

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM653115

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER
<b>EFFECTIVE DATE:</b>	12/28/2020

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
FIS Investment Systems LLC		12/28/2020	Limited Liability Company: DELAWARE

## RECEIVING PARTY DATA

<b>Name:</b>	FIS Capital Markets US LLC
<b>Street Address:</b>	601 Riverside Avenue
<b>City:</b>	Jacksonville
<b>State/Country:</b>	FLORIDA
<b>Postal Code:</b>	32204
<b>Entity Type:</b>	Limited Liability Company: DELAWARE

## PROPERTY NUMBERS Total: 12

Property Type	Number	Word Mark
Registration Number:	2876871	INVESTRAN
Registration Number:	2933653	MARGIN ADVISOR
Registration Number:	2987770	MARGIN ADVISOR
Registration Number:	2798973	INVESTRAN DATA EXCHANGE
Registration Number:	2933615	ARM ALLOCATION RULE- MANAGER
Registration Number:	2293438	INVESTRAN
Registration Number:	2175637	XAMIN
Registration Number:	2076343	INVESTIER
Registration Number:	1581262	INVEST ONE
Registration Number:	5290440	BASEVENTURE
Registration Number:	4948253	DIGITAL FUND MANAGEMENT
Registration Number:	5088683	FUND MANAGER.IO

## CORRESPONDENCE DATA

Fax Number: 2023545232

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 2028083570

Email: docketing@kelly-ip.com

TRADEMARK

**Correspondent Name:** David M. Kelly and Lynn M. Jordan  
**Address Line 1:** Kelly IP, LLP  
**Address Line 2:** 1300 19th Street, N.W., Suite 300  
**Address Line 4:** Washington, D.C. 20036

**ATTORNEY DOCKET NUMBER:** 120.1645

**NAME OF SUBMITTER:** David M. Kelly

**SIGNATURE:** /David M. Kelly/

**DATE SIGNED:** 06/10/2021

**Total Attachments: 3**

source=Merger of FIS Investment Systems LLC into FIS Capital Markets US LLC on December 28, 2020  
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# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"FIS INVESTMENT SYSTEMS LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "FIS CAPITAL MARKETS US LLC" UNDER THE NAME OF "FIS CAPITAL MARKETS US LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF DECEMBER, A.D. 2020, AT 9:43 O`CLOCK A.M.



  
Jeffrey W. Bullock, Secretary of State

940229 8100M  
SR# 20208762591

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202203838  
Date: 01-04-21

**TRADEMARK**  
**REEL: 007323 FRAME: 0110**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 09:38 AM 12/28/2020  
FILED 09:43 AM 12/28/2020  
SR 20208762591 - File Number 940229

**CERTIFICATE OF MERGER  
OF  
FIS INVESTMENT SYSTEMS LLC**

**(a Delaware limited liability company)**

**WITH AND INTO  
FIS CAPITAL MARKETS US LLC**

**(a Delaware limited liability company)  
(the "Merger")**

Pursuant to Section 18-209 of the Delaware Limited Liability Company Act (the "DLLCA"), the undersigned certifies as follows:

**FIRST:** The name, state of domicile, jurisdiction of formation or organization and type of entity of each of the constituent limited liability companies are as follows: (i) FIS Investment Systems LLC, a Delaware limited liability company, and (ii) FIS Capital Markets US LLC, a Delaware limited liability company.

**SECOND:** An Agreement and Plan of Merger (the "Merger Agreement") has been approved, adopted, certified, executed and acknowledged by each of the constituent limited liability companies in accordance with the requirements of Section 18-209 of the DLLCA.

**THIRD:** FIS Capital Markets US LLC shall be the surviving limited liability company after the Merger (the "Surviving Company"). The name of the Surviving Company shall be FIS Capital Markets US LLC.

**FOURTH:** The limited liability company agreement of FIS Capital Markets US LLC will be the limited liability company agreement of the Surviving Company, until such time as it may be amended, modified or otherwise changed in accordance with applicable law.

**FIFTH:** The Merger will become effective on December 28, 2020.

**SIXTH:** The executed Merger Agreement is on file at the principal place of business and office of the Surviving Company, the address of which is 601 Riverside Ave., Jacksonville, FL 32204.

**SEVENTH:** A copy of the Merger Agreement will be furnished by the Surviving Company, on request and without cost, to any member of the constituent limited liability companies to the Merger.

IN WITNESS WHEREOF, the Surviving Company has caused this Certificate of Merger to be executed in its name as of this 28<sup>th</sup> day of December 2020.

**FIS CAPITAL MARKETS US LLC**

By: DocuSigned by:  
*Marc Mayo*  
8662FE4EAC8D4C2  
Name: Marc M. Mayo  
Title: Corporate Executive Vice President  
and Chief Legal Officer