

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM509070

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	RELEASE OF SECURITY INTEREST		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Antares Capital LP, as Collateral Agent		02/01/2019	Limited Partnership: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Blu Giant, LLC		
<b>Street Address:</b>	17605 Wright Street		
<b>City:</b>	Omaha		
<b>State/Country:</b>	NEBRASKA		
<b>Postal Code:</b>	68130		
<b>Entity Type:</b>	Limited Liability Company: NEBRASKA		
<b>Name:</b>	Northern Lights Compliance Services, LLC		
<b>Street Address:</b>	17605 Wright Street		
<b>City:</b>	Omaha		
<b>State/Country:</b>	NEBRASKA		
<b>Postal Code:</b>	68130		
<b>Entity Type:</b>	Limited Liability Company: NEBRASKA		
<b>Name:</b>	Northstar Financial Services Group, LLC		
<b>Street Address:</b>	17605 Wright Street		
<b>City:</b>	Omaha		
<b>State/Country:</b>	NEBRASKA		
<b>Postal Code:</b>	68130		
<b>Entity Type:</b>	Limited Liability Company: DELAWARE		
<b>PROPERTY NUMBERS Total: 12</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	3296396	GEMCOM, LLC	
<b>Registration Number:</b>	4768332	NORTHERN LIGHTS COMPLIANCE SERVICES	
<b>Registration Number:</b>	3443491	GEMINI FUND SERVICES, LLC	
<b>Registration Number:</b>	4244958	GEMINI FUND SERVICES, LLC POOLED INVESTM	
<b>Registration Number:</b>	4776207	BLU GIANT	
<b>Registration Number:</b>	4776210	BLU GIANT	
<b>Registration Number:</b>	5180361	GEMINI COMPANIES	
<b>TRADEMARK</b>			

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Property Type	Number	Word Mark
Registration Number:	5180363	GEMINI ALTERNATIVE FUNDS
Registration Number:	5180364	GEMINI HEDGE FUND SERVICES
Registration Number:	5180366	GEMINI FUND SERVICES
Serial Number:	87721207	GEMINI
Serial Number:	87721357	GEMINI

**CORRESPONDENCE DATA**

Fax Number: 3125774565

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 312-577-8265

Email: kristin.brozovic@kattenlaw.com

Correspondent Name: Kristin Brozovic c/o Katten

Address Line 1: 525 W Monroe St

Address Line 4: Chicago, ILLINOIS 60661

ATTORNEY DOCKET NUMBER:	387132-485
NAME OF SUBMITTER:	Kristin Brozovic
SIGNATURE:	/Kristin Brozovic/
DATE SIGNED:	02/07/2019

**Total Attachments: 3**

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**RELEASE OF SECURITY INTEREST IN CERTAIN TRADEMARKS (FIRST LIEN)**

Dated: February 1, 2019

WHEREAS, pursuant to that certain First Lien Trademark Security Agreement dated as of May 25, 2018, made by NorthStar Financial Services Group, LLC, a Delaware series limited liability company (“**NFSG**”), Blu Giant, LLC, a Nebraska limited liability company (“**Blu**”), Northern Lights Compliance Services, LLC, a Nebraska limited liability company (“**NLCS**”; together with NFSG and Blu, the “**Specified Debtors**”), CLS Investments, LLC, a Nebraska limited liability company (“**CLS**”), F T J FundChoice, L.L.C., a Missouri limited liability company (“**FTJ**”) and Orion Advisor Services, LLC, a Nebraska limited liability company (“**Orion**”; together with the Specified Debtors, CLS and FTJ, the “**Debtors**”) in favor of ANTARES CAPITAL LP, as collateral agent (in such capacity, together with its successors and permitted assigns, the “**Collateral Agent**”), which was recorded with the United States Patent and Trademark Office with regard to certain trademarks of the Specified Debtors on August 22, 2018, at Reel 6420, Frame 0584, the Specified Debtors granted to Administrative Agent for the benefit of certain secured parties (the “**Secured Creditors**”) a security interest in and lien on all of the Specified Debtors’ interests in and to the Trademark Collateral (as such term is used in the First Lien Trademark Security Agreement) described therein, including without limitation, those trademarks and trademark applications described on the attached Schedule A, made a part hereof (collectively, the “**Specified Trademark Collateral**”);

WHEREAS, the Collateral Agent, on behalf of itself and the Secured Creditors, desires to release its security interest in, and lien and any other encumbrance on, the Specified Trademark Collateral, including the trademark registrations and applications listed on Schedule A, made a part hereof;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Collateral Agent, on behalf of itself and the Secured Creditors does hereby release its security interest in and lien on the Specified Trademark Collateral (including the trademarks registrations and applications listed on Schedule A) and reassigns to the Specified Debtors, without representation or warranty, express or implied, and without recourse, all right, title and interest of the Collateral Agent and the Secured Creditors, in and to the Specified Debtors’ interest in such Specified Trademark Collateral.

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**ANTARES CAPITAL LP**

By: Devasena Vallabhaneni  
Name: Devasena Vallabhaneni  
Title: Duly Authorized Signatory

**SCHEDULE A**  
**to**  
**RELEASE OF SECURITY INTEREST IN CERTAIN TRADEMARKS (FIRST LIEN)**

Mark	Registered Owner	Serial No	Filing Date	Registration No.	Registration Date
GEMCOM, LLC	Blu Giant, LLC	77037643	11/6/06	3296396	9/25/07
NORTHERN LIGHTS COMPLIANCE SERVICES	Northern Lights Compliance Services, LLC	86451713	11/12/14	4768332	7/7/15
GEMINI FUND SERVICES, LLC	Northstar Financial Services Group, LLC	77037554	11/6/06	3443491	6/10/08
GEMINI FUND SERVICES, LLC POOLED INVESTMENT SOLUTIONS	Northstar Financial Services Group, LLC	85556695	2/29/12	4244958	11/20/12
BLU GIANT	Northstar Financial Services Group, LLC	86451706	11/12/14	4776207	7/21/15
BLU GIANT	Northstar Financial Services Group, LLC	86451733	11/12/14	4776210	7/21/15
GEMINI COMPANIES	Northstar Financial Services Group, LLC	87143263	8/18/16	5180361	4/11/17
GEMINI ALTERNATIVE FUNDS	Northstar Financial Services Group, LLC	87143270	8/18/16	5180363	4/11/17
GEMINI HEDGE FUND SERVICES	Northstar Financial Services Group, LLC	87143276	8/18/16	5180364	1/24/17
GEMINI FUND SERVICES	Northstar Financial Services Group, LLC	87143282	8/18/16	5180366	4/11/17
GEMINI	Northstar Financial Services Group, LLC	87721207	12/14/17	N/A	N/A
GEMINI	Northstar Financial Services Group, LLC	87721357	12/14/17	N/A	N/A