

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM691373

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Legend Group Holdings, LLC		11/30/2021	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Wells Fargo Bank, National Association		
Street Address:	300 Barr Harbor Drive, Suite 850		
City:	Conshohocken		
State/Country:	PENNSYLVANIA		
Postal Code:	19428		
Entity Type:	National Banking Association: UNITED STATES		
PROPERTY NUMBERS Total: 8			
Property Type	Number	Word Mark	
Registration Number:	5163122	STRATEGIC ASSET REBALANCING SOLUTION	
Registration Number:	5423956	ADAPTIVE INTELLIGENCE MODELS	
Registration Number:	5006067	ADAPTIVE INTELLIGENCE PORTFOLIOS	
Registration Number:	3760971	STRATEGIC ASSET MANAGEMENT	
Registration Number:	3211043	NEURALVISION	
Registration Number:	3480600	THE LEGEND GROUP	
Registration Number:	2633966	INVESTING WITH INTELLIGENCE	
Registration Number:	2562204	FREEMARK LIBERTY SERIES	
CORRESPONDENCE DATA			
Fax Number:	7043393470		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	7043778170		
Email:	ecampbell@robinsonbradshaw.com		
Correspondent Name:	Elizabeth Campbell		
Address Line 1:	Robinson, Bradshaw & Hinson, P.A		
Address Line 2:	101 N. Tryon St., Suite 1900		
Address Line 4:	Charlotte, NORTH CAROLINA 28246		
NAME OF SUBMITTER:	Elizabeth Campbell		

OP \$215.00 5163122

SIGNATURE:	/s/ Elizabeth Campbell
DATE SIGNED:	11/30/2021
Total Attachments: 3 source=Wells Fargo - Lincoln - Legend Group Trademark Security Agreement-EXECUTED#page1.tif source=Wells Fargo - Lincoln - Legend Group Trademark Security Agreement-EXECUTED#page2.tif source=Wells Fargo - Lincoln - Legend Group Trademark Security Agreement-EXECUTED#page3.tif	

**GRANT OF SECURITY INTEREST
IN TRADEMARKS**

WHEREAS, LEGEND GROUP HOLDINGS, LLC (the "Pledgor") is the owner of the trademark applications and registrations listed on Schedule A attached hereto (all such trademarks, registrations and applications, collectively, the "Trademarks"); and

WHEREAS, the Pledgor has entered into a Pledge and Security Agreement (as amended, modified, restated or supplemented from time to time, the "Security Agreement"), dated as of November 30, 2021, in which the Pledgor has agreed with Wells Fargo Bank, National Association, as Lender (the "Lender"), with offices at 300 Barr Harbor Drive, Suite 850, Conshohocken, PA 19428 to execute this Grant;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, as security for the payment and performance of the Secured Obligations (as defined in the Security Agreement), the Pledgor does hereby grant to the Lender a security interest in all of its right, title and interest in and to the Trademarks, and the use thereof, together with all proceeds and products thereof and the goodwill of the businesses (other than the business of the Excluded Subsidiary) symbolized by the Trademarks. This Grant has been given in conjunction with the security interest granted to the Lender under the Security Agreement, and the provisions of this Grant are without prejudice to and in addition to the provisions of the Security Agreement, which are incorporated herein by this reference.

[Signature page follows]

LEGEND GROUP HOLDINGS, LLC

By: *Diane McCarthy*
Name: Diane McCarthy
Title: Chief Financial Officer

Schedule A

TRADEMARKS AND TRADEMARK APPLICATIONS

Owner	Mark	Application Number and Registration Number	Application Date and Registration Date
LEGEND GROUP HOLDINGS, LLC	STRATEGIC ASSET REBALANCING SOLUTION STRATEGIC ASSET REBALANCING SOLUTION	App 86944404 Reg 5163122	App 17-MAR-2016 Reg 14-MAR-2017
LEGEND GROUP HOLDINGS, LLC	ADAPTIVE INTELLIGENCE MODELS ADAPTIVE INTELLIGENCE MODELS	App 86916987 Reg 5423956	App 23-FEB-2016 Reg 13-MAR-2018
LEGEND GROUP HOLDINGS, LLC	ADAPTIVE INTELLIGENCE PORTFOLIOS ADAPTIVE INTELLIGENCE PORTFOLIOS	App 86685311 Reg 5006067	App 07-JUL-2015 Reg 26-JUL-2016
LEGEND GROUP HOLDINGS, LLC	STRATEGIC ASSET MANAGEMENT Strategic Asset Management	App 77794599 Reg 3760971	App 31-JUL-2009 Reg 16-MAR-2010
LEGEND GROUP HOLDINGS, LLC	NEURALVISION Cross References: NEURAL VISION neuralvision	App 78856502 Reg 3211043	App 07-APR-2006 Reg 20-FEB-2007
LEGEND GROUP HOLDINGS, LLC	THE LEGEND GROUP The Legend Group	App 78607443 Reg 3480600	App 12-APR-2005 Reg 05-AUG-2008
LEGEND GROUP HOLDINGS, LLC	INVESTING WITH INTELLIGENCE	App 76025490 Reg 2633966	App 14-APR-2000 Reg 15-OCT-2002
LEGEND GROUP HOLDINGS, LLC	FREEMARK LIBERTY SERIES Cross References: FREE MARK LIBERTY SERIES	App 75712649 Reg 2562204	App 27-APR-1999 Reg 16-APR-2002