# CH \$465.00 403151

# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 ETAS ID: TM617845

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Natural Dog Acquisition LLC		12/31/2020	Limited Liability Company: DELAWARE

### **RECEIVING PARTY DATA**

Name:	Fidus Investment Corporation		
Street Address:	1603 ORRINGTON AVENUE, SUITE 1005		
City:	EVANSTON		
State/Country:	ILLINOIS		
Postal Code:	60201		
Entity Type:	Corporation: MARYLAND		

### **PROPERTY NUMBERS Total: 18**

Property Type	Number	Word Mark
Registration Number:	4031515	SNOUT SOOTHER
Registration Number:	4715387	SOOTHE.HEAL.WAG
Registration Number:	4774459	PAW SOOTHER
Registration Number:	4899597	BULLY BALM
Registration Number:	4910235	SKIN SOOTHER
Registration Number:	4947310	NATURAL DOG COMPANY
Registration Number:	4960967	WRINKLES NEVER LOOKED SO GOOD
Registration Number:	4992605	SNOUT SHINE
Registration Number:	4996576	YOUR DOG WILL THANK YOU
Registration Number:	5009926	WRINKLE BALM
Registration Number:	5377306	SOOTHE-PROTECT-HEAL
Registration Number:	5373770	PAWTECTION
Registration Number:	5380647	SPRUCE UP PUP
Registration Number:	5380679	BULLY GNAWER
Registration Number:	5380680	SOOTHE.MOISTURIZE.PROTECT
Registration Number:	5466549	NATURAL DOG COMPANY
Serial Number:	88244670	NATURAL DOG COMPANY
Serial Number:	90092559	PAW SOOTHER

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### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 7043778156

**Email:** jcarusone@robinsonbradshaw.com

Correspondent Name: Jennifer Carusone

Address Line 1: 101 N. Tryon St. Suite 1900

Address Line 2: c/o Robinson, Bradshaw & Hinson, P.A. Address Line 4: Charlotte, NORTH CAROLINA 28246

NAME OF SUBMITTER:	Jennifer Carusone
SIGNATURE:	/Jennifer Carusone/
DATE SIGNED:	12/31/2020

### **Total Attachments: 4**

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# GRANT OF SECURITY INTEREST IN PATENTS AND TRADEMARKS

December 31, 2020

WHEREAS, NATURAL DOG ACQUISITION LLC (the "Pledgor") is the owner of the trademark applications and registrations listed on Schedule A attached hereto, (all such trademarks, registrations and applications, collectively, the "Trademarks") and is the owner of the patents and patent applications listed on Schedule A attached hereto (all such patents, registrations and applications, collectively, the "Patents"); and

WHEREAS, the Pledgor has entered into a Pledge and Security Agreement (as amended, modified, restated or supplemented from time to time, the "Security Agreement"), dated as of December 31, 2020, in which the Pledgor has agreed with FIDUS INVESTMENT CORPORATION, as Collateral Agent (the "Collateral Agent"), with offices at 1603 Orrington Avenue, Suite 1005, Evanston, IL 60201, to execute this Grant;

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, as security for the payment and performance of the Secured Obligations (as defined in the Security Agreement), the Pledgor does hereby grant to the Collateral Agent a security interest in all of its right, title and interest in and to the Trademarks and the Patents, and the use thereof, together with all proceeds and products thereof and the goodwill of the businesses symbolized by the Trademarks. This Grant has been given in conjunction with the security interest granted to the Collateral Agent under the Security Agreement, and the provisions of this Grant are without prejudice to and in addition to the provisions of the Security Agreement, which are incorporated herein by this reference.

[Signature page follows]

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TRADEMARK
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## NATURAL DOG ACQUISITION LLC

Name: William D'Alessandro

Title: Chief Executive Officer and President

**REEL: 007135 FRAME: 0740** 

Schedule A
TRADEMARKS AND TRADEMARK APPLICATIONS

<u>Owner</u>	<u>Mark</u>	Application or Registration No.	<u>Country</u>	Issue or Filing Date
Natural Dog Acquisition LLC	SNOUT SOOTHER	4,031,515	United States	September 27, 2011
Natural Dog Acquisition LLC	SOOTHE.HEAL.WAG	4,715,387	United States	April 7, 2015
Natural Dog Acquisition LLC	PAW SOOTHER	4,774,459	United States	July 14, 2015
Natural Dog Acquisition LLC	BULLY BALM	4,899,597	United States	February 9, 2016
Natural Dog Acquisition LLC	SKIN SOOTHER	4,910,235	United States	March 1, 2016
Natural Dog Acquisition LLC	NATURAL DOG COMPANY & Design	4,947,310	United States	April 26, 2016
Natural Dog Acquisition LLC	WRINKLES NEVER LOOKED SO	4,960,967	United States	May 17, 2016
Natural Dog Acquisition LLC	SNOUT SHINE	4,992,605	United States	July 5, 2016
Natural Dog Acquisition LLC	YOUR DOG WILL THANK YOU	4,996,576	United States	July 12, 2016
Natural Dog Acquisition LLC	WRINKLE BALM	5,009,926	United States	July 26, 2016
Natural Dog Acquisition LLC	SOOTHE•PROTECT•HEAL	5,377,306	United States	January 9, 2018
Natural Dog Acquisition LLC	PAWTECTION	5,373,770	United States	January 9, 2018
Natural Dog Acquisition LLC	SPRUCE UP PUP	5,380,647	United States	January 16, 2018
Natural Dog Acquisition LLC	BULLY GNAWER	5,380,679	United States	January 16, 2018
Natural Dog Acquisition LLC	SOOTHE.MOISTURIZE.PROTECT	5,380,680	United States	January 16, 2018
Natural Dog Acquisition LLC	NATURAL DOG COMPANY	5,466,549	United States	May 8, 2018
Natural Dog Acquisition LLC	NATURAL DOG COMPANY	88/244,670	United States	December 28, 2018

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TRADEMARK REEL: 007135 FRAME: 0741 Natural Dog PAW SOOTHER

Acquisition LLC

United States August 4, 2020

### PATENTS AND PATENT APPLICATIONS

90/092,559

Application or Issue or Registration No. Owner Country Filing Date Natural Dog Acquisition LLC 9,415,082 **United States** August 16, 2016

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**TRADEMARK REEL: 007135 FRAME: 0742** 

**RECORDED: 12/31/2020**