# 900355101

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM374184

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
MetricStream, Inc.		02/17/2016	CORPORATION: DELAWARE

## **RECEIVING PARTY DATA**

Name:	Western Alliance Bank
Street Address:	55 Almaden Boulevard, Suite 100
Internal Address:	Attn: Technology Division
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95113
Entity Type:	CORPORATION: ARIZONA

### **PROPERTY NUMBERS Total: 11**

Property Type	Number	Word Mark
Registration Number:	2914547	METRICSTREAM
Registration Number:	3237734	ZAPLET
Registration Number:	4482113	THRIVE ON RISK
Registration Number:	4482114	THRIVE ON RISK POWERED BY METRICSTREAM
Registration Number:	4663947	INFOLET
Registration Number:	4668350	ZAPLET
Registration Number:	4701627	GRCINTELLIGENCE.COM
Registration Number:	4745021	GRC JOURNEY
Registration Number:	4745028	GRC JOURNEY
Serial Number:	86284037	PERVASIVE GRC
Serial Number:	86519461	COMPLIANCE ONLINE THE LARGEST GRC ADVISO

#### CORRESPONDENCE DATA

Fax Number: 8586385130

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 858-677-1400

Email: susan.reynholds@dlapiper.com

**Correspondent Name:** DLA Piper LLP (US)

Address Line 1: 4365 Executive Drive, Suite 1100

TRADEMARK

REEL: 005737 FRAME: 0357 900355101

Address Line 4: San	Diego, CALIFORNIA 92121
ATTORNEY DOCKET NUMBER:	355157-161
NAME OF SUBMITTER:	Troy Zander
SIGNATURE:	/s/ Troy Zander
DATE SIGNED:	02/23/2016
Total Attachments: 6	
source=IPSA#page1.tif	
source=IPSA#page2.tif	
source=IPSA#page3.tif	
source=IPSA#page4.tif	
source=IPSA#page5.tif	
source=IPSA#page6.tif	

TRADEMARK REEL: 005737 FRAME: 0358

#### **EXECUTION VERSION**

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This INTELLECTUAL PROPERTY SECURITY AGREEMENT, dated as of February 17, 2016 (the "Agreement"), between WESTERN ALLIANCE BANK, an Arizona corporation ("Bank"), and MetricStream, Inc., a Delaware corporation ("Grantor"), is made with reference to the Loan and Security Agreement, dated as of February 17, 2016, by and among the Lenders named therein, including ORIX Ventures, LLC, Bank as Agent for the Lenders and Grantor (as amended from time to time, the "Loan Agreement"). Terms defined in the Loan Agreement have the same meaning when used in this Agreement.

For good and valuable consideration, receipt of which is hereby acknowledged, Grantor hereby covenants and agrees as follows:

To secure the Obligations under the Loan Agreement, Grantor grants to Bank, as agent for the Lenders, and to each Lender, a security interest in all right, title, and interest of Grantor in any of the following, whether now existing or hereafter acquired or created in any and all of the following property (collectively, the "Intellectual Property Collateral"):

- (a) copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held (collectively, the "Copyrights"), including the Copyrights described in Exhibit A;
- (b) trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Borrower connected with and symbolized by such trademarks (collectively, the "Trademarks"), including the Trademarks described in Exhibit B;
- (c) patents, patent applications and like protections including without limitation improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same (collectively, the "Patents"), including the Patents described in Exhibit C;
- (d) mask work or similar rights available for the protection of semiconductor chips or other products (collectively, the "Mask Works");
- (e) trade secrets, and any and all intellectual property rights in computer software and computer software products;
  - (f) design rights;
- (g) claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works, and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) amendments, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

WEST\268298090.2 355157-000161 The rights and remedies of Lender with respect to the security interests granted hereunder are in addition to those set forth in the Loan Agreement, and those which are now or hereafter available to Lender as a matter of law or equity. Each right, power and remedy of Lender provided for herein or in the Loan Agreement, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein, and the exercise by Lender of any one or more of such rights, powers or remedies does not preclude the simultaneous or later exercise by Lender of any other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR: METRICSTREAM, INC.	LENDER: WESTERN ALLIANCE BANK, an Arizona corporation
Ву:	By: Mar Species
Name:	Name: Matt Spencer
Title:	Title: VP
Address for Notices:	Address for Notices:
2600 E. Bayshore Road Palo Alto, CA 94303 Attn: Bill Williams FAX: (650) 637-1623	55 Almaden Boulevard, Suite 100 San Jose, California 95113 Attn: Technology Division FAX:(408) 282-1681

The rights and remedies of Lender with respect to the security interests granted hereunder are in addition to those set forth in the Loan Agreement, and those which are now or hereafter available to Lender as a matter of law or equity. Each right, power and remedy of Lender provided for herein or in the Loan Agreement, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein, and the exercise by Lender of any one or more of such rights, powers or remedies does not preclude the simultaneous or later exercise by Lender of any other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date first written above.

GRANTOR:	LENDER:
METRICSTREAM, INC.	WESTERN ALLIANCE BANK, an Arizona corporation
Ву:	Ву:
Name: Shelly E Archamben	Name:
Title: $C \mathcal{E} o$	Title:
Address for Notices:	Address for Notices:
2600 E. Bayshore Road Palo Alto, CA 94303 Attn: Bill Williams FAX: (650) 637-1623	55 Almaden Boulevard, Suite 100 San Jose, California 95113 Attn: Technology Division FAX:(408) 282-1681

WEST/268298090.2 355157-000161

TRADEMARK
REEL: 005737 FRAME: 0361

# EXHIBIT A

# **COPYRIGHTS**

# Please Check if No Copyrights Exist [

Description:	Registration Number:	Registration Date:
MetricStream	TX0005866212	09/08/03
Zaplet application server: release 2.0	TXu001120083	08/13/02
Zaplet application server: release 1.0	TXu001038279	06/19/02

# Exhibit B TRADEMARKS

# Please Check if No Trademarks Exist

Description	U.S. Registration Number:	Registration/Application Date
MetricStream	2,914,547	12/28/04
Zaplet	3,237,734	05/01/07
Thrive on Risk	4,482,113	02/11/14
Thrive on Risk Powered by MetricStream	4,482,114	02/11/14
Infolet	4,663,947	12/30/14
Zaplet	4,668,350	01/06/15
GRCIntelligence.com	4,701,627	03/10/15
GRC Journey	4,745,021	05/26/15
GRC Journey	4,745,028	05/26/15
Pervasive GRC	86284037	05/16/14
Compliance Online The Largest GRC Advisory Network	86519461	01/30/15

# **EXHIBIT** C

### **PATENTS**

# Please Check if No Patents Exist □

6,453,337 6,457,045 6,463,461 6,496,849	09/17/02 09/24/02 10/08/02
6,463,461	10/08/02
6,496,849	12/17/02
6,505,233	01/07/03
6,507,865	01/14/03
6,523,063	02/18/03
6,691,153	02/10/04
6,871,216	03/22/05
7,130,885	10/31/06
	6,507,865 6,523,063 6,691,153 6,871,216

5

355157-000161

**RECORDED: 02/23/2016** 

**TRADEMARK REEL: 005737 FRAME: 0364**