

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM549481

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Kinestral Technologies, Inc.		11/08/2019	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Horizon Technology Finance Corporation		
Street Address:	312 Farmington Avenue		
City:	Farmington		
State/Country:	CONNECTICUT		
Postal Code:	06032		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 8			
Property Type	Number	Word Mark	
Serial Number:	87202922	HALIO	
Serial Number:	87979405	HALIO	
Serial Number:	87518158	HALIO	
Serial Number:	87979235	HALIO	
Serial Number:	88594897	HALIO ASPIRE	
Serial Number:	88594890	HALIO SPECTRUM	
Serial Number:	87510336	HALIOLIFE	
Serial Number:	88174546	LIFE NEEDS LIGHT	
CORRESPONDENCE DATA			
Fax Number:	8606768655		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	8602849878		
Email:	lucia@horizontechfinance.com		
Correspondent Name:	Horizon Technology Finance		
Address Line 1:	312 Farmington Avenue		
Address Line 2:	Attn: Legal Department		
Address Line 4:	Farmington, CONNECTICUT 06032		
NAME OF SUBMITTER:	Eric S. Darmafal		
SIGNATURE:	/s/Eric S. Darmofal		

OP \$215.00 87202922

DATE SIGNED:	11/14/2019
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Total Attachments: 6

- source=Grant of Security Interest Trademarks (11-8-19) (Kinestral)#page1.tif
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GRANT OF SECURITY INTEREST
TRADEMARKS

THIS GRANT OF SECURITY INTEREST, dated as of November 8, 2019, is executed by KINESTRAL TECHNOLOGIES, INC., a Delaware corporation with an address of 2955 Trust Way, Hayward, California 94545 (“Debtor”), in favor of HORIZON TECHNOLOGY FINANCE CORPORATION, a Delaware corporation with an address of 312 Farmington Avenue, Farmington, Connecticut 06032 (“Secured Party”).

A. Pursuant to a certain Venture Loan and Security Agreement, dated as of the date hereof (the “Agreement”) by and between Debtor and the Secured Party, the Secured Party has agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein;

B. Debtor owns the registered trademarks, service marks (and applications and registrations therefor), of the United States, more particularly described on Schedule 1 annexed hereto as part hereof (collectively, the “Trademarks”);

C. Pursuant to the Agreement, Debtor has granted to Secured Party a security interest in all right, title and interest of Debtor in and to the Trademarks, together with associated goodwill, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Trademarks (the “Collateral”), to secure the prompt payment, performance and observance of the Obligations (as defined in the Agreement);


NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Secured Party a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Party with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein. For the avoidance of doubt, this Grant of Security Interest shall be governed by, and construed and enforced in accordance with, the laws of the State of Connecticut.

[Signature page follows]

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

KINESTRAL TECHNOLOGIES, INC.

By: 
Name: Anna Brunelle
Title: Chief Financial Officer

[SIGNATURE PAGE TO GRANT OF SECURITY INTEREST – TRADEMARKS]

TRADEMARK
REEL: 006796 FRAME: 0303

SCHEDULE 1 TO GRANT OF SECURITY INTEREST

TRADEMARKS AND TRADEMARK APPLICATIONS

Country	Trademark	Status	Class(es)	App. No.	File Date
Brazil	HALIO	Registered	2	912582049	4/13/2017
Brazil	HALIO	Registered	9	912582103	4/13/2017
Brazil	HALIO	Registered	12	912584564	4/13/2017
Brazil	HALIO	Registered	19	912584629	4/13/2017
Brazil	HALIO	Registered	21	912584807	4/13/2017
Brazil	HALIO	Registered	37	912584882	4/13/2017
Brazil	HALIO	Registered	40	912585064	4/13/2017
Brazil	HALIO	Registered	42	912,585,110	4/13/2017
Canada	HALIO	Pending	02, 09, 12, 19, 21, 37, 40, 42	1832241	4/11/2017
Canada	LIFE NEEDS LIGHT	Pending	37, 42	1959795	4/29/2019
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	2	24171698	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	9	24171697	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	12	24171696	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	19	24171695	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	21	24171694	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	37	24171693	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	40	24171692	5/17/2017
China	BLACK, BEAUTIFUL AND SUPERIOR (in Chinese Characters)	Registered	42	24171691	5/17/2017
China	HALIO	Registered	2	22925411	2/24/2017
China	HALIO	Published	9	22925412	2/24/2017
China	HALIO	Published	12	22925413	2/24/2017
China	HALIO	Registered	19	22925414	2/24/2017
China	HALIO	Published	21	22925415	2/24/2017
China	HALIO	Registered	37	22925416	2/24/2017

Country	Trademark	Status	Class(es)	App. No.	File Date
China	HALIO	Published	40	22925417	2/24/2017
China	HALIO	Published	42	22925418	2/24/2017
China	HALIO (in Chinese Characters)	Registered	2	24,171,682	5/17/2017
China	HALIO (in Chinese Characters)	Registered	9	24171681	5/17/2017
China	HALIO (in Chinese Characters)	Registered	12	24171680	5/17/2017
China	HALIO (in Chinese Characters)	Registered	19	24171679	5/17/2017
China	HALIO (in Chinese Characters)	Registered	21	24171678	5/17/2017
China	HALIO (in Chinese Characters)	Registered	37	24171677	5/17/2017
China	HALIO (in Chinese Characters)	Registered	40	24171676	5/17/2017
China	HALIO (in Chinese Characters)	Registered	42	24171675	5/17/2017
China	HALIO (Stylized)	Registered	2	25228337	7/10/2017
China	HALIO (Stylized)	Registered	6	25228338	7/10/2017
China	HALIO (Stylized)	Registered	9	25228339	7/10/2017
China	HALIO (Stylized)	Registered	12	25228340	7/10/2017
China	HALIO (Stylized)	Registered	19	25228341	7/10/2017
China	HALIO (Stylized)	Registered	21	25228342	7/10/2017
China	HALIO (Stylized)	Registered	37	25228343	7/10/2017
China	HALIO (Stylized)	Registered	40	25228344	7/10/2017
China	HALIO (Stylized)	Registered	42	25228345	7/10/2017
China	KINESTRAL	Published	2	29312899	2/23/2018
China	KINESTRAL	Registered	6	29312897	2/23/2018
China	KINESTRAL	Registered	9	29312896	2/23/2018
China	KINESTRAL	Registered	12	29312895	2/23/2018
China	KINESTRAL	Pending	19	29312894	2/23/2018
China	KINESTRAL	Registered	21	29312893	2/23/2018
China	KINESTRAL	Registered	37	29312892	2/23/2018
China	KINESTRAL	Registered	40	29312891	2/23/2018
China	KINESTRAL	Registered	42	29312890	2/23/2018
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	2	24171690	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	9	24171689	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	12	24171688	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	19	24171687	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	21	24171686	5/17/2017

Country	Trademark	Status	Class(es)	App. No.	File Date
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	37	24171685	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	40	24171684	5/17/2017
China	SUPER, POWERFUL AND SUPERIOR (in Chinese Characters)	Registered	42	24171683	5/17/2017
European Union	HALIO	Registered	02, 09, 12, 19, 21, 37, 40, 42	16357584	2/14/2017
European Union	HALIO (Stylized)	Registered	01, 02, 06, 09, 12, 19, 21, 37, 40, 42	16958969	7/7/2017
European Union	HALIOBLK	Registered	01, 02, 06, 09, 12, 19, 21, 37, 40, 42	16941064	7/3/2017
European Union	HALIOLIFE	Registered	01, 02, 06, 09, 12, 19, 21, 37, 40, 42	16941007	7/3/2017
India	HALIO	Registered	02, 09, 12, 19, 21, 37, 40, 42	3525724	4/13/2017
Japan	HALIO	Pending	02, 09, 12, 19, 37, 40, 42	2017-35462	3/16/2017
Japan	HALIO (Stylized)	Pending	02, 06, 09, 12, 19, 21, 37, 40, 42	2017-92858	7/10/2017
Japan	HALIO in Katakana	Pending	02, 09, 12, 19, 37, 40, 42	2017-35463	3/16/2017
Korea, Republic of	HALIO	Pending	02, 12, 37, 40, 42	40-2017-0047717	4/13/2017
Korea, Republic of	HALIO	Pending	09, 19, 21	40-2019-0110333	7/16/2019
Korea, Republic of	HALIO (Stylized)	Pending	02, 06, 09, 12, 19, 21, 37, 40, 42	40-2017-0091532	7/20/2017
Korea, Republic of	HALIO (Stylized)	Pending	09, 19, 21	40-2019-0067264	4/30/2019
Mexico	HALIO	Pending	2	1876867	4/10/2017
Mexico	HALIO	Registered	9	1876868	4/10/2017
Mexico	HALIO	Registered	12	1876869	4/10/2017
Mexico	HALIO	Registered	19	1876870	4/10/2017
Mexico	HALIO	Registered	21	1876871	4/10/2017
Mexico	HALIO	Registered	37	1876872	4/10/2017

Country	Trademark	Status	Class(es)	App. No.	File Date
Mexico	HALIO	Registered	40	1876873	4/10/2017
Mexico	HALIO	Registered	42	Sep-38	4/10/2017
Singapore	HALIO	Pending	02, 09, 12, 19, 21, 37, 40, 42	40201706406Y	4/12/2017
Taiwan	HALIO	Pending	02, 09, 12, 19, 21, 37, 40, 42	106021363	4/13/2017
Taiwan	HALIO (Stylized)	Pending	02, 06, 09, 12, 19, 21, 37, 40, 42	106044119	7/18/2017
United Kingdom	HALIO	Registered	02, 09, 12, 19, 21, 37, 40, 42	UK00003224239	4/11/2017
US	HALIO	Allowed	02, 06, 12, 19, 21, 40	87/202,922	10/13/2016
US	HALIO	Registered	09, 37, 42	87/979,405	10/13/2016
US	HALIO (Stylized)	Allowed	02, 06, 12, 19, 21, 40	87/518,158	7/6/2017
US	HALIO (Stylized)	Registered	09, 37, 42	87/979,235	7/6/2017
US	HALIO ASPIRE	Pending	42	88/594,897	8/27/2019
US	HALIO SPECTRUM	Pending	42	88/594,890	8/27/2019
US	HALIOLIFE	Allowed	02, 06, 09, 12, 19, 21, 37, 40, 42	87/510,336	6/29/2017
US	LIFE NEEDS LIGHT	Pending	37, 42	88/174,546	10/30/2018

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