

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM741188

<b>SUBMISSION TYPE:</b>	RESUBMISSION
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST
<b>RESUBMIT DOCUMENT ID:</b>	900698748

## CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Aerobiotix, LLC		03/09/2022	Limited Liability Company:

## RECEIVING PARTY DATA

<b>Name:</b>	HORIZON TECHNOLOGY FINANCE CORPORATION
<b>Street Address:</b>	312 Farmington Avenue
<b>City:</b>	Farmington
<b>State/Country:</b>	UNITED STATES
<b>Postal Code:</b>	06032
<b>Entity Type:</b>	Corporation: DELAWARE

## PROPERTY NUMBERS Total: 12

Property Type	Number	Word Mark
Registration Number:	4902221	AEROBIOTIX
Registration Number:	5120744	AEROCURE
Registration Number:	5299856	A
Registration Number:	5470720	GERMZONE
Registration Number:	6289620	GERMVAC
Registration Number:	5470723	ULTRAVAIR
Registration Number:	5686931	HUAIRS
Registration Number:	5396472	ILLUVIA
Registration Number:	5889283	A AEROBIOTIX BETTER AIR FOR HEALTHCARE
Serial Number:	88369637	AEROSURGERY
Serial Number:	88369663	AEROSURGEON
Serial Number:	88369767	DIRTY DONUT

## CORRESPONDENCE DATA

Fax Number: 8606768655

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 8602846434

Email: LENA@HORIZONTECHFINANCE.COM

**Correspondent Name:** Horizon Technology Finance  
**Address Line 1:** 312 Farmington Avenue  
**Address Line 4:** Farmington, CONNECTICUT 06032

**NAME OF SUBMITTER:** Eric S. Darmofal

**SIGNATURE:** /s/ Eric S. Darmofal

**DATE SIGNED:** 07/14/2022

**Total Attachments: 4**

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source=5 Grant of Security Interest Trademarks (3-9-22) (Aerobiotix)#page4.tif

GRANT OF SECURITY INTEREST  
TRADEMARKS

THIS GRANT OF SECURITY INTEREST, dated as of March 9, 2022, is executed by AEROBIOTIX, LLC, a Delaware limited liability company with an address of 444 Alexandersville Road, Miamisburg, OH 45342 ("Debtor"), in favor of HORIZON TECHNOLOGY FINANCE CORPORATION, a Delaware corporation with an address of 312 Farmington Avenue, Farmington, Connecticut 06032 ("Secured Party").

A. Pursuant to a certain Venture Loan and Security Agreement, dated as of the date hereof (the "Agreement") by and between Debtor and the Secured Party, the Secured Party has agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein;

B. Debtor owns the registered trademarks, service marks (and applications and registrations therefor), of the United States, more particularly described on Schedules I-A and I-B annexed hereto as part hereof (collectively, the "Trademarks");

C. Pursuant to the Agreement, Debtor has granted to Secured Party a security interest in all right, title and interest of Debtor in and to the Trademarks, together with associated goodwill, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Trademarks (the "Collateral"), to secure the prompt payment, performance and observance of the Obligations (as defined in the Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Secured Party a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Party with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

AEROBIOTIX, LLC

By: Michael L Schmitz  
Name: Michael L Schmitz  
Title: CEO

Docket	Country	Mark Mark LN 2 Registrant	Serial Number Filed	Reg. Number Renewal Num.	Issued Renewed	Ren. Due Next Ren Date	REN Code
						Aff. Due	Aff Code
AER 032 T2 A-Allowed	US	AEROSURGERY  Aerobiotix, Inc.	88369637 4/3/2019				
AER 033 T2 A-Allowed	US	AEROSURGEON  Aerobiotix, Inc.	88369663 4/3/2019				
AER 034 T2 A-Allowed	US	DIRTY DONUT  Aerobiotix, Inc.	88369767 4/3/2019				

Docket	Country	Mark Mark LN 2 Registrant	Serial Number Filed	Reg. Number Renewal Num.	Issued Renewed	Ren. Due	REN Code
						Next Ren Date	
						Aff. Due	Aff Code
AER 002 T2 H	US	AEROBIOTIX  Aerobiotix, Inc.	85/866,897 3/5/2013	4,902,221	2/16/2016	2/16/2026 2/16/2036	REN REN
AER 002 T2 H	China	AEROBIOTIX  Aerobiotix, Inc.	20578174 7/8/2016	20578174	8/28/2017	8/27/2027	REN
AER 003 T2 H	US	AEROCURE  Aerobiotix, Inc.	86/793,607 10/20/2015	5,120,744	1/10/2017	1/10/2027 1/10/2023	REN AFF
AER 003 T2 H	China	AEROCURE  Aerobiotix, Inc.	20578172 7/8/2016	20578172	8/28/2017	8/27/2027	REN
AER 004 T2 H	China	AEROBIOTIX IN CHINESE CHARACTERS  Aerobiotix, Inc.	20578171 7/8/2016	20578171	8/28/2017	8/27/2027	REN
AER 005 T2 H	China	AEROBIOTIX & design  Aerobiotix, Inc.	20578170 7/8/2016	20578170	8/28/2017	8/27/2027	REN
AER 006 T2 H	US	A & design  Aerobiotix, Inc.	87/323,970 2/3/2017	5299856	10/3/2017	10/3/2027 10/3/2023	REN AFF
AER 006 T2 H	China	A & design  Aerobiotix, Inc.	20578173 7/8/2016	20578173	10/21/2017	10/20/2027	REN
AER 010 T2 H	US	GERMZONE  Aerobiotix, Inc.	87/335,287 2/14/2017	5470720	5/15/2018	5/15/2028 5/15/2024	REN AFF
AER 011 T2 H	US	GERMVAC  Aerobiotix, Inc.	87/335,445 2/14/2017	6289620	3/9/2021	3/9/2031 3/9/2027	REN AFF
AER 012 T2 H	US	ULTRAVAIR  Aerobiotix, Inc.	87/335,561 2/14/2017	5470723	5/15/2018	5/15/2028 5/15/2024	REN AFF
AER 025 T2 H	US	HUAIRES  AEROBIOTIX, INC.	87531845 07/18/2017	5686931	2/26/2019	2/26/2029 2/26/2025	REN AFF

Registered Trademarks and Service Marks  
In the Name of Aerobiotix, Inc.

Docket	Country	Mark Mark LN 2 Registrant	Serial Number Filed	Reg. Number Renewal Num.	Issued Renewed	Ren. Due	REN Code
						Next Ren Date	
						Aff. Due	Aff Code
AER 026 T2 H	US	ILLUVIA	87552602 8/2/2017	5396472	2/6/2018	2/6/2028	REN
						2/6/2024	AFF
AER 035 T2 H	US	BETTER AIR FOR HEALTHCARE & Design Aerobiotix, Inc.	88326150 3/5/2019	5889283	10/22/2019	10/22/2029	REN
						10/22/2025	AFF