# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM479481

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Cornellcockson, LLC		06/04/2018	Limited Liability Company: DELAWARE

### **RECEIVING PARTY DATA**

Name:	Capital One, National Association, as Administrative Agent	
Street Address:	275 Broad Hollow Road	
City:	Melville	
State/Country:	NEW YORK	
Postal Code:	11747	
Entity Type:	National Association: UNITED STATES	

#### **PROPERTY NUMBERS Total: 37**

Property Type	Number	Word Mark	
Registration Number:	1063724	C COOKSON	
Registration Number:	1142357	"FIREFLY"	
Registration Number:	2211383	SPECTRASHIELD	
Registration Number:	2289942	SMOKESHIELD	
Registration Number:	2323006	CORNELL IRON WORKS	
Registration Number:	2385528	MATADOOR	
Registration Number:	2497183	LITETOUCH	
Registration Number:	2635264	VISIONAIRE	
Registration Number:	2645754	THERMISER	
Registration Number:	2751685	SENTRYGATE	
Registration Number:	2863486	CROSSINGGARD	
Registration Number:	2907079	AXCESS	
Registration Number:	3137103	TRANZFORM	
Registration Number:	3855645	CORNELL	
Registration Number:	3990465	KONTROL	
Registration Number:	3996098	EXTREME	
Registration Number:	3996245	CYCLE-MASTER	
Registration Number:	4313071	WIND-MASTER	
Registration Number:	4672809	THERMISER MAX	
		TRADEMARK	

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Property Type	Number	Word Mark	
Registration Number:	4676408	TEMP-MASTER	
Registration Number:	4783544	TEMP-PRO	
Registration Number:	4845374	THE DOOR TO BUILDING EXCELLENCE	
Registration Number:	4876244	PREFERRED DOOR SOLUTIONS	
Registration Number:	4917888	INNOVATIVE DOOR SOLUTIONS	
Registration Number:	5000017	ALARMGARD	
Registration Number:	5048849	CORNELLCOOKSON	
Registration Number:	5104526	RAPIDRESPONSE	
Serial Number:	86444638	PROTECTPRO	
Registration Number:	5291850	APEX SMARTCONTROLLER	
Registration Number:	5402452	ATMOSHIELD	
Serial Number:	87068976	LOCK AND DROP	
Serial Number:	87397649	MICROCOIL	
Serial Number:	87397674	CONTROLGARD	
Registration Number:	5402453	SMOKESHIELD	
Serial Number:	87662292	COOKSON	
Serial Number:	87645584	STEELWEAVE	
Serial Number:	87850037	STORMDEFENDER	

#### **CORRESPONDENCE DATA**

**Fax Number:** 2024083141

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2024083141

**Email:** jean.paterson@cscglobal.com

Correspondent Name: CSC

Address Line 1: 1090 Vermont Avenue, NW Address Line 4: Washington, D.C. 20005

NAME OF SUBMITTER:	Jean Paterson	
SIGNATURE:	/jep/	
DATE SIGNED:	06/26/2018	

### **Total Attachments: 6**

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# GRANT OF SECURITY INTEREST IN TRADEMARK RIGHTS

THIS GRANT OF SECURITY INTEREST IN TRADEMARK RIGHTS ("Agreement"), effective as of June 4, 2018 is made by CORNELLCOOKSON, LLC, a Delaware limited liability company with an address at 712 Fifth Avenue, 18th Floor, New York, New York 10019 ("Grantor"), in favor of CAPITAL ONE, NATIONAL ASSOCIATION, as Administrative Agent (in such capacity, the "Administrative Agent") for the several banks and other financial institutions (the "Lenders") from time to time parties to the Credit Agreement, dated as of August 22, 2016, between the Administrative Agent, Griffon Corporation ("Griffon"), Wells Fargo Bank, N.A., as Directed Trustee of, and in such capacity acting for an on behalf of, the Trust established under the Griffon Corporation Employee Stock Ownership Plan and the lenders party thereto (the "Lenders") (as further amended, supplemented or otherwise modified from time to time, the "Credit Agreement").

## WITNESSETH:

WHEREAS, in connection with the Credit Agreement, Griffon and certain of its Affiliates (other than the Grantor) entered into the Security Agreement, dated as of August 22, 2016 (as further amended, supplemented or otherwise modified from time to time, the "Security Agreement"), in favor of the Administrative Agent for the benefit of the Lenders;

WHEREAS, the Credit Agreement requires the Grantor to become party to the Security Agreement and, pursuant to the Assumption Agreement, dated as of June 4, 2018, the Grantor became party to the Security Agreement as a Grantor thereunder, with the same force and effect as if originally named therein as a Grantor; and

WHEREAS, pursuant to the Security Agreement, the Grantor has pledged and granted to the Administrative Agent for the benefit of the Lenders, a continuing security interest in all Intellectual Property, including the Trademarks; and

WHEREAS, the Grantor has duly authorized the execution, delivery and performance of this Agreement;

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, and in order to induce the Lenders to continue to make extensions of credit to the Directed Trustee pursuant to the Credit Agreement, the Grantor agrees, for the benefit of the Administrative Agent and the Lenders, as follows:

SECTION 1. <u>Definitions</u>. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided or provided by reference in the Security Agreement.

SECTION 2. Grant of Security Interest. The Grantor hereby grants to the Administrative Agent, on behalf and for the benefit of the Lenders, and to secure the prompt and complete payment and performance of all Subsidiary Guarantor Obligations, a security interest

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in all of its right, title and interest in, to and under the Grantor's Trademarks (including, without limitation, those items listed on Schedule A hereto), provided, however, that for the purpose of this Agreement, "Trademarks" shall not include any intent-to-use United States trademark application for which an amendment to allege use or statement of use has not been filed under 15 U.S.C. § 1051(c) or 15 U.S.C. § 1051(d), respectively, or if filed, has not been deemed in conformance with 15 U.S.C. § 1051(a) or examined and accepted, respectively, by the U.S. Patent and Trademark Office, provided that upon such filing and acceptance, such intent-to-use application shall be included in the definition of Trademark.

SECTION 3. <u>Purpose</u>. This Agreement has been executed and delivered by the Grantor for the purpose of recording the grant of security interest herein with the United States Patent and Trademark Office. The security interest granted hereby has been granted to the Administrative Agent for the benefit of the Lenders in connection with the Security Agreement and is expressly subject to the terms and conditions thereof. The Security Agreement (and all rights and remedies of the Administrative Agent and the Lenders thereunder) shall remain in full force and effect in accordance with its terms.

SECTION 4. <u>Acknowledgment</u>. The Grantor does hereby further acknowledge and affirm that the rights and remedies of the Administrative Agent and the Lenders with respect to the security interest in the Trademarks granted hereby are more fully set forth in the Credit Agreement and the Security Agreement, the terms and provisions of which (including, without limitation, the remedies provided for therein) are incorporated by reference herein as if fully set forth herein. In the event of any conflict between the terms of this Agreement and the terms of the Security Agreement, the terms of the Security Agreement shall govern.

SECTION 5. <u>Counterparts</u>. This Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

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IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed and delivered by their respective officers thereunto duly authorized as of the day and year first above written.

CORNELL.COOKSON, LLC

Name: Seth L. Kaplan

Title: Vice President and Assistant Secretary

[Signature page to Trademark Security Agreement for ESOP Credit Facility]

CAPITAL ONE, NATIONAL ASSOCIATION, as Administrative Agent

By: \_\_\_ Name:

Title:

THUS GARREDO

VICE PRESSOENT

[Signature page to Trademark Security Agreement]

# SCHEDULE A

# U.S. Trademark Applications and Registrations

<u>Owner</u>	Trademarks	Application/Registration Number	Application/Registration Date
CornellCookson, LLC	C COOKSON (stylized)	73,093,870/ 1,063,724	April 19, 1977
CornellCookson, LLC	FIREFLY (stylized)	73,209,190/	Dec. 9, 1980
CornellCookson, LLC	ATLAS (stylized)	1,142,357 0609063/	Feb. 9, 1990
CornellCookson, LLC	ATLAS DOOR CO. LTD.	TMA365215 (Canada) 0644697/	May 10, 1991
CornellCookson, LLC	ATLAS ROLL-LITE	TMA384255 (Canada) 0721746/	Feb. 17, 1995
CornellCookson, LLC	SPECTRASHIELD	TMA439442 (Canada) 74,731,334/	
CornellCookson, LLC	SMOKESHIELD	2,211,383 75,448,812/	Dec. 15, 1998
CornellCookson, LLC	CORNELL IRON	2,289,942 75,460,390/	Nov. 2, 1999
ComellCookson, LLC	WORKS (stylized)  MATADOOR	2,323,006 75,814,423/	Feb. 29, 2000
CornellCookson, LLC	LITETOUCH	2,385,528 76,022,961/	Sept. 12, 2000
ComeliCookson, LLC		2,497,183 76,377,075/	Oct. 9, 2001
CornellCookson, LLC	VISIONAIRE	2,635,264 76,377,076/	Oct. 15, 2002
CornellCookson, LLC	THERMISER	2,645,754	Nov. 5, 2002
CornellCookson, LLC	SENTRYGATE	76,118,792/ 2,751,685	Aug. 19, 2003
	CROSSINGGARD	76,500,924/ 2,863,486	July 13, 2004
ComellCookson, LLC	AXCESS	76,500,923/ 2,907,079	Nov. 30, 2004
ComellCookson, LLC	TRANZFORM	78,561,624/ 3,137,103	Aug. 29, 2006
ComellCookson, LLC	CORNELL	77,810,344/ 3,855,645	Oct. 5, 2010
ComellCookson, LLC	KONTROL	77,628,580/ 3,990,465	July 15, 2011
ComellCookson, LLC	EXTREME	85,029,923/ 3,996,098	July 19, 2011
ComellCookson, LLC	CYCLE-MASTER	85,050,636/	July 19, 2011
CornellCookson, LLC	WIND-MASTER	3,996,245 85,704,710/	Apr 2, 2013
CornellCookson, LLC	Thermiser Max	4,313,071 86103252 / 4672809	Jan 13, 2015

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Owner	Trademarks	Application/Registration Number	Application/Registra tion Date
CornellCookson, LLC	Temp-Master	86103303 / 4676408	Jan 20, 2015
ComellCookson, LLC	Temp-Pro	86429763 / 4783544	July 28, 2015
CornellCookson, LLC	The Door to Building Excellence	86576952 / 4845374	Nov 3, 2015
CornellCookson, LLC	Preferred Door Solutions	86629154 / 4876244	Dec 22, 2015
ComellCookson, LLC	Innovative Door Solutions	86576972 / 4917888	Mar 15, 2016
CornellCookson, LLC	AlarmGard	86727559 / 5000017	July 12, 2016
ComeliCookson, LLC	CornellCookson	86906222 / 5048849	Sept 27, 2016
ComellCookson, LLC	RapidResponse	87026014 / 5104526	Dec 20, 2016
ComeliCookson, LLC	PROTECTPRO	86444638	Application date Nov. 4, 2014
CornellCookson, LLC	Apex SmartController	86872720 / 5291850	Sept. 19, 2017
ComellCookson, LLC	AtmoShield	87068945 / 5402452	Feb. 13, 2018
ComellCookson, LLC	E Lock and Drop	87068976	Application Date June 13, 2016
ComellCookson, LLC	MicroCoil	87397649	Application Date Apr 4, 2017
CornellCookson, LLC	ControlGard	87397674	Application Date Apr 4, 2017
ComellCookson, LLC	SmokeShield (Fabric)	87068985 / 5402453	Feb. 13, 2018
CornellCookson, LLC	Cookson	87662292	Application Date Oct. 27, 2017
ComellCookson, LLC	Steel Weave	87645584	Application Date Oct. 13, 2017
ComellCookson, LLC	StormDefender	87850037	Application Date March 26, 2018

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**RECORDED: 06/26/2018**