# TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

Assignment ID: TMI64483

SUBMISSION TYPE:	NEW ASSIGNMENT
------------------	----------------

**NATURE OF CONVEYANCE:** NOTICE OF GRANT OF SECURITY INTEREST IN TRADEMARKS

## **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
FCSTONE GROUP, INC.		03/01/2024	Corporation: DELAWARE

# **RECEIVING PARTY DATA**

Company Name:	The Bank of New York Mellon, as Collateral Agent		
Street Address:	240 Greenwich Street		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10286		
Entity Type:	Chartered Bank: NEW YORK		

# **PROPERTY NUMBERS Total: 1**

Property Type	Number	Word Mark
Registration Number:	3708476	IRMP

## CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 8004945225

Email: ipteam@cogencyglobal.com

Correspondent Name: Stewart Walsh

Address Line 1: 1025 Connecticut Ave NW, Suite 712

Address Line 2: Cogency Global Inc.

Address Line 4: Washington, DISTRICT OF COLUMBIA 20036

ATTORNEY DOCKET NUMBER:	2280900 TM FC
NAME OF SUBMITTER:	Andrew Hackett
SIGNATURE:	Andrew Hackett
DATE SIGNED:	03/01/2024

### **Total Attachments: 3**

source=FOR FILING Notice of Grant of Security Interest in Trademarks - FCStone Group Inc. [Executed]#page1.tif source=FOR FILING Notice of Grant of Security Interest in Trademarks - FCStone Group Inc. [Executed]#page2.tif source=FOR FILING Notice of Grant of Security Interest in Trademarks - FCStone Group Inc. [Executed]#page3.tif

> TRADEMARK REEL: 008359 FRAME: 0227

Notwithstanding anything herein to the contrary, the lien and security interest granted to The Bank of New York Mellon, in its capacity as collateral agent (in such capacity, the "Collateral Agent"), pursuant to the Security and Pledge Agreement, dated as of March 1, 2024 (as the same may be amended, supplemented, modified or replaced from time to time, the "Security Agreement"), by and among StoneX Group Inc. (formerly known as INTL FCStone Inc.) (the "Company"), the subsidiaries of the Company from time to time party thereto as Obligors and the Collateral Agent and the exercise of any right or remedy by the Collateral Agent under the Security Agreement are subject to the provisions of the Intercreditor Agreement, dated as of March 1, 2024 (as the same may be amended, supplemented, modified or replaced from time to time, the "Intercreditor Agreement"), by and among Bank of America, N.A., as First Lien Agent, The Bank of New York Mellon, as Second Lien Agent, Bank of America, N.A., as Control Agent, the Company and the Guarantors (as defined therein) from time to time party thereto. In the event of any conflict between the terms of the Intercreditor Agreement and the Security Agreement, the terms of the Intercreditor Agreement shall govern.

NOTICE

OF

GRANT OF SECURITY INTEREST

IN

#### **TRADEMARKS**

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security and Pledge Agreement, dated as of March 1, 2024 (as the same may be amended, supplemented, modified or replaced from time to time, the "Agreement"), by and among the Company, the other Obligors party thereto (each, an "Obligor" and, collectively, the "Obligors") and The Bank of New York Mellon, as collateral agent (the "Collateral Agent") for the holders of the Secured Obligations referenced therein, the undersigned Obligor has granted a continuing security interest in and continuing lien upon the trademarks and trademark applications shown below to the Collateral Agent for the ratable benefit of the holders of the Secured Obligations:

## **TRADEMARKS**

Description of Trademark Item	Trademark Registration No.	Trademark Serial Number	Date of Trademark Registration	Filing Date
IRMP	3708476	77717720	11/10/2009	4/20/2009

TRADEMARK APPLICATIONS

Description of Trademark Applications No.

Trademark Applied for

Date of Trademark Applications

\* \* \* \* \*

TRADEMARK REEL: 008359 FRAME: 0228 The undersigned Obligor and the Collateral Agent, on behalf of the holders of the Secured Obligations, hereby acknowledge and agree that the security interest in the foregoing trademarks and trademark applications (i) may only be terminated in accordance with the terms of the Agreement and (ii) is not to be construed as an assignment of any trademark or trademark application.

Very truly yours,

**OBLIGOR:** 

FCSTONE GROUP, INC., a Delaware corporation

By:

Name: William J. Dunaway
Title: Chief Financial Officer

Will Day

Acknowledged and Accepted:

THE BANK OF NEW YORK MELLON,

as Collateral Agent

By: Name:

Title:

RECORDED: 03/01/2024

Stacey B. Poindexter Vice President

[Signature Page to Notice of Grant of Security Interest in Trademarks]

TRADEMARK
REEL: 008359 FRAME: 0230