

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM794258

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Altium Management Company, LLC		04/29/2022	Limited Liability Company: DELAWARE
RECEIVING PARTY DATA			
Name:	Altium Opco, LLC		
Street Address:	2500 Westchester Avenue, Suite 210		
City:	Purchase		
State/Country:	NEW YORK		
Postal Code:	10577		
Entity Type:	Limited Liability Company: DELAWARE		
PROPERTY NUMBERS Total: 10			
Property Type	Number	Word Mark	
Registration Number:	4807563	ALTIUM ALLIANCE	
Registration Number:	6051949	ALTIUM WEALTH MANAGEMENT	
Registration Number:	4807560	ALTIUM ALLIANCE	
Registration Number:	6051899		
Registration Number:	6051904	ALTIUM	
Registration Number:	6051900	ALTIUM	
Registration Number:	6051905	YOUR WEALTH. OUR WISDOM. ONE PURPOSE.	
Registration Number:	6051906	ALTIUM WEALTH	
Registration Number:	5793115	ALTELLIGENCE	
Registration Number:	4639953	ALTIUM WEALTH MANAGEMENT	
CORRESPONDENCE DATA			
Fax Number:	3124740448		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	312-474-6300		
Email:	cnoble@marshallip.com		
Correspondent Name:	Marshall, Gerstein & Borun LLP		
Address Line 1:	233 S. Wacker Drive, Suite 6300		
Address Line 4:	Chicago, ILLINOIS 60606		

OP \$265.00 4807563

ATTORNEY DOCKET NUMBER:	32222/60149
NAME OF SUBMITTER:	Richard M. LaBarge
SIGNATURE:	/rmlabarge/
DATE SIGNED:	03/14/2023

Total Attachments: 4

source=13 - Trademark Assignment (to OpCo) (Executed)#page1.tif

source=13 - Trademark Assignment (to OpCo) (Executed)#page2.tif

source=13 - Trademark Assignment (to OpCo) (Executed)#page3.tif

source=13 - Trademark Assignment (to OpCo) (Executed)#page4.tif

TRADEMARK ASSIGNMENT

This **TRADEMARK ASSIGNMENT**, dated as of April 29, 2022 (as hereinafter amended, modified or supplemented, this “**Assignment**”), is entered into by and between Altium Management Company, LLC, a Delaware limited liability company (“**Assignor**”), and Altium Opco, LLC, a Delaware limited liability company (“**Assignee**”) (each a “**Party**” and together the “**Parties**”).

RECITALS

WHEREAS, Assignor desires to transfer to Assignee, and Assignee desires to receive from Assignor, ownership of certain trademarks identified below, including all rights therein and goodwill associated therewith;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

AGREEMENT

1. **Assignment.** Assignor hereby sells, conveys, assigns, transfers, and delivers to Assignee, free and clear of all liens, all right, title, and interest in, to, and under the trademarks listed on **Schedule I** hereto, together with the goodwill that is symbolized by such trademark, including but not limited to renewal rights therein, the right to obtain registrations of such trademarks throughout the world, all rights to causes of action and remedies related to such trademarks including, without limitation the right to sue, obtain relief and recover any and all damages and profits, and any and all other remedies, for past, present, or future infringements or violations thereof, all in Assignee’s sole name (the “**Assigned Trademarks**”).
2. **Further Acts.** The Parties hereto agree, without further consideration, to take or cause to be taken all actions and to do, or cause to be done, all things necessary, proper, or advisable in the view of the other Party to consummate and make effective the assignment contemplated herein; including without limitation the execution of such documents, the filing of such instruments, including the U.S. Patent and Trademark Office, and the taking of any such other actions as may be necessary or appropriate to vest all right, title, and interest in and to all of the Assigned Trademark in Assignee or its assignee, and to consolidate, confirm, and record all aspects thereof.
3. **Successors and Assigns.** This Assignment shall be binding on and inure to the benefit of the Parties’ respective successors and assigns.
4. **Severability.** In the event that any one or more of the provisions contained in this Assignment shall be invalid, illegal, or unenforceable in any respect for any reason, the validity, legality, and enforceability of any such provision in every other respect and of the remaining provisions of this Assignment shall not be in any way impaired.
5. **Governing Law.** This Assignment shall be governed, construed and enforced in accordance with the laws of the State of Delaware.
6. **Counterparts.** Any photocopy, .PDF transmission or other electronic copy of this Assignment shall be treated for all purposes as though it were an executed original. This Assignment may be executed in several counterparts, each of which shall be deemed an original and all of which shall together constitute one and the same instrument. The reproduction of signatures by means of electronic transmission shall be treated as though such reproductions are executed originals.

IN WITNESS WHEREOF, this Assignment has been duly executed by the Parties hereto on the day and year first above written.

Altium Management Company, LLC

DocuSigned by:
By James Dowling
Name: James J. Dowling
Title: Managing Director

Altium Opco, LLC

By: HighTower Holding, LLC
Its: Sole Member

By: _____
Name: Scot Kees
Title: Chief Administrative Officer

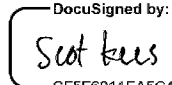
IN WITNESS WHEREOF, this Assignment has been duly executed by the Parties hereto on the day and year first above written.

Altium Management Company, LLC

By: _____
Name: James J. Dowling
Title: Managing Director

Altium Opco, LLC

By: HighTower Holding, LLC
Its: Sole Member

By:  _____
Name: Scot Kees
Title: Chief Administrative Officer

SCHEDULE I

Registered Owner: Altium Management Company, LLC

Registered Trademarks:

MARK: ALTIUM ALLIANCE (STYLIZED) – U.S. Reg. No. 4,807,563 (registered Sept. 8, 2015)

MARK: ALTIUM WEALTH MANAGEMENT (STANDARD MARK) - U.S. Reg. No. 6,051,949 (registered May 12, 2020)

MARK: ALTIUM ALLIANCE (STANDARD MARK) - U.S. Reg. No. 4,807,560 (registered Sept. 8, 2015)

MARK: TREE (STYLIZED) - U.S. Reg. No. 6,051,899 (registered May 12, 2020)

MARK: ALTIUM (STANDARD MARK) - U.S. Reg. No. 6,051,904 (registered May 12, 2020)

MARK: ALTIUM (STYLIZED) - U.S. Reg. No. 6,051,900 (registered May 12, 2020)

Unregistered Trademarks:

MARK: YOUR WEALTH. OUR WISDOM. ONE PURPOSE. (~~UNREGISTERED~~) - U.S. Reg. No. 6,051,905

MARK: ALTIUM WEALTH (~~UNREGISTERED~~) - U.S. Reg. No. 6,051,906

MARK: ALTELLIGENCE (~~UNREGISTERED~~) - U.S. Reg. No. 5,793,115

MARK: ALTIUM & TREE DESIGN (~~UNREGISTERED~~) - U.S. Reg. No. 4,639,953