtor and Bank wish to further clarify and declare their respective rights and obligations with regard to certain collateral in an instrument to be recorded with the United States Patent and Trademark Office and elsewhere.

NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, Debtor and Bank hereby agree as follows:

- 1. SECURITY INTERESTS. Pursuant to the Security Agreement and subject to and upon the additional terms, covenants and conditions hereof, Debtor hereby grants, to Bank a security interest in Debtor's entire right, title and interest in and to all of the following (the "Property"):
- (a) all trademarks, service marks, trade names, proprietary labels and logos in which Debtor now has or at any time hereafter acquires an interest and the goodwill in Debtor's business and products associated with such trademarks, service marks, trade names, proprietary labels and logos; and
- (b) the right to sue, in Bank's name or joined with Debtor, for past, present or future infringements of rights in any such trademarks, service marks, trade names, proprietary labels and logos.

The Property shall include without limitation: (i) all trademarks, service marks and trade names, proprietary labels and logos described in Exhibit A attached hereto and incorporated herein by this reference and the Debtor's goodwill in its business associated with the same, and (ii) all registrations, renewals and/or extensions of any of the Property.

2. OBLIGATIONS SECURED. The security interest established by this Agreement secures payment of all indebtedness and performance of all obligations which may now or at any time hereafter be owed by Debtor to Bank, including without limitation, all obligations now

3687006.01.04.doc 3581329 existing or arising under or in connection with the Security Agreement, and/or in connection with any promissory note and/or loan agreement now or after any time hereafter executed by Debtor with Bank, including but not limited to that certain Credit Agreement between Debtor and Bank dated as of October 31, 2014 and all amendments, modifications and extensions thereto, and substitutions or replacements therefor which may from time to time be entered into between Debtor and Bank (collectively, the "Loan Agreement"). Upon payment and performance in full of all of the obligations secured hereby, Bank, at Debtor's cost and expense, shall terminate its security interest in the Property.

- 3. PERFECTION OF SECURITY INTEREST. Debtor hereby covenants and agrees to prepare, execute, acknowledge, deliver and record or file such instruments and documents and to do and perform all other acts which may be necessary or which Bank deems necessary or appropriate to perfect Bank's security interest in the Property. Concurrently with the filing of any trademark application or the acquisition of any interest in or to any trademark hereafter, Debtor shall duly execute, acknowledge and record in the United States Patent and Trademark Office a Trademark Security Agreement substantially in the form of this Agreement; provided that Exhibit A to each such Trademark Security Agreement shall describe (with such particularity as may be required by said Patent and Trademark Office or other applicable governmental authorities or agencies from time to time) only the additional trademarks and applications which have not been previously recorded as subject to Bank's security interest.
- 4. RETENTION OF RIGHTS. Unless and until there shall have occurred and be continuing an Event of Default (as defined in the Security Agreement and the Loan Agreement), Debtor shall retain the right to use the Property in the ordinary course of Debtor's business. Debtor agrees neither to sell or assign its interest in, nor grant any license under, the Property, without the prior written consent of Bank; provided however, that Debtor may grant such bona fide licenses for good and valuable consideration as are necessary and usual in the ordinary course of Debtor's business as it is presently conducted and as it may be legally expanded, on the condition that such licenses so granted shall be subject to the terms and conditions of the Security Agreement and this Agreement.
- 5. WARRANTIES. In addition to the representations and warranties made by Debtor in the Security Agreement, Debtor represents and warrants that:
- (a) the Property listed on Exhibit A and in Exhibit A to any other Trademark Security Agreement(s) delivered by Debtor to Bank represents all of the trademarks, service marks, trade names, proprietary labels and logos and applications therefor in which Debtor has any rights and in which Debtor has applied for any rights as of the date of this Agreement; and
  - (b) the information set forth in Exhibit A hereto is entirely correct.
- 6. COVENANTS. Debtor hereby covenants and agrees to cooperate with Bank in whatever manner may be necessary or which Bank may deem necessary or appropriate so that Bank may enjoy its rights and interests hereunder to the fullest extent. Such cooperation shall include, without limitation:

- (a) prompt preparation and execution (at Debtor's expense) of all petitions, oaths, specifications, declarations or other papers that may be necessary or which Bank reasonably may deem necessary or appropriate for prosecuting any trademark or other Property applications or applications for the registration, renewal or extension of any trademark or other Property in which Bank acquires a security interest hereunder and for prosecuting interference proceedings involving any such Property applications pertaining to any such Property; and
- (b) prompt assistance and cooperation (at Debtor's expense) in the prosecuting of any legal actions or other proceedings involving any Property or application pertaining to any Property in which Bank acquires a security interest hereunder, including without limitation, oppositions, cancellation proceedings, priority contests, public use proceedings and court actions alleging infringement or any other cause of action.
- 7. DEFENSE OF PROPERTY; INDEMNITY. Debtor hereby covenants and agrees promptly upon request of Bank to defend the Property and Bank's rights and interests therein and to promptly notify Bank of any event, occurrence or legal action which affects the Property or the rights of the parties in relation thereto. Debtor acknowledges that Bank may, but shall have no obligation whatsoever to, commence any legal action or other proceeding to defend the Property or to contest the use by any other party of the Property or any portion thereof. Debtor shall unconditionally indemnify Bank and hold Bank harmless from and against all claims, causes of action, damages, liability, costs and expenses, including reasonable attorneys' fees, that Bank may be subject to in connection with this Agreement except those arising out of the gross negligence or willful misconduct of Bank, including without limitation, Property infringement suits that may be brought against Bank.
- APPOINTMENT. Bank shall have the right to, in the name of Debtor, or in the name of Bank or otherwise, without notice to or assent by Debtor, and Debtor hereby irrevocably constitutes and appoints Bank (and any of Bank's officers or employees or agents designated by Bank) as Debtor's true and lawful attorney-in-fact with full power and authority, (i) to sign the name of Debtor on all or any of such documents or instruments and perform all other acts that Bank deems necessary or advisable in order to perfect or continue perfected, maintain the priority or enforceability of or provide notice of Bank's security interest in, the Property, (ii) execute any and all other documents and instruments, and perform any and all acts and things for and on behalf of Debtor, which Bank may deem necessary or advisable to maintain, preserve, or protect the Property and to accomplish the purposes of this Agreement, including without limitation (A) to assert or retain any rights under any license agreement for any of the Property, (B) after the occurrence of any Event of Default, to defend, settle, adjust or institute any action, suit or proceeding with respect to the Property, and (C) after the occurrence of any Event of Default, to execute any and all applications, documents, papers and instruments for Bank to use the Property, to grant or issue any exclusive or non-exclusive license with respect to any Property, and to assign, convey or otherwise transfer title in or dispose of any of the Property; provided, however, that in no event shall Bank have the unilateral power, prior to the occurrence of an Event of Default, to assign any of the Property to any person, including itself, without Debtor's written consent. The foregoing shall in no way limit Bank's rights and remedies upon or after the occurrence of an Event of Default. This power of attorney, being coupled with an interest, is irrevocable until termination of the Agreement.

- 9. LICENSE. For the purpose of enabling Bank to exercise its rights and remedies hereunder or otherwise in connection with this Agreement, to the extent necessary to exercise such rights and remedies, Debtor hereby grants to Bank an irrevocable, non-exclusive and assignable license (exercisable without payment or royalty or other compensation to Debtor) to use, license or sublicense any Property.
- 10. DEFAULTS. Upon the failure of Debtor to pay when due any sums owing to Bank or upon occurrence of any Event of Default as defined in the Security Agreement, the Loan Agreement and/or any contract or instrument pursuant to which Debtor may at any time hereafter incur any liabilities, indebtedness or other obligations to Bank, subject to applicable cure provisions, if any, Bank shall have the rights and remedies available to a secured party under law and/or expressly provided in the Security Agreement, the Loan Agreement and/or any such other contract or instrument. In addition, Bank shall have the right to sue for past infringement of the Property and to collect all damages and profits for past infringements.

If any Event of Default shall have occurred and be continuing, and following the giving of any notices required hereby, Bank shall have, in addition to all other rights and remedies given it by this Agreement and the Security Agreement, those allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in any jurisdiction in which the Property may be located and, without limiting the generality of the foregoing, Bank may immediately, without demand of performance and without other notice (except as set forth next below) or demand whatsoever to Debtor, all of which are expressly waived, and without advertisement, sell at public or private sale or otherwise realize upon the whole or from time to time any part of the Property or any interest which the Debtor may have therein, and after deducting from the proceeds of sale or other disposition of the Property all expenses (including all reasonable expenses for brokers' fees and legal services), shall apply the residue of such proceeds toward the payment of the obligations secured hereby. Any remainder of the proceeds after payment in full of such obligations shall be paid over to the Debtor or to such other party who may be entitled to such remaining proceeds. Notice of any sale or other disposition of the Property shall be given to Debtor at least five (5) days before the time of any intended public or private sale or other disposition of the Property is to be made, which Debtor hereby agrees shall be reasonable notice of such sale or other disposition. At any such sale or other disposition, any holder of any note or Bank may, to the extent permissible under applicable law, purchase the whole or any part thereof.

- 11. RELATION TO SECURITY AGREEMENT. This Agreement is a supplement to the Security Agreement, and Bank's rights and remedies, and Debtor's obligations and waivers, under the Security Agreement, and the interpretive principles stated in the Security Agreement shall be applicable hereunder; provided however, that this Agreement and the Security Agreement shall be construed together so as to grant Bank the greatest rights and remedies with regard to the Property; and provided further, that Bank's rights and remedies hereunder may be expressly modified by amendments to the Security Agreement from time to time.
- 12. SUCCESSORS; ASSIGNS. This Agreement shall be binding upon and inure to the benefit of the heirs, executors, administrators, legal representatives, successors and assigns of the

parties; provided however, that Debtor may not assign this Agreement or any interest herein without Bank's prior written consent.

- 13. ENFORCEABILITY. If any provision of this Agreement shall for any reason be unenforceable in any respect, such enforceability shall not affect any other provision hereof, and this Agreement shall be construed as if such unenforceable provisions had not been contained herein.
- 14. GOVERNING LAW. Except to the extent that Federal law preempts, this Agreement shall be governed by and construed in accordance with the laws of the State of California.

[Continues With Signatures On Next Page]

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IN WITNESS WHEREOF, Debtor and Bank hereby execute and acknowledge this Agreement as of the date first written above.

FN CELLARS, LLG

**Birk Hampson** 

Chief Executive Officer

WELLS FARGO BANK,

NATIONAL ASSOCIATION

David Nestler Senior Vice President

Trademark Security Agreement

## **EXHIBIT A**

to

## TRADEMARK SECURITY AGREEMENT Dated as of October 31, 2014

#### between

## FN CELLARS, LLC

and

# WELLS FARGO BANK, NATIONAL ASSOCIATION

WORD MARK	SERIAL NUMBER	REGISTRATION	REGISTRATION/FILING
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			Unavailable)
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FAR NIENTE	75739417	2480607	8/21/2001
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NAPA VALLEY	74439022	1852556	9/6/1994
DOLCE	74438893	1958231	2/27/1996
DOLCE	74438888	1955543	2/13/1996
DOLCE	74438885	1958230	2/27/1996

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