

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM843169

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
Advisor Group, Inc.		06/21/2023	Corporation: MARYLAND
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	Osaic, Inc.		
<b>Street Address:</b>	20 E. Thomas Rd., Suite 2000		
<b>City:</b>	Phoenix		
<b>State/Country:</b>	ARIZONA		
<b>Postal Code:</b>	85012		
<b>Entity Type:</b>	Corporation: MARYLAND		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Serial Number:</b>	76355578	VISION2020 ADVISOR	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>			
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Email:</b>	trademarks@dechert.com		
<b>Correspondent Name:</b>	Dechert LLP		
<b>Address Line 1:</b>	2929 Arch Street, Cira Centre		
<b>Address Line 4:</b>	Philadelphia, PENNSYLVANIA 19104-2808		
<b>ATTORNEY DOCKET NUMBER:</b>	149586		
<b>NAME OF SUBMITTER:</b>	Hilary Smoot		
<b>SIGNATURE:</b>	/Hilary Smoot/		
<b>DATE SIGNED:</b>	10/02/2023		
<b>Total Attachments: 3</b>			
source=Osaic Inc. - MD - Name Change Filed Document#page1.tif			
source=Osaic Inc. - MD - Name Change Filed Document#page2.tif			
source=Osaic Inc. - MD - Name Change Filed Document#page3.tif			

CH \$40.00 76355578

# CORPORATE CHARTER APPROVAL SHEET

**\*\* KEEP WITH DOCUMENT \*\***

DOCUMENT CODE 09A BUSINESS CODE 03

# 002686962

Close \_\_\_\_\_ Stock \_\_\_\_\_ Nonstock \_\_\_\_\_

P.A. \_\_\_\_\_ Religious \_\_\_\_\_

Merging/Converting \_\_\_\_\_

Surviving/Resulting \_\_\_\_\_



ID # D02686962 ACK # 1000362014082764  
PAGES: 0003  
OSAIC, INC.

06/21/2023 AT 10:58 A WO # 0005165708

New Name Osaic, Inc.

### FEES REMITTED

Base Fee: 100  
Org. & Cap. Fee: \_\_\_\_\_  
Expedite Fee: 445  
Penalty: \_\_\_\_\_  
State Recordation Tax: \_\_\_\_\_  
State Transfer Tax: \_\_\_\_\_  
1 Certified Copies \_\_\_\_\_  
Copy Fee: 23  
Certificates \_\_\_\_\_  
Certificate of Status Fee: \_\_\_\_\_  
Personal Property Filings: \_\_\_\_\_  
NP Fund: \_\_\_\_\_  
Other: \_\_\_\_\_

TOTAL FEES: 568

Credit Card \_\_\_\_\_ Check \_\_\_\_\_ Cash \_\_\_\_\_

\_\_\_\_\_ Documents on \_\_\_\_\_ Checks

Approved By: 19

Keyed By: \_\_\_\_\_

COMMENT(S):

**CERTIFIED  
COPY MADE**

1 Change of Name  
Change of Principal Office  
Change of Resident Agent  
Change of Resident Agent Address  
Resignation of Resident Agent  
Designation of Resident Agent  
and Resident Agent's Address  
Change of Business Code  
Adoption of Assumed Name  
Other Change(s)

Code 049

Attention: \_\_\_\_\_

Mail: Names and Address

CSC-LAWYERS INCORPORATING SERVICE  
COMPANY  
7 ST. PAUL STREET  
SUITE 820  
BALTIMORE MD 21202

CUST ID: 0003949133  
WORK ORDER: 0005165708  
DATE: 06-21-2023 10:58 AM  
AMT. PAID: \$568.00

**ARTICLES OF AMENDMENT TO THE ARTICLES OF INCORPORATION  
OF  
ADVISOR GROUP, INC.**

**June 21, 2023**

THIS IS TO CERTIFY THAT:

FIRST: The charter of Advisor Group, Inc., a Maryland corporation (the "Corporation"), certifies to the State Department of Assessments and Taxation of Maryland that the charter of the Corporation shall be hereby amended by striking out Article First in its entirety and inserting in lieu thereof the following:

FIRST: The name of the corporation (which is hereinafter called the "Corporation") is:

"Osaic, Inc."


SECOND: The amendment of the charter of the Corporation as herein above set forth has been duly advised and approved by the Board of Directors and sole stockholder.

[signature on following page]

IN WITNESS WHEREOF, the Corporation has caused these Articles of Amendment to be signed in its name and on its behalf on this 21st day of June, 2023.

ATTEST:

ADVISOR GROUP, INC.

By: 

Name: Abby Henig

Title: Assistant Secretary

By: 

Name: Jamie Price

Title: President, CEO

CUST ID:0003949133  
WORK ORDER:0005165708  
DATE:06-21-2023 10:58 AM  
AMT. PAID:\$568.00

[Signature page to Amendment Articles of Incorporation]